



05/15/98

Patent  
Attorney's Docket No. 010063-020

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL  
APPLICATION UNDER 37 C.F.R. § 1.53(b)

## Box PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 08/788,898 filed on January 23, 1997 which claims the benefit under 35 U.S.C. § 119(e) of provisional applications 60/013,862 filed March 22, 1996, 60/021,546 filed July 11, 1996 and 60/024,242 filed August 20, 1996, for Robot Having Multiple Degrees of Freedom, by the following named inventor(s):

- (a) Full Name Genco Genov
- (b) Full Name Alexander Todorov
- (c) Full Name Lubo Kostov
- (d) Full Name Peter Petkov
- (e) Full Name Valentin Totev
- (f) Full Name Eugene Bonev
- (g) Full Name Zlatko Sotirov

☒ The entire disclosure of the prior application from which a copy of the original and supplemental oaths or declarations are supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

- (a) Full Name .....
- (b) Full Name .....
- (c) Full Name .....

1. ☒ Enclosed is a copy of the prior Application No. 08/788,898 as originally filed on January 23, 1997, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. ☒ Statement(s) claiming small entity status was filed in prior Application No. 08/788,898, filed on January 23, 1997.
4. ☒ The filing fee is calculated below ☒ and in accordance with the enclosed preliminary amendment:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$790.00
Total Claims	20	MINUS 20 =	0	x \$22.00 =	0
Independent Claims	5	MINUS 3 =	2	x \$82.00 =	164.00
If multiple dependent claims are presented, add \$270.00					
Total Application Fee					954.00
If small entity status is claimed, subtract 50% of Total Application Fee					477.00
Add Assignment Recording Fee of \$40.00 if Assignment document is enclosed					
<b>TOTAL APPLICATION FEE DUE</b>					<b>477.00</b>


5. ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
6. ☒ A check in the amount of \$ 477.00 enclosed for the fee due.
7. ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.
8. ☒ Cancel in this application original claims 8, 9, 10-14, 20-30, 32-34, 36-38 and 40-43 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

9. ☒ Amend the specification by inserting before the first line the sentence: --This application is a ☒ continuation, ☐ divisional, of Application No. 08/788,898, filed January 23, 1997, which claims the benefit under Title 35, U.S.C. § 119(e) of provisional applications 60/013,862, filed March 22, 1996, 60/021,546, filed July 11, 1996, and 60/024,242, filed August 20, 1996.--
10. ☐ Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate of this paper is enclosed for filing in the prior application file. (May only be used if signed by person authorized under 37 C.F.R. § 1.138 and before payment of issue fee.)
11. ☒ New drawings are enclosed.
12. ☐ Priority of Application No.      filed on      in      (country) is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application
- ☐ is enclosed
- ☐ was filed on      in prior Application No.     , filed on
- ☐ has not yet been filed.
13. ☒ A preliminary amendment is enclosed.
14. ☒ Also enclosed is a Revocation and New Power of Attorney by Assignee of Entire Interest, Supplemental Combined Declaration for Patent Application and Power of Attorney and postcard.

15. [X] The power of attorney in the prior application is to Robert E. Krebs, Reg. No. 25,885; Earl A. Bright II, Reg. No. 37,045; and Cindy A. Cherichetti, Reg. No. 38,699.
- a. [ ] The power appears in the original papers in the prior application.
  - b. [X] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
  - c. [ ] Recognize as Associate Attorney
  - d. [X] Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

Robert E. Krebs  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Date: May 14, 1998

By:   
Earl A. Bright II  
Registration No. 37,045  
Menlo Park, California Office  
(650) 854-7400

ADDRESS OF  
SIGNATOR:

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
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- [ ] inventor(s)
- [ ] assignee of complete interest
- [X] attorney or agent of record
- [ ] filed under 37 C.F.R. § 1.34(a)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
 )  
Genov, *et al.* ) Group Art Unit: Formerly 2107  
 )  
Application No.: Unassigned (continuation )  
of 08/788,898 filed January 23, 1997) Examiner: Formerly B. Sircus  
 )  
Filed: Herewith )  
 )  
For: ROBOT HAVING MULTIPLE )  
DEGREES OF FREEDOM )

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend the subject application as follows:

**In the Claims:**

1. (Amended) [In a] A robotic arm structure providing [ $\theta$ -, R- and Z-motion which includes]  $\theta$  motion and R motion, comprising: at least two links, each having a proximalmost end portion and a distalmost end portion, with the  $\theta$  motion being about a primary axis at the proximal end portion of a proximalmost of the links[,] and the R motion proceeding radially from the primary axis [whereby the distal end portion of a distalmost of the links can be moved radially in a straight line[,] an end effector [having an end effector portion thereof pivotally mounted for rotation relative] attached to the [distal end portion of the] distalmost link and being rotatable about an end effector axis [which is parallel to the primary axis, the arm structure being controlled by electronic computer means controlling the  $\theta$ -, R- and Z- motions, sensor means for sensing the R,  $\theta$ - and Z- motions for creating

electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement comprising:];

a motor [means] connected to rotate the end effector about the end effector axis [and] to thereby provide a yaw [(Y)] motion;

[wherein the sensor means further includes means for sensing the Y-motion and creating an electronic signal representative thereof;

wherein the signal transmission means further includes means for delivering the electronic signal representative of the Y-motion to the computer means]; and

[wherein the electronic computer means further includes] means for monitoring and controlling the [Y-motion] yaw motion such that the end effector can be moved in a straight line which is not restricted to the radial direction.

2. (Amended) The robotic arm structure of claim 1 wherein the [electronic computer] monitoring and controlling means further includes [means for] monitoring and controlling the [ $\theta$ -, R-, Z- and Y- motions]  $\theta$  motion, R motion and yaw motion such that the distal end portion of the distalmost of the links can be moved in arbitrary continuous paths, including multisegment [smooh] smooth trajectories and straight lines, which are not restricted to a radial direction while maintaining a prescribed orientation of the end effector.

5. (Amended) A plurality of arm structures in accordance with claim 1, each having one or more work stations within its reach, the arm structures being [position] positioned sufficiently close together such that after a workpiece has been transported to one of the work stations within reach of a first of the arms and processed, it is then transported by the first of the arms to a transfer station which is also within the reach of the second of the arms for processing at work stations within the reach of the second arm.

6. (Amended) A sensor array located in a position such that a workpiece being transported by [an] the arm structure as set forth in claim [1] 2 passes over the sensor array whereat any deviation in alignment of the workpiece, if present, is determined and an electronic error signal is generated and communicated to the [electronic computer] monitoring and controlling means [which controls the arm] to make the appropriate [R-,  $\theta$ - and Y-]  $\theta$  motion, R motion and yaw motion corrections to properly align the workpiece.

7. (Amended) A robotic arm system as set forth in claim 1, further [characterized in including] comprising:

at least one additional end effector [pivotaly mounted for rotation relative] attached to the [distal end portion of the] distalmost link [about the end effector axis]; and an additional motor [means] connected to rotate each additional end effector [about the end effector axis and] to thereby provide a yaw [(Y)] motion for the additional end effector;

wherein the [sensor] monitoring and controlling means further includes means for [sensing] monitoring and controlling the [Y-motion] yaw motion of the additional end effector [and creating an electronic signal representative thereof;

wherein the signal transmission means further includes means for delivering the electronic signal representative of the Y-motion of the additional end effector to the computer means; and

wherein the electronic computer means further includes means for monitoring and controlling the Y-motion of the additional end effector such that the additional end effector can be moved in a straight line which is not restricted to the radial direction].

15. (Amended) A robotic arm mechanism adapted to manipulate workpieces which provides R,  $\theta$  and [Y (yaw)] yaw motion, comprising:

two pairs of linkages, each linkage having a proximal link having proximal and distal end portions and a distal link having proximal and distal end portions, the distal end portion of the distal link of each of the pairs of linkages being pivotally [mounted] connected at a distal axis [to one another] the distal end portion of each proximal link being pivotally [mounted] connected to the proximal end portion of the corresponding distal link at a respective elbow axis, the proximal end portion of each proximal link being pivotally [mounted] connected at a respective shoulder axis to a [relatively static] support and in spaced apart relation to one another;

an end effector [mounted at the distal end portion of] attached to the distal links [for pivotal motion] and being rotatable about [the distal] an end effector axis, all of the [pivotal] axes being parallel to one another [and extending in a first direction], the links and the end effector being spaced from one another along the direction of the axes such that the [links] end effector can be moved orthogonally to the support and the links over the support [the first direction in a volume extending along the first direction] without obstruction from one another;

a yaw motor connected to drive relative rotation of the end effector about the [distal] end effector axis;

an elbow motor connected to drive relative rotation between the proximal end portion of a respective one of the distal links and the distal end portion of the corresponding proximal link; and

a shoulder motor connected to drive rotation of the proximal end portion of one of the proximal links relative to the [static structure] support.

17. (Amended) A robotic arm mechanism as set forth in claim [16] 15, wherein the elbow motor is connected to drive rotation in a respective one of the linkages and the shoulder motor is connected to drive rotation in a respective other of the linkages.

18. (Amended) A robotic arm mechanism, as set forth in claim 15, wherein the yaw motor is mounted to the [relatively static structure] support generally along a respective one of the shoulder axes and the shoulder motor is mounted to the [relatively static structure] support generally along the same shoulder axis, the mechanism further including a belt and a pulley [means] for transmitting rotational motion from the yaw motor to the [distal axis] end effector, the elbow motor being mounted to a respective other of the shoulder axes, the mechanism further including a belt and a pulley [means] for transmitting rotational motion from the elbow motor to the elbow axis.

31. (Amended) [In a] A robotic arm structure [having  $\theta$ -, R- and Z-motors for] providing [ $\theta$ -, R- and Z-motions]  $\theta$  motion and R motion about a primary axis, the arm structure [having] comprising:

an end effector [portion thereof pivotally mounted for rotation] attached to the arm structure and being rotatable about an end effector axis [which is parallel to the primary axis, the arm structure being controlled by electronic computer means controlling the  $\theta$ , R- and Z- motors and thereby the corresponding motions, sensor means for sensing the R,  $\theta$ - and Z- motions and for creating electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement comprising:];

[means for providing] a first motor connected to rotate the end effector about the end effector axis to provide a yaw [(Y) and] motion; a second motor connected to rotate the end effector to provide a roll [(E)] motion of the end effector;

[workpiece orientation determining means for determining the orientation of a workpiece which is to be picked up by the end effector and for creating an error electronic signal representative thereof;

means for transmitting the error signal to the computer means;  
wherein the computer means includes] and means for [computing  
corrections to be applied to the R,  $\theta$ , Z, Y, and E] monitoring and controlling the yaw and  
roll motions [such that the workpiece can be picked up and transported by the end effector  
in a desired orientation].

35. (Amended) [In a] A robotic arm structure [having  $\theta$ -, R- and Z-motors for]  
providing [ $\theta$ -, R- and Z-motions]  $\theta$  motion and R motion about a primary axis, the arm  
structure [having] comprising:

an end effector [portion thereof pivotally mounted for rotation] attached to  
the arm structure and being rotatable about an end effector axis [which is parallel to the  
primary axis, the arm structure being controlled by electronic computer means controlling  
the  $\theta$ -, R- and Z-motors and thereby the corresponding motions, sensor means for sensing  
the R,  $\theta$ - and Z-motions and for creating electronic signals representative thereof and signal  
transmission means for delivering the electronic signals to the computer means, the  
improvement comprising:

an elevator moveable along the Z axis, the robotic arm structure  
being supported by the elevator;

means for controllably tilting the elevator about any axis lying in a  
plane orthogonal to the Z axis;

means for providing yaw (Y), roll (E) and/or pitch (J) motion of the  
end effector;

workpiece orientation determining means for determining the  
orientation of a workpiece which is to be picked up by the end effector and for creating an  
error electronic signal representative thereof;

means for transmitting the error signal to the computer means;

wherein the computer means includes]; a first motor connected to rotate the end effector about the end effector axis to provide a yaw motion;

a second motor connected to rotate the end effector to provide a roll motion of the end effector;

a third motor connected to rotate the end effector to provide a pitch motion of the end effector; and

means for [computing corrections to be applied to control the tilting of the elevator, to the R,  $\theta$  and Z motions and to one or more of the Y, E, and/or J] monitoring and controlling the yaw, roll, and pitch motions [such that the workpiece can be picked up and transported by the end effector in a desired orientation].

39. (Amended) [In a] A robotic arm structure [having  $\theta$ -, R- and Z-motors for] providing [ $\theta$ -, R- and Z-motions]  $\theta$  motion and R motion about a primary axis, the arm structure [having] comprising:

an end effector [portion thereof pivotally mounted for rotation] attached to the arm structure and being rotatable about an end effector axis [which is parallel to the primary axis, the arm structure being controlled by electronic computer means controlling the  $\theta$ -, R- and Z- motors and thereby the corresponding motions, sensor means for sensing the R,  $\theta$ - and Z- motions and for creating electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement enabling picking up and transporting workpieces in cassettes located in a planar region generally parallel to the Z axis, comprising:];

[means for providing] a first motor connected to rotate the end effector about the end effector axis to provide a yaw [(Y) and] motion; a second motor connected to rotate the end effector to provide a pitch [(J)] motion of the end effector;

[workpiece orientation determining means for determining the orientation of a workpiece which is to be picked up by the end effector and for creating an electronic signal representative thereof;

means for transmitting the signal to the computer means;

wherein the computer means includes] and means for [computing corrections to be applied to control the R,  $\theta$ , Z, Y and J] monitoring and controlling the yaw and pitch motions [such that the workpiece can be picked up and transported by the end effector in a desired orientation].

Add the following new Claims:

-- 44. The robotic arm structure of claim 31, wherein the end effector has at least two hands such that the second motor rolls a first hand and a third motor rolls a second hand.

45. The robotic arm structure of claim 44, further comprising:  
a first pitch motor connected to rotate the first hand to provide a pitch motion of the first hand; and  
a second pitch motor connected to rotate the second hand to provide a pitch motion of the second hand.

46. The robotic arm structure of claim 35, further comprising:  
a tiltable support having the arm structure thereon.

47. The robotic arm structure of claim 35, further comprising:  
a telescoping tiltable support having the arm structure thereon.

48. The robotic arm structure of claim 39, wherein the end effector has at least two hands such that the second motor pitches a first hand and a third motor pitches a second hand. --

REMARKS

Applicants respectfully urge that the claims of the present application define patentable subject matter and should be passed to allowance. Such allowance at an early date is respectfully solicited.

Respectfully submitted,  
Burns, Doane, Swecker & Mathis, L.L.P.

By:   
\_\_\_\_\_  
Earl A. Bright II  
Registration No. 37,045  
Menlo Park, CA Office  
(650) 854-7400

Post Office Box 1404  
Alexandria, Virginia 22313-1404

Date: May 14, 1998

**SUPPLEMENTAL COMBINED DECLARATION OR PATENT APPLICATION AND  
POWER OF ATTORNEY**  
(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NUMBER  
010083-012

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ROBOT HAVING MULTIPLE DEGREES OF FREEDOM**

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application

Number 08/788,898

on January 23, 1997

and was amended

on October 10, 1997

(if applicable).

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. §119
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

60/013,862

(Application Number)

March 22, 1996

(Filing Date)

60/021,546

(Application Number)

July 11, 1996

(Filing Date)

60/024,242

(Application Number)

August 20, 1996

(Filing Date)

**SUPPLEMENTAL COMBINED DECLARATION FOR PATENT APPLICATION AND  
POWER OF ATTORNEY (CONTINUED)**

(Includes Reference to Provisional and PCT International Applications)

ATTORNEY'S DOCKET NO.

010063-012

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. APPLICATION NOS. ASSIGNED (if any)		

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

William L. Mathis	17,337	Samuel C. Miller, III	27,360	Robert M. Schulman	31,196
Peter H. Smolka	15,913	Ralph L. Freeland, Jr.	16,110	William C. Rowland	30,888
Robert S. Swecker	19,885	Robert G. Mukai	28,531	T. Gene Dillahunty	25,423
Platon N. Mandros	22,124	George A. Hovance, Jr.	28,223	Patrick C. Keane	32,858
Benton S. Duffett, Jr.	22,030	James A. LaBarre	28,632	Bruce J. Boggs, Jr.	32,344
Joseph R. Magnone	24,239	E. Joseph Gess	28,510	William H. Benz	25,952
Norman H. Stepano	22,716	R. Danny Huntington	27,903	Peter K. Skiff	31,917
Ronald L. Grudziecki	24,970	Eric H. Weisblat	30,505	Richard J. McGrath	29,195
Frederick G. Michaud, Jr.	26,003	James W. Peterson	26,057	Matthew L. Schneider	32,814
Alan E. Kopecki	25,313	Teresa Stanek Rea	30,427	Michael G. Savage	32,596
Regis E. Sluiter	26,999	Robert E. Krebs	25,885	Gerald F. Swiss	30,113

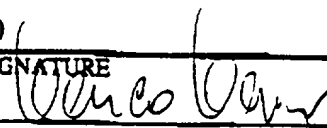

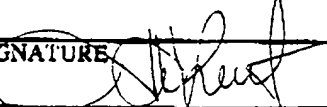


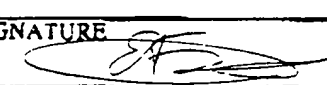
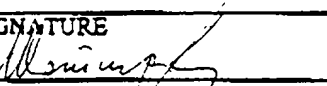
and: Earl A. Bright II, Reg. No. 37,045 and Cindy A. Cherichetti, Reg. No. 38,699

Address all correspondence to:

**Robert E. Krebs, Esq.**  
**BURNS, DOANE, SWECKER & MATTHEWS, L.L.P.**  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Address all telephone calls to: Earl Bright at (650) 854-7400.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SUPPLEMENTAL COMBINED DECLARATION OR PATENT APPLICATION AND POWER OF ATTORNEY (CONTINUED) (Includes Reference to Provisional and PCT International Applications)		ATTORNEY'S DOCKET NO. 010063-012
FULL NAME OF SOLE OR FIRST INVENTOR Genco Genov	SIGNATURE 	DATE 3/5/98
RESIDENCE 19173 Grayston Lane, San Jose, California 95120	CITIZENSHIP United States	
POST OFFICE ADDRESS Same as above		
FULL NAME OF SECOND JOINT INVENTOR, IF ANY Alexander Todorov	SIGNATURE 	DATE 3/5/98
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POST OFFICE ADDRESS Same as above		
FULL NAME OF THIRD JOINT INVENTOR, IF ANY Lubo Kostov	SIGNATURE 	DATE 3-5-98
RESIDENCE 252 Waverly Street, Sunnyvale, California 94086	CITIZENSHIP Bulgaria	
POST OFFICE ADDRESS Same as above		
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY Peter Putkov	SIGNATURE 	DATE 3-5-98
RESIDENCE 398 Waverly Street, Sunnyvale, California 94086	CITIZENSHIP Bulgaria	
POST OFFICE ADDRESS Same as above		
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Valentin Totev	SIGNATURE 	DATE 3/5/98
RESIDENCE 1220 Fair Oaks Avenue, #3202, Sunnyvale, California 94089	CITIZENSHIP Bulgaria	
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FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Eugene Honev	SIGNATURE 	DATE 3/5/98
RESIDENCE 2250 Monroe, #312, Santa Clara, California 95050	CITIZENSHIP Bulgaria	
POST OFFICE ADDRESS Same as above		
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Zlatko Stetkov	SIGNATURE 	DATE 3/5/98
RESIDENCE 815 East Fremont Avenue, #65, Sunnyvale, California 94087	CITIZENSHIP Bulgaria	
POST OFFICE ADDRESS Same as above		
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF NINTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
GENOV, et al.	)	Group Art Unit: 2107
	)	
Application No.: 08/788,898	)	Examiner: Sircus, B.
	)	
Filed: January 23, 1997	)	
	)	
For: ROBOT HAVING MULTIPLE DEGREES	)	
OF FREEDOM	)	

**REVOCATION AND NEW POWER OF ATTORNEY**  
**BY ASSIGNEE OF ENTIRE INTEREST**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

As the Assignee of the entire interest in the above-identified application, all powers of attorney previously given are hereby revoked, and ROBERT E. KREBS, No. 25,885 is hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected with the above-identified application. The undersigned certifies that to the best of its knowledge and belief it is the owner of the entire right, title and interest in and to the above-identified application.

Please direct all telephone calls and correspondence to:

**Robert E. Krebs**  
**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**  
**P.O. Box 1404**  
**Alexandria, Virginia 22313-1404**  
**(650) 854-7400**

Date: 02-15-02

Signature: 

Name: Genov Genco  
Title: President, CEO  
Company: Genmark Automation

ROBOT HAVING MULTIPLE DEGREES OF  
FREEDOM

5                                      Inventors:              Genco Genov  
   Alexander Todorov  
   Lubo Kostov  
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Technical Field

15                                      The present invention relates to a precision  
arm mechanism which will extend and retract in a  
straight line, including a non-radially extending  
straight line, and is also movable in a desired non-  
straight line path. In certain embodiments it can be  
20                                      tilted from its usually vertical axis to correct for  
misalignment of workpieces. It can pick up and deliver  
workpieces located at an angle from its plane of normal  
operation. It is suitable for positioning various  
objects such as semiconductor wafers, cassettes holding  
such wafers, panels, computer hard discs, and the like  
for processing and/or use.

25                                      Background Of Invention

   The use of robot arms for positioning and  
placing objects is well known. Generally, the arms  
have Z, R and  $\theta$  motion in a conventional cylindrical  
30                                      coordinate system. The capability of providing  
straight line motion is very important in the  
processing of semiconductor wafers so as to allow them  
to be very accurately positioned at a work station  
where processing steps take place. The R or straight  
35                                      line radial movement of the end effector or mechanical  
hand at the end of the arm has been accomplished in a  
number of manners.

   As one example, telescoping arms have been

utilized for this purpose. In such a structure one slidable member fits within another thus allowing linear extension of the arm.

5 More commonly, two link arms with equal length links have been utilized for this purpose. The links are connected to each other so that the distal end of the first link is pivotally attached to the proximal end of the second link. The links utilize  
10 belt drives which are provided for coordinately rotating the second link to the first link to provide a rotation ratio,  $i_{2,1}$  of 2/1, and to provide a rotation ratio,  $i_{3,2}$  of 1/2 between the end effector and the distal link of the robotic arm. When  $i_{2,1}$  is equal to 2/1 and  
15  $i_{3,2}$  is equal to 1/2, the result is that  $i_{3,1}$ , the rotation ratio of the end effector relative to the first link, is equal to 2/1 x 1/2 or unity and radial straight line motion results. In the case of 3 link arms, such as those shown in U.S. Patent 5,064,340, the  
20 rotation ratio between the third and second links is 1/1 and other ratios are as just discussed above. In this situation  $i_{2,1}$  is equal to 2/1,  $i_{3,2}$  is equal to 1/1 and  $i_{4,3}$  is equal to 1/2. This assures that  $i_{4,1}$  is equal to unity and radial straight line motion results.

25 United Kingdom Patent Application GB 2193482A, published February 10, 1988 discloses a wafer handling arm which includes two unequal length links with the distal end of one link being pivotally  
30 attached to the proximal end of the other link, with the hand being integral with the distal end of the distal link and which utilizes a belt drive which is fixed to a cam to attain nearly straight line motion.

35 It is also known to utilize an isosceles

triangle type linkage wherein two equal length links are pivoted together and a mechanical hand is pivoted to the distal end of the distal link. Pulleys and belts are utilized in such a manner that the angle  
5 between the two links changes at twice the rate as do the angles that each of the links makes with a line connecting the points about which their other ends are pivoted. This linkage provides drive directly from a motor shaft to the proximal end portion of the proximal  
10 link. A belt about a stationary pulley coaxial with the motor shaft passes about a pulley at the point of pivoting of the two links to one another. Another pulley and belt arrangement provides pivoting of another pulley where the second link is pivotally  
15 connected to the mechanical hand. Radial straight line motion results.

In another apparatus a pair of isosceles triangle type linkages face one another and the  
20 mechanical hand is pivotally attached to the distal ends of both of the distal links. The proximal ends of each of the proximal links is driven in an opposite direction of rotation by a single rotating motor shaft, generally through use of appropriate gearing. What  
25 results is a "frogs leg" type of motion with each isosceles triangle type linkage serving as means for controlling the other such linkage in such a manner that the angles between the two links of each of the isosceles triangle linkages changes at twice the rate  
30 as do the angles that each of the links makes with a line connecting the points about which their other ends are pivoted. The result is radial straight line motion. The frogs leg linkages have the advantage of extra strength and are particularly useful under  
35 certain conditions, particularly in vacuum

environments, since they tend to require less moving parts within the vacuum chamber whereby dust is less likely to develop.

5                   In previously mentioned U.S. Patent 5,064,340, which is incorporated herein in its entirety by reference, an arm structure is disclosed comprising first, second and third longitudinally extending links each having proximal and distal end portions. The  
10       second longitudinally extending link is twice the effective length of the first link. The proximal end portion of the second link is pivotally mounted to the distal end portion of the first link about a first pivot axis. The proximal end portion of the third link  
15       is rotatably mounted about a third pivot axis to the distal end portion of the second link. An end effector is pivotally mounted to the distal end portion of the third link for rotation about a fourth pivot axis. Means is provided for coordinately rotating the first  
20       link, the second link, the third link and the end effector at a rotation ratio of the first axis to the second axis to the third axis to the fourth axis of 1:2:2:1. Again, the torque of the end effector pivot equals that of the driver. Radial straight line motion  
25       is provided.

                  There is a problem which is common to all of the above described radial positioning arms. This problem is that the arms must sit idly by while a  
30       workpiece is being worked upon. For example, a semiconductor wafer is picked up from a loading cassette by an end effector located at the end of the arm. The wafer is moved to a processing station and deposited. The arm moves away and sits idly by until  
35       processing at the station is completed. Once the

process is completed the single end effector must move into the processing chamber, pick up the processed wafer and retract, rotate to the receiving cassette, place the processed wafer in the receiving cassette, rotate to the loading cassette, move in and pick up another wafer, retract, rotate back to the processing chamber, place the wafer and retract again to wait for the process to be finished. This is a total of eleven movements, and the time these movements take limits the throughput, i.e., the number of workpieces (e.g., wafers) which can be processed in a given time.

U.S. Patent 5,007,784, which is incorporated herein in its entirety by reference, has addressed the above problem by providing a robotic arm which comprises an end effector structure which has a central portion and two substantially oppositely extending hands each capable of picking up a workpiece. The central portion is centrally pivotally mounted to the distal end portion of a distalmost of the links. The links, end effector structure and static structure are constructed to allow the robotic arm to reverse across the pivot axis of the proximal end portion of the proximalmost of the links. Radial drive means drives the links in a manner such that the pivot axis of the central portion of the end effector structure moves only substantially linearly radially along a straight line passing through and perpendicular to the pivot axis of the proximal end portion of the proximalmost of the links and to the pivot axis of the central portion of the end effector structure. The end effector structure is maintained at a selected angle to the line. Rotational drive means is also present.

Another and more recent development allows

the attainment of radial straight line motion using robotic arms whose links are not so closely defined in terms of relative lengths. Such a robotic arm is disclosed in copending application Serial No. 08/432,682, filed May 2, 1995, which is incorporated herein by reference.

A former commercial device is similar to the device of U.S. Patent 5,007,784. However, in the former commercial device the end effector structure has two hands which extend from the pivot axis of the end effector at substantially right angles to one another. The end effector can assume one of two different rotational positions about its pivot axis. The two positions are substantially the right angle apart and are such that either hand can be positioned so as to move in a straight line with the distal end of the distalmost of the links.

A very important problem which exists with present day robotic arm mechanisms is that they can only follow a radial straight line (R) path or a circular ( $\theta$ ) path in the R,  $\theta$  plane from one point another. Accordingly, if there is an object to be picked up and moved which is located in a cassette, e.g., a wafer cassette, or at a work station, the arm must first be extended radially into the cassette or work station where it picks up the object, generally by application of a vacuum, then withdrawn radially from the cassette or work station, then rotated to opposite another cassette or work station and then advanced radially into the other cassette or work station where it deposits the object. This provides an overall jerky stop/start motion which can lead to damage to the apparatus over many thousands of operations and which

also vibrates the object being moved with possible deleterious effects on product yield. Also, if there is an obstacle which protrudes into that plane of operation of the arm as may occur in a semiconductor processing operation, an inefficient path must be followed to anything hidden behind or shadowed by that obstacle, namely, a straight line path must be followed to withdraw the arm radially inwardly beyond the obstacle and then radial motion must be imparted to move the end effector of the arm past the obstacle followed by radial outward motion to the desired work station. The ability to follow a curved path would be desirable in that it would allow coordinated R and  $\theta$  motion and thereby faster operation of the robotic arm mechanism.

Another very important problem which arises in such robotic arm systems is related to loading in cassettes filled with wafers near a robotic arm. If more than one cassette is used which may be accessed with the arm it is necessary that each cassette be arranged with its longitudinal axis (the direction from which it is loaded and unloaded) passing through the center of rotation of the robot arm. This restriction exists because the arms can be extended in a straight line in only a radial direction. This does not allow for the use of a straight conveyor belt to bring in the cassettes whereby the process must be carried out by hand, an inherently inefficient way to operate. If it were possible to remove wafers from loaded cassettes which were traveling along a straight line path, namely, via a conveyor belt (in which instance their longitudinal axes would not all pass through the center of rotation of the robot arm), the speed of the overall operation could be significantly increased. This would

directly lead to increased throughput and thereby to an increased profit/lower cost operation. What is done at present is to move the robot from between one cluster of radially aligned cassettes and work stations to another using a track system; a far less desirable way to operate.

A particular problem which occurs with flat display panels is that they are often present in cassettes with a certain degree of angular and linear misalignment. Such panels must be aligned properly at a work station. With conventional robotic arms this must be accomplished by placing the panel on a chuck, which has sensors, e.g., CCD sensors, which detect the misalignment, using the chuck to rotate the panel, and then picking it up and transporting it to the work station in proper alignment. This is so since with conventional robotic arms the arm cannot be rotated with respect to the panel (or wafer) coordinate frame which does not coincide (due to the position and angular misalignment) with the coordinate frame of the end effector. The conventional arms can move only along the longitudinal axis of the end effector, and to rotate it so it cannot compensate for the misalignment without intermediately being dropped onto a pin or the like. It would be highly desirable if the desired alignment could be attained without the intermediate use of such a chuck or pin. In a similar manner, it would be highly desirable if it was possible to align wafers about their geometric centers without utilizing such a chuck or pin.

Another problem which is common with both wafers and panels which are loaded from cassettes or picked up at work stations is that the Z axis of the

robotic arm may not be completely parallel to the axis of the cassette, etc. due to alignment errors. The relative tilt may be in any direction and is usually only a few degrees. When this misalignment is present the arm cannot properly approach and pick up the wafers/panels with the end effector properly aligned whereby the exact positioning of the wafers/panels is not fully controllable. Previously filed United States Patent Application Serial No. 08/661,292, filed \_\_\_\_\_, which is incorporated herein in its entirety, discloses a robotic arm having a universally tiltable Z-axis. The structure set forth in the application, however, does not have the ability to rotate at or near the wrist of the robotic arm and thus is not sufficient in and of itself to provide the necessary compensation for a misalignment of the nature described. It would be desirable if the misalignment could be fully corrected and the wafers/panels properly aligned without the intermediate use of a chuck or pin as discussed above.

With prior art robotic arms for processing semiconductor wafers and flat panels the cassettes and work stations must generally be aligned so as to be entered by the end effectors of the arms with the end effector moving radially in a straight line. It is not, for example, possible to enter the cassettes or workstations if their longitudinal axes are not parallel to the Z axis. Yet, since space is at a premium in a wafer or flat panel processing operation it would be highly desirable to be able to so arrange cassettes and/or workstations.

#### Disclosure of Invention

The present invention is directed to overcoming one or more of the problems as set forth

above.

5 An improvement in a robotic arm structure having R,  $\theta$  and Z motion constitutes one embodiment of the invention. The arm structure includes at least two links with the  $\theta$  motion being about a primary axis at the proximal end portion of the proximalmost link. The R motion proceeds radially from the primary axis whereby the distal end portion of the distalmost of the  
10 links can be moved in a radially extending straight line. An end effector has an end effector portion thereof pivotally mounted for rotation relative to the distal end portion of the distalmost link about an end effector axis which is parallel to the primary axis.  
15 A motor is provided which is mounted to a respective one of the distalmost link and the end effector. The motor is connected to drive relative rotation about the end effector axis between the distal end portion of the distalmost link and the end effector portion and to  
20 thereby provide a yaw (Y) motion. Electronic computer means and sensors serve for controlling the R,  $\theta$ , Z and Y motions.

25 An improvement in a robotic arm structure having R,  $\theta$  and Z motion constitutes another embodiment of the invention. The arm structure comprises n longitudinally extending links having respective proximal and distal end portions where n is 2 or is a larger integer. The proximal end portion of each of  
30 the links is pivotally mounted to rotate about a respective n<sup>th</sup> axes. An end effector is pivotally mounted to rotate about an n+1<sup>st</sup> axis located at the distal end portion of the n<sup>th</sup> link. The pivotal axes of the links and of the end effector are all parallel.  
35 A radial drive rotatable shaft has a driven end portion

and a driving end portion and extends along a first of the axes. Radial drive motor means serve for rotating the driven end portion of the radial drive shaft about the first of the axes. A rotary drive rotatable shaft has a driven end portion and a driving end portion and extends along the first axis. Rotary drive motor means serves for rotating the driven end portion of the rotary drive shaft about the first of the axes. The driving end portion of the rotary drive shaft is located adjacent the proximal end portion of the first link and is in rotational driving contact therewith. n-1 belt means are present with each being rotated by a respective one of n-1 corresponding pulleys. A first of the pulleys is rotated by the driving end portion of the radial drive shaft. Each succeeding belt mean is arranged to rotate the next successive link about its respective axis. The improvement of the invention comprises an end effector motor which drives an end effector drive shaft located at the n+1 st axis and which serves to rotate the end effector about that axis. Radial, rotary and end effector motor drive sensor means each measure a quantity indicative of the rotational positions of the respective radial, rotary and end effector motor drive shafts and generate electronic signals representative of such rotational positions. Means communicate the electronic signals to electronic computing means. The electronic computer means computes the locus of the end effector from the rotational positions of the radial, rotary and end effector drive shafts. The computer means controls the drive motors so as to position the end effector to follow a desired path and to arrive at a desired locus.

In accordance with yet another embodiment of the invention a method is set forth for controlling an

arm structure which comprises n longitudinally  
extending links each having respective proximal and  
distal end portions when n is 2 or a larger integer.  
The rotational positions of the radial, rotary and end  
5 effector drive shafts are measured. Electronic signals  
are generated and communicated to electronic computer  
means. The electronic computer means computes the  
locus of the end effector from the signals and controls  
the drive motors to move and position the end effector  
10 in any desired location within its reach.

Another embodiment of the invention comprises  
a wafer processing system which includes a conveyor  
belt which transports cassettes loaded with wafers or  
15 other objects along a substantially straight line path  
used in combination with a robotic arm of the nature  
described above.

Yet another embodiment of the invention  
20 comprises a plurality of robotic arms, each having one  
or more work stations within its reach, the robotic  
arms being positioned sufficiently close together such  
that after a wafer has been transported to the work  
station(s) within reach of a first of the arms and  
25 processed, it is then transported by the first arm to  
a transfer station which is within the reach of the  
second of the arms for processing at work stations  
within the reach of the second arm.

Another embodiment yet of the invention is a  
30 sensor array located in a position such that an object  
being transported by an arm as set forth above can be  
passed over the sensor array, a deviation in alignment  
of the object can be detected, if present, and the  
35 appropriate R,  $\theta$  and Y corrections can be made so as to

properly align the object.

In accordance with even a further embodiment of the invention a robotic arm mechanism is adapted to manipulate workpieces which provides full multi-directional planar (more than equivalent to  $R, \theta$ ) motion via provision of  $Y$  (yaw) motion. The mechanism comprises two pair of linkages, each linkage having a proximal link having proximal and distal end portions and a distal link having proximal and distal end portions. The distal end portions of the distal links of each of the linkages are pivotally mounted to one another at a wrist axis. The distal end portion of each proximal link is pivotally mounted to the proximal end portion of the corresponding distal link at a respective elbow axis. The proximal end portion of each proximal link is pivotally mounted at a respective shoulder axis to a relatively static support, the shoulder axes being in spaced apart relation to one another. An end effector is mounted at the distal end portion of the distal links for pivotal motion about the wrist axis. The pivotal axes are parallel to one another and extend in a first direction. The links and the end effector are spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first direction in a volume extending along the first direction without obstruction from one another. A yaw motor drives relative rotation of the end effector about the distal axis. An elbow motor drives relative rotation between the proximal end portion of a respective one of the distal links and the distal end portion of the corresponding proximal link. A shoulder motor drives rotation of the proximal end portion of one of the proximal links relative to the static structure. Sensors and microprocessor control

is provided to control motion of the mechanism.

5 In accordance with yet other embodiments of the invention correction is provided for misalignment of workpiece holding cassettes by utilizing an elevator which is tiltable from the vertical along with a motor which provides a yaw motion and/or an end effector longitudinal rotating motion.

10 In another embodiment yet, the invention provides a method of making finished workpieces, e.g., wafers, flat panels, computer memory disks, or the like, by manipulating them and processing them utilizing any of the above set forth methods and/or  
15 apparatus.

20 The present invention provides a robotic arm structure and control method useful for a number of things, particularly for picking up and transporting semiconductor wafers, panel displays and other generally flat objects for processing. The system provides continuous Y, yaw, motion of the end effector over at least nearly a 360° arc, for example, at least about 270°, whereby more efficient paths are selected  
25 by electronic computer control means and whereby vibration is significantly reduced, thus reducing potential down time. Both the continuous availability of all positions along the rotational path and the extent of yaw motion available are important to  
30 providing freedom for more efficient operation of the four-axis arm. It also provides design parameters which can be utilized by engineers which are considerably less restrictive than those with prior art robotic arms. An especially useful feature is the  
35 ability to move the end effector along a straight line

path which is non-radial. This has a number of practical advantages, including, inter alia, the provision of the capability to feed cassettes loaded with workpieces on a straight conveyor belt. The arm structure and method are adapted to be used with dual (two handed) end effectors, triple (three handed) end effectors and the like and to allow a chuck for panel and/or wafer prealignment and work stations to be positioned in more versatile locations than is possible with prior art arm structures and control methods therefor.

The advantages of the invention include:

- 1) Improved mechanical characteristics of the robot, specifically, simplified arm mechanics (less transmission); increased stiffness and accuracy;
- 2) Improved motion capabilities of the robot, due to the introduction of a "yaw" axis at the end effector whereby the end effector is capable of performing general motion (translation and rotation) in a plane defined by the position of the Z axis (the end effector can move along an arbitrary trajectory with an arbitrary orientation);
- 3) General cassette orientation, the yaw axis allows for arbitrary oriented (non-radial) cassettes and for optimal arrangement of the wafer processing machinery; the additional degree of freedom allows for obstacle avoidance, provides more flexibility in the design and planning of the equipment of wafer processing machinery and reduces the extremely expensive area (real estate) need for the processing;
- 4) Handling of in-line arranged modules, without being translated the robot is capable of handling in-line arranged cassettes as shown in Figure 2; this new setup is intended to entirely replace the currently

used small track systems in which the robot is translated by a track in order to comply with the restriction imposed by the radial cassette displacement; through in-line arranged modules it becomes easy to achieve more reliable multiple off-radial cassette handling with reduced capital investment;

5) Inter process wafer exchange, the in-line cassette handling concept allows several robots participating in different processes to interchange wafers performing put/get operations into/from stationary intermediate cassettes (Fig. 3 (a));

6) Multiple off-radial cassette handling, the in-line cassette handling concept allows for handling of multiple sets of cassettes, proceeded by a conveyor belt; there are several sets of cassettes, arranged consecutively on a conveyor belt; the whole process is divided into different stages each set should pass through; the conveyor belt moves until a given set of cassettes enter the working envelope of the arm; the robot arm picks up a wafer from any of the cassettes within its working envelope and delivers it for processing in another cassette; after the stage finishes all the cassettes from the working envelope are transported by the conveyor belt to the next process stage (Fig. 3(b));

7) Wafer centering on the fly (Fig. 4); the arm according to the invention can be used in wafer centering (the orientation of the wafer is of no importance for the process) on the fly; the wafer is picked up, measured by using a non contact measurement device like a CCD sensor, put centered into a cassette or into a process station through a single motion (no extra placement of the wafer is required); both measurement and centering processes are performed while

the arm is in motion; the advantages of such kind of handling are the following: the wafer is touched only by the end-effector; the accuracy of entering and final positioning of the wafer is improved; increased performance;

8) Flat panel alignment on the fly (Fig. 5), the arm according to the invention can also be used in flat panel handling for aligning square objects on the fly; the flat panel is picked up, measured, aligned on the fly and put into a cassette or process station through a single motion; the linear and angular offset calculation as well as their compensation are performed during the robot motion; the advantages are the same as in (6);

9) Continuous motion handling, the "yaw" axis makes it possible to plan and execute continuous path trajectories (Fig. 6) between two cassettes that results in smooth and fast wafer or flat panel handling; in turn, this makes the transported material (wafer, flat panel) and the processing equipment less stressed; the sequence "retract - rotate - extend" which is used to move a wafer from one cassette to another in the classical GRZ scheme is replaced by a single motion along a smooth trajectory that does not require rotation of the arm; this substantially reduces the travel time and improves the overall performance of the machine;

10) Ability to efficiently utilize end effectors with more than two (generally three due to size limitations) hands; the continuous Y-motion capability allows an electronic controller, e.g., a microprocessor, to control a three hand end effector for very efficient operation, thereby increasing throughput;

11) When utilizing the frog type mechanism the

apparatus is well adapted for use in a vacuum environment. Due to the number of belts and pulleys being reduced over that of non-frog type mechanisms the amount of dust created during belt movement is greatly reduced and dust is highly undesirable in vacuum environments. Furthermore, in non-frog type mechanisms the belts and pulleys which provide the R and  $\theta$  motion crowd the interiors of the links. As a result, to provide Yaw motion, as a practical matter, a motor must be positioned on the most distal link or on the end effector itself. In a vacuum environment space is at a minimum and there is not enough room to install a Yaw motor in this manner;

12) Ability to compensate for misalignments of cassette axes relative to robotic arm axis; and

13) Ability to enter cassettes and/or workstations which have entrances which must be entered at an angle to the Z axis.

#### Brief Description of the Drawings

The invention will be better understood by reference to the figures of the drawings wherein like numbers denote like parts throughout and wherein:

Figure 1 (a) illustrates, schematically, a prior art apparatus;

Figure 1 (b) illustrates, schematically, an apparatus in accordance with an embodiment of the invention;

Figure 2 illustrates, schematically, use of an apparatus in accordance with an embodiment of the present invention and its operation with in-line arranged cassettes and work stations in accordance with

the method of the present invention;

Figure 3 (a) illustrates, schematically, a multi robot system and its operation in accordance with an embodiment of the present invention;

Figure 3 (b) illustrates, schematically, a multiple off-radial cassette handling robot arm system and its operation in accordance with an embodiment of the present invention;

Figure 4 illustrates, schematically, an embodiment of the present invention as used in combination with a sensor array for centering a semiconductor wafer or other circular object;

Figure 5 illustrates, schematically, a similar apparatus and sensor array to that of Figure 4 for aligning a flat panel or other angular object.

Figure 6 (a) illustrates, schematically, a trajectory path for a wafer utilizing a prior art robotic arm lacking yaw motion;

Figure 6 (b) illustrates, schematically, a trajectory path for a wafer utilizing a robotic arm in accordance with the invention which provides yaw motion;

Figure 7 illustrates the various angles used in calculations during computer control of the arm;

Figure 8 illustrates diagrammatically the flow of information to a computer motion controller;

Figure 9 illustrates, in top view, a three hand end effector useful in embodiments of the invention holding three wafers shown in phantom;

5           Figure 10 illustrates, in top view, a frog leg type linkage featuring a dual hand end effector in accordance with an embodiment of the invention;

10           Figure 11 illustrates, in a side partially section view, the embodiment of Figure 1;

Figure 12 illustrates, in end view, the embodiment of Figures 10 and 11;

15           Figure 13 illustrates, in partially section end view in larger size, another embodiment of the invention;

20           Figure 14 illustrates, in partially section end view in larger size, yet another embodiment of the invention;

25           Figures 15a and 15b illustrate, in top view, steps in the operation of an embodiment of a frog leg type linkage in accordance with the invention;

30           Figure 16 illustrates, in top view, the mathematics of a method of motion control in accordance with the invention;

Figures 17a and 17b illustrate a detail in the structure and operation of the invention;

35           Figures 18a and 18b illustrate, in side and plan views, respectively, means for aligning wafers in

accordance with an embodiment of the invention;

5       Figure 19 illustrates, in top view, use of a three hand end effector in an embodiment of the invention;

10       Figure 20 illustrates, in side sectional partial view, incorporation of Z-motion in an embodiment in accordance with the present invention;

15       Figures 21a and 21b illustrate, in side sectional view and plan view respectively, a universally adjustable robotic arm in accordance with one or more embodiments of the invention, the arm illustrated providing both Y motion and E axis rotational motion about a E axis which extends along the length of the end effector;

20       Figure 22 illustrates, in side sectional view, with an outwardly telescoped mode being shown in dashed lines, an elevator structure in accordance with an embodiment of the present invention;

25       Figures 23 illustrates, in enlarged side section view, a portion of the apparatus illustrated in Figure 22 along with one of the motors used for tilting the elevator;

30       Figure 24 illustrates, schematically, in both collapsed and extended form, an elevator as illustrated in Figure 22 to which a robotic arm mechanism is mounted with the robotic arm mechanism having a sensor as part thereof;

35       Figure 25 illustrates, in partial enlarged

side sectional view, details of construction of a lower portion of an elevator structure useful in accordance with the embodiments of the present invention;

5           Figure 26 illustrates, in a view similar to Figure 25 but with individual parts separately shown in a side and in plan views, details in the elements illustrated in Figure 25;

10           Figures 27 and 28 illustrate, in views similar, respectively to Figures 21a and 21b, an apparatus in accordance with an embodiment of the invention which includes both Y- and J- motion capability;

15           Figures 29a and 29b illustrate, in views similar, respectively to Figures 21a and 21b, an apparatus in accordance with an embodiment of the invention which includes a dual end effector with both  
20 Y- and J- motion capability for each of the wafer picking up portions (hands) of the end effector;

25           Figures 30a and 30b illustrate, in side partial section and plan view,, an apparatus in accordance with an embodiment of the invention which includes both Y- and J- motion capability and the accessing of cassettes positioned in a plane parallel to the Z axis;

30           Figures 31a and 31b illustrate, in views similar, respectively to Figures 21a and 21b, an apparatus in accordance with an embodiment of the invention which includes a dual end effector with Y-,  
35 E- and J- motion capability for each of the hands;

Figure 32 illustrates, in side sectional view, compensation of a robotic arm in accordance with an embodiment of the invention for latitudinal misalignment;

5

Figure 33 illustrates, schematically, compensation of a robotic arm in accordance with an embodiment of the invention for longitudinal misalignment;

10

Figures 34a and 34b illustrate, in plan views, longitudinal misalignment and compensation of a robotic arm in accordance with an embodiment of the invention for the longitudinal misalignment;

15

Figures 35a and 35b illustrate, in side partial sectional and plan views, respectively, multiple (two are shown) end effectors, each of which is a dual end effector, with separate yaw motors for each of the dual end effectors;

20

Figure 36 illustrates the Y motor section of Figure 35a in enlarged and more detailed view;

25

Figure 37 illustrates the minimum end effector working envelope for a dual hand end effector;

Figure 38 illustrates the minimum end effector working envelope for a two Y axis single hand end effector;

30

Figures 39a-39f illustrate one mode of operation in accordance with an embodiment of the invention;

35

Figure 40 is a general representation of the elevator structure shown in Figures 22-26 wherein all plates of the table are in a symmetrical relationship;

5           Figure 41 is a general representation of the deformation which occurs between the joints of the elevator structure shown in Figures 22-26 when the upper plate is provided at an angle;

10           Figure 42 is a general representation of the elevator structure shown in Figures 22-26 representing a first embodiment wherein the bushings around the universal joints are elastic;

15           Figure 43 is a representation of the elevator structure shown in Figures 22-26 wherein the rod members are elastic.

20           Figure 44 is a side view of an alternative embodiment of the elevator structure suitable for use in accordance with the robot of the present invention.

25           Figure 45 is a second side view of the robot shown in Figure 44.

            Figure 46 is a top view of the elevator structure shown in Figure 44.

30           Figure 47 is a top view of the spring mechanism utilized in the elevator structure shown in Figures 44-46.

#### Detailed Description of Invention

35           The present invention provides a unique yaw motion to the end effectors of robotic arms which

allows the arms to be used more efficiently than can  
prior art arms which lack yaw motion. In certain  
embodiments both roll/or pitch motion is provided. The  
motion of the arm can be controlled via mechanisms  
5 which include measuring the rotational rate (and  
thereby positions) of the radial and rotary drive  
shafts and of the yaw drive shaft (and the roll and  
pitch drive shafts, where used) and utilizing a  
computer to control these quantities such that the end  
10 effector of the robotic arm follows a desired path.  
The arm retains the capability of straight line motion  
and, indeed, extends this ability to straight line  
motion in other than the radial direction as well as to  
an arbitrary trajectory. There are a number of unique  
15 features to the invention.

For a better understanding of the invention  
it should be noted that the terms "belt", "belt means",  
"pulley" and "pulley means" are, at times, referred to  
20 as gearing. It should further be understood that the  
terms "belt" and "belt means" are used broadly to  
include toothed and untoothed constructions, chains,  
fabric belts, woven belts and the like. They may be  
constructed of any suitable material, natural or  
25 synthetic, organic, inorganic, polymeric, composite or  
metallic. Likewise the terms "pulley" and "pulley  
means" are used broadly to include toothed and  
untoothed constructions, constructions which positively  
engage with respective belts or which engage only  
30 frictionally with such belts. They too may be  
constructed of any suitable material, natural or  
synthetic, organic, inorganic, polymeric, composite or  
metallic. It should also be mentioned that the terms  
"vertical" and "horizontal" are occasionally used herein  
35 to simplify discussions of certain embodiments of the

invention. It should be recognized that these terms are used for ease of explanation and that what is meant is simply two mutually perpendicular axes. With this in mind the following detailed discussion of the invention will be set forth.

An understanding of the basic formulas governing such motion as is contemplated and as falls within the ambit of the present invention will be informative and will aid in understanding the invention. These and their use are shown diagrammatically in Figures 7 and 16. The basic notation used is that:

$\mathbf{X}$  - end effector position vector:  $\mathbf{X} = [R \ \theta \ Z \ \phi]^T$  or  $\mathbf{X} = [X \ Y \ Z \ \phi]^T$  where  $R$ ,  $\theta$  and  $Z$  are the cylindrical coordinates of the end effector pole, while  $X$ ,  $Y$ ,  $Z$  are its Cartesian coordinates where  $\phi$  is the angle between the longitudinal axis of the end effector and the abscise axis of the world coordinate frame;

$\mathbf{X}_d$  - the desired position of the end effector;

$\mathbf{e} = \mathbf{X}_d - \mathbf{X}$  - the trajectory tracking error

$d\mathbf{X}/dt$  - end effector linear velocity vector:  $d\mathbf{X}/dt = [dR/dt \ d\theta/dt \ dZ/dt]^T$  or  $d\mathbf{X}/dt = [dX/dt \ dY/dt \ dz/dt]^T$  where  $d/dt$  denotes the first time derivative of the respective quantities;

$\|\mathbf{X}\|$  - the Euclidian norm of  $\mathbf{X}$ ;

$\mathbf{Q}$  - joint coordinate vector:  $\mathbf{Q} = [Q_1 \ Q_2 \ Q_3 \ Q_4]^T$  where  $Q_1$ - $Q_3$  are the angles between the links as shown in Figure 1 and  $Q_4$  coincides with the  $Z$  coordinate. Respectively the first time derivative is  $d\mathbf{Q}/dt$ ; and  $\Theta$  = motor coordinates vector:  $\Theta = [\theta_1 \ \theta_2 \ \theta_3 \ \theta_4]^T$ , where  $\theta_1$ - $\theta_4$  describe motor positions.

To move the end effector pole along a continuous trajectory (straight line, arc, etc.) in the Cartesian space with a prescribed end effector orientation one should synchronize motor velocities. The last depend on the trajectory executed, orientation change and motion parameters (linear and angular velocity and acceleration) desired. The first step towards the solution of this task is to plan the end effector velocity (linear and angular) at each sampling time. Usually the user specifies the norm of the maximum linear and angular velocity, acceleration and jerk (the first time derivative of the acceleration) of the end effector during the motion. The control system is responsible for generating a velocity profile that meets these requirements. At each sampling time the velocity profile generator, taking into account the current position of the end effector, calculates its linear and angular velocity vectors. They last are transformed into joint and motor velocities, solving the Inverse Kinetics Problem at velocity level. In order to calculate the joint and motor velocities the current motor positions should be available (motor positions are given by the encoders and transformed to joint positions through the solution of the Direct Kinematics Problem at position level). The motor velocities are loaded into the motion controller and the sequence above is repeated at each sampling time until the end of the trajectory is reached. Figure 8 sets forth a scheme of control embodying these principals.

Referring to Figure 1 (a), a prior art robotic arm assembly 10 is illustrated which comprises a robot base, links L1, L2 and L3 plus an end effector 18. A radial drive motor Servo Motor 1 drives a radial

drive shaft 20. The radial drive motor Servo Motor 1, motivates a gear train 28 which, in turn, drives the radial drive shaft 20.

5 In Figures 1 (a) and (b) there are 3 longitudinally extending links. Each link has a proximal end portion and a distal end portion. A more detailed description of the robotic arm of Figure 1 (a) can be found in U.S. Patent No. 5,064,340. Each of the  
10 links has its proximal end portion pivotally mounted to rotate about a respective  $n$ th axis. Thus, the first link L1 has its proximal end portion pivotally mounted about a first (primary) axis 21, the second link L2 has its proximal end portion pivotally mounted to rotate  
15 about a second axis 23, etc. The end effector 18 is pivotally mounted to rotate about an  $n+1$ st axis, in the embodiment of Figures 1 (a) and (b), about a fourth (end effector) axis 25 which is located at the distal end portion of the preceding link (in the embodiment illustrated at the distal end portion of the third link  
20 L3). As will be noted the axes of the links and of the end effector are all parallel to one another.

Note that the robotic arm of U.S. Patent No.  
25 5,064,340 is only one of numerous robotic arms with which the embodiments of the invention can advantageously be utilized. For example, and without limitation, the robotic arm of U.S. Patent application Serial No. 08/432,682 can also be used as can other  
30 arms described herein and elsewhere.

Belt means (shown as dashed lines) are each rotated by respective ones of  $n$  corresponding pulleys. A first of the pulleys is rotated by the driving end  
35 portion of the radial drive shaft 20. In Figure 1 (a),

each succeeding belt means except for the  $n$ th is arranged to rotate the next successive link about its respective axis. The  $n$ th belt means is arranged to rotate the end effector 18 about the  $n+1$ st axis 25. Figure 1 (b) lacks the  $n$ th belt means and end effector 18 is instead rotated in accordance with the present invention by Servo Motor 3.

The operation of the radial drive components are substantially the same as those described in U.S. Patent No. 5,064,340. It should be noted that the effective length of each link is defined as the distance between a first pivot axis where the proximal end of that link is pivotally mounted and a second pivot axis where the proximal end portion of the next link is pivotally mounted. The radial drive rotatable shaft 20 rotates relative to a robot base. The radial drive rotatable shaft 20 motivates a pulley-drive wheel which rotates therewith. The drive wheel is coaxial with the first axis 21. The first link L1 is pivotally mounted to the robot base at a bearing structure.

A post is mounted to the distal end portion of the first link L1 along a second pivot axis 23. The post has the second effectively cylindrical surface on it with the second effectively cylindrical surface being cylindrical about the second pivot axis 23. The second link L2 is pivotally mounted relative to the post by bearings 22, whereby the second link L2 is rotatable at its proximal end portion about the second pivot axis 23. The second link L2 has a second pulley surface aligned opposite the first pulley surface and it has a third pulley surface aligned opposite a fourth pulley surface located about a third axis 27. In Figure 1 (a) an additional belt/pulley arrangement

5 serves to rotate the end effector 18 which is rotatably mounted via bearings about a post mounted to the distal end portion of the second link L2. Belts serve to impart the needed rotation about the various axes in Figure 1 (a). If desired, gearing can be provided in the first link L1 between the first axis 21 and the second axis 23. Such is shown in previously mentioned U.S. Patent No. 5,064,340.

10 In accordance with the present invention, radial drive sensor means 90, in practice an incremental photo encoder, is provided for measuring a quantity indicative of the rotational position about the axis 21 of the radial drive shaft 20 and for  
15 generating an electronic signal representative of the rotational position of the radial drive shaft 20. For convenience and accuracy the quantity actually measured which is indicative of the rotational position of the radial drive shaft 20 is the rotational position of an  
20 extension 26 of the shaft 20, i.e., the rotational position of the radial drive motor, i.e., Servo Motor 1. Since the two quantities are proportional to one another the resulting electronic signal is indicative of the desired quantity, namely, the rotational  
25 position of the radial drive shaft 20. The photo encoder can be in the nature of a light source and a light sensor aligned to receive light from the light source when the light path is not blocked off (See Figures 17a and 17b and the text describing this  
30 technology.)

Means represented by line 92 is present for communicating the electronic signal representative of the rotational position of the radial drive shaft 20 to  
35 electronic computing means 96. Similarly, rotary drive

sensor means 98 is provided for measuring a quantity indicative of the rotational position of the rotary drive shaft 78 and for generating an electronic signal representative of the rotational position of the rotary drive shaft 78 about the first axis 21. In practice, the quantity is measured at the intermediate shaft 64. Means, represented by line 100, is provided for communicating the electronic signal representative of the rotational position of the rotary drive shaft 78 to the electronic computing means 96.

The electronic computer means 96 includes locus computing means 102 for computing the locus of the end effector 18 from the sensed rotational positions of the radial drive shaft 20 and the rotary drive shaft 78 using the geometric relations dictated by the specific lengths of the various links and the diameters of the various pulleys. The electronic computing means 96 further includes drive controlling means 104 for controlling the radial drive motor, Servo Motor 1, and the rotary drive motor, Servo Motor 2 such that the number of revolutions of the rotary drive shaft 20,  $n_1$ , divided by the number of revolutions of the radial drive shaft 78,  $n_2$ , is equal to  $-K$  so as to position the end effector 18 to follow a radial straight line path and to arrive at a desired locus. The  $-K$  value does not satisfy this relationship for a non-radial straight line path. The control signal is represented by lines 106, 108 to the radial drive motor, Servo Motor 1, and to the rotary drive motor, Servo Motor 2, respectively.

Figure 1 (b) illustrates a basic embodiment of the present invention. The end effector 18 is rotated by operation of Servo Motor 3 about the axis 25

which can be referred to and thought of as the Y or yaw axis. In the particular embodiment illustrated Servo Motor 3 is mounted to the distal end portion of the link L3 and its shaft directly rotates the end effector 18. However, it should be noted that Servo Motor 3 can be mounted at any desired position so long as it is arranged so as to provide the desired yaw motion. For example, and without limitation, the Servo Motor 3 can be mounted within any one of the links, e.g., near the Servo Motors 1 and 2. If the Servo Motor 3 is not mounted at the axis 25 appropriate belt and pulley arrangements, like those described for delivering the rotary and radial motions, are provided so that rotational drive about the yaw axis results.

Figure 2 illustrates an application of the apparatus of Figure 1 (a). It will be noted that the work stations, W, and the wafer cassettes, C, are not located an equal radial distance from the axis 21 of the robotic arm. Using the yaw capability it is possible to so align the end effector 18 that it can be inserted in each work station and in the cassettes, as well, in a straight line even though the work stations and cassettes are not radially aligned relative to the axis 21. In such a manner the robotic system described can entirely replace expensive small track systems in which the robot is carried (translated) by a track in order to handle in-line arranged cassettes.

Figure 3 (a) illustrates use of two arms 10, 10' sequentially. An arm (not shown) to the left of the arm 10 picks up a wafer from any of the three left most cassettes and delivers it for processing within its working envelope. Following processing it delivers the processed wafer to the shared (shaded) cassette at

the right extreme of its working envelope. It should be noted that the shared cassette is defined as the cassette in the particular sharing location and each successive cassette will serve as the shared cassette as it passes through the sharing location. Arm 10 picks up a wafer which has been processed in this manner from any of the cassettes in its working envelope, delivers it for processing and, following processing delivers it to the shaded cassette at the right extreme of its working envelope. The arm 10' then carries out similar operations. As many arms as are needed can be placed in sequence in this manner.

Figure 3 (b) illustrates multiple off-radial cassette handling. Several sets of cassettes, C, arranged consecutively on a conveyor belt, B, proceed in the direction indicated on the conveyor belt. The whole process is divided into different stages each set of cassettes should pass through. The conveyor belt moves until a given set of cassettes enter the working envelope (delineated by a dashed rectangle) of the arm. The robot arm picks up a wafer from any of the cassettes within its working envelope and delivers it after processing to another cassette. After the stage finishes all the cassettes from the working envelope are transported by the conveyor belt to the next process stage.

Figure 4 illustrates use of a robotic arm having yaw motion for centering a semiconductor wafer (which may be misaligned in a cassette C) on the fly (as it traverses its path to a work station W.) Normally such wafers must be moved onto a chuck to center and rotate them so they are aligned properly for delivery to a work station. In accordance with an

embodiment of the invention an edge position measuring device, for example, an optical sensor such as a CCD sensor, can be positioned conveniently where the wafer is directed within the ambit of the sensor and any deviation of the center of the wafer from its required position is detected. The sensor array (in this instance a single sensor constitutes the array) then signals to electronic computer control circuitry which directs the yaw motor (Servo Motor 3) to correct for the misalignment. The wafer is then delivered to work station W in the proper alignment for processing.

The wafer operation of the embodiment of Figure 4 can be summarized as follows:

Position A: a non-centered wafer is in the source cassette. The end effector coordinate frame coincides with the source cassette coordinate frame. The end effector moves with respect to its own coordinate frame (translates along the ordinate axis) until the wafer is pulled out of the cassette;

Position B: the wafer moves inside the measuring area. The displacement of the center of the wafer with respect to the end effector coordinate frame is calculated based on the sensor (CDD) readings. The axes of the wafer coordinate frame are parallel to the corresponding axes of the end effector coordinate frame;

Wafer transporting and centering phase: the wafer is translated and rotated in order to arrive at position D properly positioned (the wafer coordinate frame should be parallel to the

destination cassette coordinate frame with  
ordinate axes coincident. The end effector should  
move in terms of the wafer coordinate frame  
(translation along the ordinate axis) until  
5 arrival at position E.

Position E: the wafer is centered. Its coordinate  
frame coincides with the destination cassette  
coordinate frame.  
10

Figure 5 is very similar to Figure 4 but  
demonstrates object alignment on the fly for a display  
panel P which is rectangular in shape. The panel is  
shown in positions A-D to show its progress on the fly.  
15 The sensor array, in this instance includes three  
sensors, two to define an edge and the third to define  
a perpendicular edge thereby completely defining the  
position of the panel.

20 The panel centering operation of the  
embodiment of Figure 5 can be summarized as follows:

Position A: a flat panel is in the source cassette  
with angular and linear misalignment. The end  
effector coordinate frame coincides with the  
25 source cassette coordinate frame. The end  
effector moves with respect to its own coordinate  
frame (translates along the ordinate axis) until  
the panel is pulled out of the cassette;

30 Position B: the flat panel moves inside the  
measuring area. The linear and angular offsets  
between the end effector coordinate frame and the  
flat panel coordinate frame are calculated based  
35 on the sensor (CDD) readings. The axes of the

wafer coordinate frame are parallel to the corresponding axes of the end effector coordinate frame;

5 Flat panel transporting and aligning phase: the panel is translated and rotated in order to arrive at position C properly positioned and oriented (the panel coordinate frame should be parallel to the destination cassette coordinate frame with  
10 ordinate axes coincident. The end effector should move in terms of the flat panel coordinate frame (translation along the flat panel ordinate axis) until arrival at position D.

15 Position D: the flat panel is aligned. Its coordinate frame coincides with the destination cassette coordinate frame.

20 Figure 6 (a) shows operation of a prior art robotic arm which does not include a yaw motor. A wafer is picked up at position A, the arm retracts along the R direction along the line segment A-B (and stops creating vibration). The arm then rotates about the axis 21 along the arc B-C (and stops creating more  
25 vibration). Finally, the arm extends along the R direction along the line segment C-D and deposits the wafer at the position D. The graph to the right of Figure 6 (a) illustrates the stop/start motion.

30 Figure 6 (b) illustrates the same resulting movement, from position A to position D, in a smooth and controlled manner and without any intermediate stops which would cause considerable vibration, particularly in view of the high speeds at which such  
35 operations are carried out in the semiconductor

industry. The path is along the continuous trajectory A-D.

Figure 9 illustrates a three hand end effector 118, which has hands extending at  $120^\circ$  from the end effector axis 25 shown in Figure 1 (b). The electronic computer means 96 controls the yaw motor (Servo Motor 3, as illustrated) utilizing the locus computing means 102 for most efficient operation thereby increasing throughput. The provision of controlled yaw motion removes the necessity of having a two or three hand end effector which has an axis which must be movable across the Z-axis (thereby allowing more efficient motion) and the necessity to utilize in and out linear motion across the Z-axis.

For flat panel display handling and the like an additional degree of freedom can be provided via means, e.g., an additional (5<sup>th</sup>) motor, for rotating the end effector about a roll axis, designated for convenience as an E axis, which is the longitudinal axis of the end effector 18. This allows such panels to be rotated about the roll axis into a vertical plane.

It should be noted that a distalmost axis motor 302 for rotating the end effector 18 about the roll axis 304 of the end effector 18, as illustrated in Figures 21a and 21b, can be used in place of the end effector motor (such as Servo Motor 3) in certain instances, in particular for correcting for misalignment of cassettes or workstations from being parallel with the primary axis 21 when tilting is provided of an elevator 310 which transports the arm assembly 10 along the primary axis 21. Basically, the

elevator 310 tips enough to align the axis 21 with the more or less vertical axis of the cassette/workstation holding wafers/panels and the motor 302 serves to tilt the end effector 18 so that it approaches the wafers/panels in completely proper angular alignment to pick them up and deliver them for processing. Other corrections which are needed are made as explained in more detail below.

10 In practice, the embodiment of Figure 21 operates as is set forth in following. Piezo crystals 311 are provided generally equally spaced at 120° about the axis of the elevator structure 310. Each piezo crystal has its own general coordinate that is measured by a respective feedback sensor 313 and is properly controlled so as to result in a given orientation of the plane of the arm links. These serve to sense the tilt of the robot base (lower plate) 328. The Y motor and/or the E motor are adjusted as explained below with the positions of each motor being sensed and transmitted to the computer controller which then controls the motors to attain a desired orientation and position.

25 In the embodiment illustrated the end effector 18 includes a proximal section 317 which is pivotally attached to the distalmost link and a distal section 319. The roll motor is located on either the proximal section 317 or the distal section 319 of the end effector 18. As illustrated the motor 302 is rigidly attached relative to the proximal section 317 and the motor shaft 315 is rigidly attached to the distal section 319. Accordingly, as the shaft 315 is rotated by the roll motor 302 the distal section 319 is rotated about the roll axis 304 relative to the

proximal section 317. As with the Y motor, the precise arrangement illustrated for the E motor need not be used. The E motor can be otherwise positioned and, if necessary, a belt and pulley arrangement may be provided to motivate the desired roll motion. Generally, however, installing the roll motor as illustrated is preferred.

Appropriate sensors, of the nature discussed elsewhere herein, serve to measure the relative positions of the proximal and distal sections. The information is transmitted to the computer controller which sends control signals to the motors to control the relative positions of the proximal and distal sections. This provides roll motion. Y motion is provided as described elsewhere herein by operation of the Y motor. All motions are controlled by the computer utilizing sensors which sense the positions of the shafts of the various motors and transmit this information to the computer.

Figure 22 illustrates a generally universally tiltingly adjustable elevator structure 310 useful in accordance with certain embodiments of the present invention. The elevator is shown both in its retracted form (in solid lines) and in its expanded form (in dashed lines).

The elevator structure 310 is made up of two distinct portions, namely, a rigid frame 312 which includes a base 314 having an upwardly facing generally planar surface 316, a rigid vertical structure 318 having a structure upper end portion 320, the rigid structure 318 extending upwardly generally orthogonally from the planar surface 316 to the structure upper end

portion 320 and a flange 322 attached to the structure upper end portion 320, the flange 322 being generally parallel to the planar surface 316.

5                   The second part of the elevator 310 is a movable elevatable structure 324 which is telescopically mounted to the rigid frame 312. It moves up and down through an opening 325 in the flange 322. The movable elevatable structure 324 includes an  
10 upper plate 326, a lower plate 328 which is positioned at a spaced distance from and generally parallel to the upper plate 326 and at least three non-coplanar linearly extending generally parallel members 330. Each of the members 330 has a respective upper end  
15 portion 332 and a respective lower end portion 334. Each of the members 330 extends from the upper plate 326 to the lower plate 328. The members 330 are each generally (but not exactly during tilting) orthogonal relative to the plates 326 and 328. Generally, the  
20 non-coplanar members are substantially equally spaced about the peripheries of the upper plate 326 and the lower plate 328.

                  A plurality of upper universal joints 336 are  
25 supported by the upper plate 326. The term "universal joint" as used herein includes joints with either two or three degrees of freedom as well as any other kinematically equivalent joint that can be used to restrict the motion of the plate or other member to  
30 which it is connected. The number of upper universal joints 336 is equal to the number of linearly extending members 330. Each of the upper universal joints 336 is arranged to universally mount the respective upper end portion 332 of a respective one of the linearly  
35 extending members 330 to the upper plate 326.

Likewise, there are a plurality of lower universal joints 338 which are supported by the lower plate 328. The number of lower universal joints 338 is equal to the number of linearly extending members 330. Each of the lower universal joints 338 is arranged to universally mount the respective lower end portion 334 of a respective one of the linearly extending members 30 to the lower plate 328.

Motor means 340, as seen in Figure 23, is rigidly supported, generally by the base 314. It serves for motivating each of the linearly extending members 330 independently of each other of the linearly extending members 330 toward and away from the base 314. The preferred motor means comprises three separate motors, one of which, 342, is shown in Figure 23. Each motor operates via a respective belt and pulley arrangement 344 to rotate a respective lead screw 346 which is mounted for rotation relative to the base 314 and to the flange 322. A bracket 352 is attached to the linearly extending member 330 and has an extending arm 354 which defines a bore 356 which includes a thread follower structure 357, for example, a ball screw bearing (preferred) or mating threads, which engages with the lead screw 346. Thereby, rotation of the lead screw 346 under the impetus of the motor 342 causes the bracket 352 to move in a direction determined by the direction of rotation of the motor 342 which in turn leads to linear motion of the linearly extending member 330 (and to tilting of the upper plate 326 and of the lower plate 328 if all motors are not operating identically). A single motor along with a more complex pulley/belt arrangement and appropriate gearing and/or clutching can alternatively be utilized.

The elevator structure 310 in accordance with the present invention is generally useful in combination with an article positioning apparatus 360 as described elsewhere herein which can be suitably mounted to the elevatable structure 324, generally to the upper plate 326 or to the lower plate 328 as illustrated in Figure 24. As shown it is mounted to the upper plate 326. The particular apparatus illustrated in Figure 24 includes an end effector 362 pivotally connected to a forearm 364 which is in turn pivotally connected to a proximal arm 366, which is pivotally connected about an axis 369 to a post 368 which can be conventionally motivated to move vertically to provide Z- axis motion. The end effector 362 would conventionally include vacuum pickup means which are useful for picking up semiconductor wafers and the like. All are conventionally controlled by control means, namely by the computing means 96.

It should be understood that there must be some elasticity inherent in the formation of the table. In one embodiment, the universal joints discussed above are somewhat elastic with the rods and the table being rigid. The reason for such elasticity is the change in the linear distance of the joint center-to-center relationship when the table is at an angle. Figure 40 shows the tilt mechanism in a symmetrical (all plates parallel) relationship. In this configuration, the distance (I) from the center of the respective joints 338<sub>1</sub>, 338<sub>2</sub> to each other is equal if the symmetrical relationship is maintained. However, as shown in Figure 41, if the upper platform 326 has a first end 326<sub>1</sub>, higher than the second end 326<sub>2</sub>, the distance (I) between the respective centers of the universal joints 338<sub>1</sub>, 338<sub>2</sub> will be greater by a distance d<sub>1</sub> than in the

5 symmetrical case. This requires that the universal joints be elastic enough to allow for the variation of the distance  $dI$  in the movement of the table. Figure 42 shows a first proposed scheme wherein the bushings have an elastic element positioned therein to allow for the variation  $dI$ . Figure 43 shows a second proposed scheme wherein the rods themselves can elastically deform. It should be apparent to one of average skill that elasticity may occur in both the rods and the joints due to the finite stiffness of the materials used in construction.

15 Figures 44 - 47 show an alternative embodiment to the elevator table shown in Figs 22-26. In this embodiment, the elevator screws 346-1 are positioned within the arm members 330-1 to allow for a more compact arrangement for the elevator structure, making it suitable for applications wherein the physical space for the robot is limited.. A spring member 360 is positioned at the base of the frame 312 to ensure that the parallel arm members 330-1 do not rotate. Three motor means 344-1, 344-2, 344-3 are coupled to three belt and pulley arrangements 344-01, 344-2, 344-3, respectively, to rotate the screws to raise and lower the platform 322-1 in accordance with the above description.

30 A suitable position measuring sensor system 370 (Figure 24), can include a) signal transmitting means for transmitting a first signal (e.g., a photo or a sonic signal) longitudinally forward from the distal end of the end effector 362 parallel to the workpieces, b) signal detector means (e.g., a photo or a sonic signal detector) for providing a signal indicative of impinging incident energy, and c) energy gathering and

transmitting means for gathering energy caused by reflection of the first signal from a workpiece and transmitting such energy to the signal detector means. Status signal transmitting means are provided for  
5 transmitting a signal to the control means indicative of the presence or absence of energy caused by reflection of the first signal from a respective one of the workpieces.

10 The position measuring sensor system 370 can be provided on the end effector 362 for detecting whether or not any wafer, panel, cassette or workstation to be picked up is properly aligned or is misaligned and somehow canted. In the latter instance  
15 the elevator structure 310 can be utilized to tilt the entire article positioning apparatus 360 so that the vacuum pickup on the end effector 362 is aligned to properly pick up the wafer. The end effector 362 is appropriately tilted about the n th axis 25 or about  
20 the longitudinal axis 304 of the end effector 362 utilizing, respectively, the Servo Motor 3 or the motor 302. Basically, the tilt of the elevator structure 310 is adjusted utilizing the Servo motor 3 and the roll motor 302 so that the wafer/panel/etc. is in  
25 proper position for delivery to a wafer/panel processing station in a semiconductor/panel processing operation. As will be noted by reference to Figure 24, the article positioning apparatus 360 is shown in two positions, one corresponding to full retraction of both  
30 the rod 368 and the elevator 310 and the other corresponding to at least partial extension of the elevator 310 and the article positioning apparatus 360.

The article positioning apparatus 360 has a  
35 bottom portion 363 which is supported by the upper

plate 326 in the embodiment illustrated. The article positioning apparatus 360 extends upwardly through the generally central opening 325 in the flange 322 to above the upper plate 326. In the particular embodiment illustrated in Figures 22-24 there are three lead screw/bracket/linearly extending member combinations (one is shown) equally spaced around the flange 322 which, in this instance, is circular in shape. Rigidity to the structure is provided by the rigid vertical structure 318 which in the particular embodiment illustrated comprises three tubes which are rigid and which are attached from the base 314 to the flange 322.

As seen in Figures 25 and 26, a pneumatic pressure cylinder 386 is attached to a first membrane 384. A flange 392 is rigidly attached to a second membrane 382 with bolts 379. After activation of the pressure cylinder 386 a cylinder rod 389 applies a force upon the flange 392. The flange 392 transmits this force to the second membrane 382. As a result, the second membrane 382, which is rigidly attached with bolts 391 to the bottom of the elevator 310, bows upwardly. At the same time, the first membrane 384 has a tendency to bow downwardly.

Another mechanism is provided to control the deformation of the membranes 382 and 384. A flange 385 is spaced apart from and under the first membrane 384. A structure 388 is attached with its upper end portion 393 attached to the flange 392. The structure 388 passed through the first membrane 384 in non-contacting relation and has its bottom portion attached to the flange 385. An adjustment mechanism 387 allows the flange 385 to be positioned closer to or further from

the first membrane 384. Accordingly, a gap 381 as defined between the flange 385 and the first membrane 384, determines the travel of the cylinder rod 389 and thereby the extent of the deformation of the first and second membranes 384, 382.

Figures 27 and 28 illustrate embodiments of the invention in which yet another motion about a pitch axis of the end effector, designated as J, axis which is perpendicular to the E axis and to the Y axis is provided. A pitch motor is provided which acts between the proximal and distal sections of the end effector 18 to provide relative rotation about a J axis. This motion is useful in allowing flat panels to be rotated from the horizontal to the vertical. It is also useful to allow cassettes to be readily transferred from, for example, horizontal cassettes or workstations to vertical cassettes or workstations or simply into and/or out of other than horizontally aligned cassettes (See cassettes 1, 2 and 3). If both Y and J motion is provided it is not necessary that the cassettes be arranged radially.

Note that Y, J and E motion can be provided with a single end effector for maximum versatility. If both J and E motion are provided it becomes necessary to have proximal, central and distal sections of the end effector so as to allow both J and E motion to be attained. The J motor is controlled in the same manner as are all other motors via appropriate sensors, transmission of signals to the computer controller and transmission of control signals from the computer controller to the J motor.

Referring again to Figures 21a and 21b, it

will be apparent that the robotic arm illustrated provides 7 degrees of freedom and is capable of performing a general controlled motion in three dimensional space. The combination of the Y and E axes can be considered as a two degree of freedom wrist attached to a "planar" two dimensional arm movable with two degrees of freedom, R and  $\theta$ . The entire arm is spherically attached to a platform that moves vertically (Z direction). The rotation (tilt) of the arm around the spherical bearing is controlled by the three piezo crystals. Each piezo crystal has its own generalized coordinate system that is measured by a respective feedback sensor as discussed elsewhere. It is properly controlled so as to result in a given orientation of the plane of the links.

Figures 29a and 29b illustrate an embodiment of a robot having a Yaw motor plus a double end effector 718 with each of the end effector distal portions 718a, 718b being rotatable about a respective pitch axis 720a, 720b utilizing its own pitch motor 722a, 722b and its appropriate sensor 724a, 724b which is in communication with and controlled by the computer controller 92. This serves to minimize the time needed to handle workstations or cassettes when repositioning workpieces. The workpiece is picked up by the first end effector portion via orienting its pitch axis and is replaced at the workstation by a workpiece which is already available on the second end effector portion.

Figures 30a and 30b illustrate the use of a robotic arm having a J axis to pick up workpieces from both vertically and horizontally aligned cassettes, C.

Figures 31a and 31b illustrate a robotic arm

with a dual end effector with each wrist region having Y, J and E motions. The result is universally adjustable wrists. The use of a tilting elevator is not needed with this construction since the robot is capable, through use of the available  $\theta$ , R, Z, Y, E and J motions, of compensating for any misalignment of the cassette/workstation axis relative to the Z axis. In the working envelope the arm can reach any arbitrarily situated cassette and can approach the cassette for proper entrance to pick up or deposit workpieces.

It is important to make certain corrections in the positioning of the end effector 18 when the elevator is tilted to align it to pick up workpieces which are canted in a cassette or from cassettes which are themselves canted relative to the Z axis. The corrections are needed since the tilting is of the elevator platform on which the robotic arm 10 is mounted and the end effector is in a plane removed from that of the elevator platform. These corrections will be understood by reference to Figures 32-34b.

Figure 32 shows a robotic arm 10 and tiltable elevator with the arm portion being shown in two different positions. When the elevator platform is tilted from the horizontal to correct for a latitudinal misalignment of a cassette there are errors introduced in the R and Z directions, labeled  $dR$  and  $dZ$  respectively, due to the angular correction, labeled  $d\alpha$ . The computer controller simply makes the required R and Z corrections from the known geometry and the known value of  $d\alpha$ .

Figure 33 shows the correction needed in the case of compensation for longitudinal cassette

misalignments. Again, the arm is shown in two positions. Due to the separation of the planes of the end effector and the elevator platform on which the robotic arm is supported, the change,  $d\alpha$ , in the angle of the platform leads to errors in the yaw, R and  $\theta$  directions of the end effector. Again, the computer controller merely calculates the needed corrections and makes them taking into account the known geometry and the known value of  $d\alpha$ . Figures 34a and 34b illustrate the errors with Figure 34a showing the situation before correction where the right-hand arm is offset a distance  $dr$  due to the shift  $ds$  of the Z axis of the robotic arm. Figure 34b shows the  $d\theta$ ,  $dY$  and  $dR$  ( $R_2 - R_1$ ) corrections which shift the end effector from the right-hand arm of Figure 34a to the necessary position and orientation.

While a particular tiltable elevator structure has been described above it will be apparent to one of skill in the art that other computer controlled tiltable elevators can be substituted for it and that the improvement in this particular area lies in the combination and coordination of the tiltable elevator with the additional degree of computer controlled rotational motion about one or more of the wrist axes.

In accordance with the present invention, and making use of an apparatus generally as set forth above, a method is provided for picking up an ostensibly horizontally (For convenience the workpiece is spoken of as being in a horizontal alignment. Note that the initial orientation is not so limited.) lying generally planar workpiece which is misaligned from the horizontal (or from another loading orientation) and

which is one of a plurality of stacked spaced apart workpieces. Basically the end effector 362 is moved to the vicinity of the workpieces. The sensor 370 on the end effector 362 is utilized to measure the alignment of each workpiece prior to its being picked up. When any of the workpieces are misaligned, the degree of misalignment is measured and communicated to computer control means 96. The computer control means 96 is utilized to control the motor means 340 and the Servo Motor 3 and/or the motor 302 and the article positioning apparatus to align the end effector 362 to pick up the misaligned workpiece. The motor means 340 is then controlled again to position the workpiece in the desired orientation.

The present invention also provides a method for controlling an arm structure 10 which comprises n longitudinally extending links each having respective proximal and distal end portions when n is 2 or a larger integer. In accordance with the method the rotational positions of the radial drive shaft, the rotary drive shaft and the end effector drive shaft are all measured. Electronic signals representative of such rotational positions are generated. The electronic signals representative of the rotational positions of the various drive shafts are communicated to electronic computer means and treated in the manner indicated diagrammatically in Figure 8. The electronic computer means computes the locus of the end effector 10 from the electronic signals representative of the rotational positions of the drive shafts. It also controls the drive motors to move and position the end effector in any desired location within its reach.

Figures 35a and 35b illustrate an embodiment

of the invention which includes a plurality of Y axis  
end effectors (two end effectors 1002, 1004 are shown  
but more are practical and readily implemented), each  
of which is a dual end effector in the sense of having  
5 two hand portions, 1002a, 1002b and 1004a, 1004b,  
respectively, each. As will be noted from the drawings  
the Y axes of the two end effectors 1002, 1004 are  
coextensive. Separate Y motors 1006, 1008 drive each  
end effector 1002, 1004 about the common Y axis 1010.  
10 The drive details are seen best in Figure 36. Figure  
35b shows the end effectors 1002, 1004 at right angles  
from one another for clarity. However, an advantage of  
this embodiment of the invention is that they can  
alternatively be rotated so that one is below the other  
15 as seen in Figure 35a. Also, while a dual handed end  
effector is shown for both end effectors 1002, 1004, it  
will be apparent that one or both can be single handed,  
double handed, triple handed, etc. As with the other  
embodiments discussed herein and generally in the same  
20 manner, the positions of the respective Y motors can be  
determined by sensors and the information can be  
transmitted to the computer controller 92 which can  
control and correlate their operation with the  
operation of the R, Z,  $\theta$  motors and the elevator motors  
25 to provide extreme versatility of operation.

Referring to Figure 36 it will be noted that  
motor 1008 turns, via an intermeshing gear arrangement  
1012, a hollow cylindrical shaft 1014 which is  
30 supported, via bearings 1016, by a post 1018 supported  
and extending upwardly from the distal end portion of  
the distalmost link of the robotic arm 10. A  
bottommost portion 1020 of the shaft 1014 is drivingly  
connected to a central portion 1004c of the end  
35 effector 1004. A coaxial hollow cylindrical shaft 1022

is supported, via bearings 1024, by the shaft 1014. A  
bottommost portion 1026 of the shaft 1022 is drivingly  
connected to a central portion 1002c of the end  
effector 1002. The motor 1006, via intermeshing gear  
5 drive train 1028, drives rotation of the shaft 1022.

One advantage of the invention using two or  
more coaxial Y axis rotatable single end effector is  
the reduction which occurs in the minimum working  
10 envelope of the robotic arm. Figure 37 shows the  
minimum working envelope of a robot with a single Y  
axis and a double (two-handed) end effector. The  
circle indicates the minimum working envelope needed to  
have two arms with this construction. Figure 38 shows  
15 the minimum working envelope, again indicated by a  
circle, for a pair of single end effectors (one hand  
each) but with a double Y axis drive wherein each end  
effector is independently controlled by its own motor.  
The reduced minimum working envelope results because of  
20 the ability to stack one of the end effectors above the  
other.

The combination of two Y drives and dual end  
effectors allows one and the same cassette or process  
25 station to be reached by both hand portions of the end  
effectors without rotation about the  $\theta$  axis. The  
replacement of the dual end effectors by two single end  
effectors each driven by a separate Y motor allows very  
fast wafer exchange in a process station without  
30 increasing the minimum working envelope (The minimum  
working envelope is the same as that for a Y axis  
rotatable robot with a single end effector due to the  
ability to position one end effector over the other.)  
Such exchanges are a bottleneck in many wafer  
35 processing processes.

Figures 39a-39f illustrate an example of an embodiment as just described. In Figure 39a a processed wafer is present in a workstation and the arm is holding another wafer ready for processing. The arm extends in a straight line from the Figure 39a position to the Figure 39b position and then withdraws in a straight line to the Figure 39c position. During these operations both Y motors are motivating movement about the two Y coaxial axes to guarantee the straight line path and to further assure that the end effector carries the not yet processed wafer within the working envelope (the space between the straight horizontal lines in the Figures.) Figure 39d illustrates the rotation of the processed wafer to the right and the beginning of straight line entry of the not yet processed wafer into the workstation. Figures 39e and 39f show completion of loading of the not yet processed wafer into the workstation.

Figures 10-12 show one embodiment of the frogs leg type linkage in accordance with an embodiment of the invention. A robotic arm mechanism 410 includes a relatively static structure 412 by which a frog leg type linkage 414 is supported via a pair of different height tubular members 416,418.

The frog leg type linkage 414 includes a pair of proximal links 420,422 having respective proximal end portions 424,426 and respective distal end portions 428,430. The proximal end portions 424,426 are respectfully pivotally mounted at the tubular members 416,418 for rotation about respective proximal axes 432,434 at what will be referred to as the shoulders of the mechanism 410. A pair of distal links 436,438 have proximal end portions 440,442 respectively pivotally

mounted to the distal end portions 428,430 of the proximal links 420,422 for rotation about respective axes 444,446 at what will be referred to as the elbows of the mechanism 410. The distal links 436,438 have  
5        respective distal end portions 448,450 pivotally mounted for rotation relative to each other, and to an end effector 452, about a distal axis 454 at what will be referred to as the wrist of the mechanism 410. While different length links can be used it is highly  
10        desirable that the proximal links 420,422 be of the same length as one another and that the distal links 436,438 be of the same length as one another. It is not necessary to have all of the links equal in length to one another.

15        In the embodiment of Figures 10-12 three motors are present for providing the desired motion. A shoulder motor 456 is seen in Figure 11. It provides motion at the shoulders as shown by the arrow in Figure  
20        1. An elbow motor 458 is seen in Figure 10. As the elbow motor extends or contracts along the length of the proximal link 420 it causes rotation about the elbows. A yaw motor 460, shown in Figures 11 and 12, causes yaw motion about the wrist. An electronic  
25        processor 96 is connected to receive signals as represented by signal receiving line 92 indicative of the degree of rotation of the shoulder motor 456 and of the wrist motor 460 and of the degree of extension of the elbow motor 458 as provided by sensors attached to  
30        measure these quantities. The electronic processor also, via control lines indicated at 100,106,108, serves to transmit signals which control operation of the motors 456,458,460 so as to position the end effector 452 in any desired orientation. It is clear  
35        that since the links of the linkage 414 are constrained

by their connection to move together only a single shoulder motor and a single elbow motor are necessary to provide any desired motion. E and/or J motion can be provided as desired or necessary as can a tilting elevator.

Figure 13 illustrates another frog legs structure in accordance with an embodiment of the invention. A robotic arm mechanism 510 is shown with the motor 560 differently positioned and where wrist motion is provided motivated by rotary motion of a shaft 568 of the motor 560. Appropriate belt and pulley means are provided to drive the wrist as the shaft 568 rotates. the shaft 568 rotates a pulley 570 which, via a belt 572 and pulley 574, rotates a shaft 576 which rotates in bearings 578. The shaft 576 rotates a pulley 580 which, via a belt 582 and pulley 584, rotates a shaft 586 which rotates in bearings 588 and which is connected at its upper region 590 to drive rotation of the dual end effector 552.

The elbow motor 558, as can be seen by reference to Figure 13, has a rotating shaft 592 which drives a pulley 594. A belt 596 transmits the power to a pulley 598 which rotates a shaft 600 in bearings 602. The shaft 600 has an upper region 604 which is connected to the proximal end portion 540 of the distal link 536 and thereby drives relative rotation about the elbow between the proximal link 520 and the corresponding distal link 536.

In both embodiments illustrated the elbow motor is connected to drive an opposite linkage, i.e., a different pair of proximal and distal links, than is the shoulder motor. This is for ease of construction.

In the embodiment of Figure 13 the belt and pulley arrangements for providing elbow and wrist motion are in opposite linkages since they could otherwise interfere with one another.

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Figure 14 is very similar to Figure 13. The only exception is with respect to the direction the shaft 586 extends from the pulley 584 and the corresponding changes in position of the associated link and the shaft 600.

10

While such frog legs structures as are described above have the motors within a static structure it should be noted that for non-vacuum environments, or for vacuum environments when space is not a problem, the wrist and elbow motors can be mounted respectively adjacent or at the wrist and elbow as with the non-frog legs embodiments.

15

Figures 15a and 15b illustrate the reach of the arm mechanism 510, 610 in schematic top views. In Figure 15a a plurality of workpiece containing cassettes 605 (2 are illustrated) and/or of workstations 606 are shown. It will be noted that they, the workstations 606 as well as the cassettes 605, are not located radially from the center of the robotic arm mechanism 510, 610 so that an arm which could only move in the R and  $\theta$  directions could not cause its end effector to enter them perpendicularly as does the end effector 552 of the arm mechanism 510, 610 present invention. As will be noted, the arm mechanism 510, 610 can be aligned and advanced or retracted to pass orthogonally into or out of any of the cassettes/workpieces 605, 606. Using the yaw capability it is possible to so align the end effector

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552 that it can be inserted in each workstation 606 and in the cassettes 605, as well, in a straight line even though the work stations 606 and cassettes 605 are not radially aligned relative to the shoulders. In such a manner the robotic system described can entirely replace expensive small track systems in which the robot is carried (translated) by a track in order to handle in-line arranged cassettes. These motions are attainable due to the presence of the wrist motor. Use of an end effector 552 which has two or more hands such as the two hands 552a, 552b illustrated, is particularly efficient and provides very quick operation with low down time. Use of additional hands increases the advantage, within reason. A three hand end effector allows still more efficiency of operation. Of course the workpieces take up space and the cassettes have limited size openings so the number of hands should not be increased so much as to create interference with operation.

It should be noted that the links are offset vertically from one another (See Figures 13 and 14) to allow the linkage 514 to pass over each other and over the static structure 512 so as to allow the motions illustrated in Figure 15.

In accordance with the present invention, shoulder motor sensor means 620 (shown in Figure 13), in practice an incremental photo encoder, is provided for measuring a quantity indicative of the rotational position about the axis 544 of the shoulder motor drive shaft 622 and for generating an electronic signal representative of the rotational position of the shoulder motor drive shaft 622. In practice such motor sensors are packaged within an extension 621 of the

motor housing and are available commercially. The  
resulting electronic signal is indicative of the  
desired quantity, namely, the rotational position of  
the shoulder motor drive shaft 622. The photo encoder  
5 can be in the nature of a light source and a light  
sensor aligned to receive light from the light source  
when the light path is not blocked off. The light beam  
from the light source is directed parallel to the  
rotating shaft which has a generally circular disc  
10 mounted on it between the light source and the light  
sensor and extending into the light beam. The disc has  
alternating transparent and opaque areas and the number  
of pulses generated at the light sensor during rotation  
of the motor is indicative of the speed of the motor  
15 and thereby of the rotational position of the shaft.  
Figures 17a and 17b illustrate the structure.

Means represented by line 92 (Figure 12) is  
present for communicating the electronic signal  
20 representative of the rotational position of the  
shoulder motor drive shaft to electronic computing  
means 96. Similarly, elbow motor drive sensor means  
624 is provided for measuring a quantity indicative of  
the extension (Figures 10-12) of a follower 626  
25 threadably mounted to and extending with rotation of  
the elbow motor drive shaft in Figure 10 or of the  
rotational position of the elbow motor drive shaft 592  
(Figure 13) and for generating an electronic signal  
representative of the quantity measured. The follower  
30 626 is attached to the proximal end portion 540 of the  
distal link 536 whereby the angle between the links  
changes as the follower 626 is moved due to rotation of  
the elbow motor drive shaft. Alternatively, and more  
usually, a sensor set up as shown in Figures 17a and  
35 17b will be used to measure the rotation of the shaft

592 and from that information and the degree of pitch of the threading on the shaft 592 the extension can be calculated and from that and known geometric relations the angle between the proximal and distal links can be  
5 calculated and adjusted as desired. Means, represented by line 92, is provided for communicating the electronic signal representative of the position of the follower 626 or of the elbow motor drive shaft 592 to the electronic computing means 562.

10

The sensor means of the invention are illustrated in Figures 17a and 17b. An extension 700 of the respective motor shaft 568,592,622 within the housing of the respective motor 560,558,556 has a disk  
15 702 which rotates with the extension 700. A respective sensor 620,624,627, labeled 704 in Figure 17a, bounces a beam off the bottom of the disk 702 and detects when reflection occurs. The disk 702 has a plurality of reflective portions separated by a plurality of non-  
20 reflective or open portions See Figure 17b.) The beam is reflected only by the reflective portions. Since the positioning and separation of the reflective and non-reflective portions are known the resulting signal is proportional to the speed of rotation of the  
25 respective shaft.

The electronic computer means 562, the operation of which is illustrated schematically in Figure 8, includes locus computing means for computing  
30 the locus of the end effector 554 from the sensed positions of the drive shafts, and/or of the follower 626, using the geometric relations dictated by the specific lengths of the various links and the diameters of the various pulleys. The electronic computing means  
35 562 further includes drive controlling means for

controlling the shoulder drive motor 556 and the elbow  
drive motor 558 such that the locus 554 of the end  
effector moves along the desired trajectory which can  
be any arbitrary planar curve including, if desired, a  
5 straight line.

Figures 18a and 18b illustrate use of a  
sensor 800 placed on the end effector 552 in  
conjunction with a workpiece alignment chuck 802. The  
10 chuck 802 is used to rotate wafers placed on it so as  
to allow detection and positioning of any flats which  
may be present and also to allow centering of the wafer  
geometric center with the axis of rotation of the chuck  
802. In these Figures a wafer 804 is illustrated as  
15 being on the chuck 802. The sensor has an opening 806  
which can accept the periphery of the wafer. A  
detecting beam can pass from a top portion 808 of the  
sensor to a bottom portion 810 and be detected there or  
the beam can be reflected back towards the sensor in  
20 the top portion 808 and detected there. In this manner  
the edge of the wafer can be detected as the chuck 802  
holding the wafer 804 rotates wherefrom information  
with respect to location and orientation of any flats  
can be extracted and the position of the geometric  
25 center of the wafer 804 can be determined. The  
opposite end 812 of the end effector 552 can then pick  
up the wafer in a desired orientation and deliver it to  
a work station.

30 Figure 19 illustrates a three handed end  
effector 910 which can readily replace the two handed  
end effector 552 illustrated in other Figures.

Figure 20 illustrates a mechanism as shown in  
35 Figure 13 to which a Z-axis motor 950 has been added

along with a lead screw 952 which the Z-motor 500 drives and a lead screw follower bracket 954 which serves to lift a frame 956 on which the motors 556 and 560 are carried, thereby providing Z-movement.

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10 The present invention thus provides a method for controlling the arm mechanisms 510 and 610. In accordance with the method for controlling the arm mechanism 510, the linear position of the elbow motor drive shaft and the rotational positions of the shoulder motor drive shaft and the wrist drive shaft are all measured. Electronic signals representative of such positions are generated. The electronic signals representative of the positions of the radial, rotary and end effector drive shafts are communicated to electronic computer means in the manner indicated diagrammatically in Figure 12. The electronic computer means computes the locus of the end effector 552 from the electronic signals representative of the positions of the drive shafts. It also controls the drive motors to move and position the end effector in any desired location within its reach. In accordance with the method for controlling the arm mechanism 610, the rotational positions of the elbow motor drive shaft, the shoulder motor drive shaft and the wrist drive shaft are all measured. Electronic signals representative of such rotational positions are generated. Thereafter, the procedure is as with the arm mechanism 510.

30

35 The invention further provides a method of processing workpieces such as semiconductor wafers and flat panel displays. In the method a plurality of generally parallel wafers/panels are positioned in one or more cassettes with the cassettes not necessarily

having axes which are precisely parallel to the primary axis of a robotic arm. The arm includes a motor for selectively independently rotating an end effector about a Yaw axis and/or a roll axis and/or a pitch axis. The arm includes means for monitoring the R,  $\theta$  and Z positions of the arm and the Y and/or E and/or J positions of the wrist of the arm as well as computer control means for controlling such positions. Generally, sensor means are also provided for measuring the alignments of each workpiece as it is to be picked up by the arm. The wafers are picked up in turn with the computer control means controlling the arm so that each workpiece is picked up and delivered progressively to each workstation in proper alignment for processing at that workstation. The result is an improved yield of acceptable completed workpieces produced with an enhanced throughput.

#### Industrial Applicability

The present invention provides a robotic arm structure 10,510,610 useful for a number of things, particularly for positioning semiconductor wafers for processing and for positioning display panels for processing. The system provides far more flexibility for the design engineer and greatly reduces vibrations during operation of the arm structure. Increased throughput of acceptable workpieces results.

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modification, and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present

disclosure as come within known or customary practice  
in the art to which the invention pertains and as may  
be applied to the essential features hereinbefore set  
forth, and as fall within the scope of the invention  
5 and the limits of claims which may be supported herein.

CLAIMS

That which is claimed is:

5           1.    In a robotic arm structure providing  $\theta$ -, R-  
and Z-motion which includes at least two links, each  
having a proximalmost end portion and a distalmost end  
10           portion, with the  $\theta$  motion being about a primary axis  
at the proximal end portion of a proximalmost of the  
links, R motion proceeding radially from the primary  
axis whereby the distal end portion of a distalmost of  
the links can be moved radially in a straight line, an  
15           end effector having an end effector portion thereof  
pivotally mounted for rotation relative to the distal  
end portion of the distalmost link about an end  
effector axis which is parallel to the primary axis,  
the arm structure being controlled by electronic  
computer means controlling the  $\theta$ -, R- and Z- motions,  
20           sensor means for sensing the R,  $\theta$ - and Z- motions and  
for creating electronic signals representative thereof  
and signal transmission means for delivering the  
electronic signals to the computer means, the  
improvement comprising:

25           motor means connected to rotate the end effector  
about the end effector axis and to thereby provide  
a yaw (Y) motion;

30           wherein the sensor means further includes means  
for sensing the Y-motion and creating an  
electronic signal representative thereof;

35           wherein the signal transmission means further  
includes means for delivering the electronic  
signal representative of the Y-motion to the

computer means; and

5 wherein the electronic computer means further includes means for monitoring and controlling the Y-motion such that the end effector can be moved in a straight line which is not restricted to the radial direction.

10 2. The robotic arm structure of claim 1 wherein the electronic computer means further includes means for monitoring and controlling the  $\theta$ -, R-, Z- and Y-motions such that the distal end portion of the distalmost of the links can be moved in arbitrary continuous paths, including multisegment smooth  
15 trajectories and straight lines, which are not restricted to a radial direction while maintaining a prescribed orientation of the end effector.

20 3. A workpiece processing system which includes a set of cassettes arranged in a substantially straight line loaded with workpieces in combination with the arm structure of claim 1.

25 4. A workpiece processing system which includes a conveyor belt which transports cassettes loaded with workpieces along a path such that the cassettes are not all radially accessible to the end effector in combination with the arm structure of claim 1.

30 5. A plurality of arm structures in accordance with claim 1, each having one or more work stations within its reach, the arm structures being positioned sufficiently close together such that after a workpiece has been transported to one of the work stations within  
35 reach of a first of the arms and processed, it is then

transported by the first of the arms to a transfer station which is also within the reach of the second of the arms for processing at work stations within the reach of the second arm.

5

6. A sensor array located in a position such that a workpiece being transported by an arm as set forth in claim 1 passes over the sensor array whereat any deviation in alignment of the workpiece, if present, is determined and an electronic error signal is generated and communicated to the electronic computer means which controls the arm to make the appropriate R-,  $\theta$ - and Y- corrections to properly align the workpiece.

15

7. A robotic arm system as set forth in claim 1, further characterized in including:

at least one additional end effector pivotally mounted for rotation relative to the distal end portion of the distalmost link about the end effector axis;

20

additional motor means connected to rotate each additional end effector about the end effector axis and to thereby provide a yaw (Y) motion;

25

wherein the sensor means further includes means for sensing the Y-motion of the additional end effector and creating an electronic signal representative thereof;

30

wherein the signal transmission means further includes means for delivering the electronic signal representative of the Y-motion of the

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additional end effector to the computer means; and

wherein the electronic computer means further includes means for monitoring and controlling the Y-motion of the additional end effector such that the additional end effector can be moved in a straight line which is not restricted to the radial direction.

8. A method for controlling a robotic arm structure utilizing electronic computer means, the arm structure having a radial drive shaft and a rotary drive shaft respectively providing R- and  $\theta$ - motion and having an end effector drive shaft providing Y (yaw) motion, each drive shaft being driven by a respective motor, the arm structure having n longitudinally extending links, each link having respective proximal and distal end portions, n being 2 or a larger integer, and an end effector mounted at a distal end portion of an outermost of the links, comprising the steps of:

repeatedly measuring the rotational positions of the radial drive shaft, the rotary drive shaft and the end effector drive shaft;

generating electronic signals representative of the rotational positions;

communicating the electronic signals representative of the rotational positions to the electronic computer means;

utilizing the electronic computer means to compute the locus of the end effector from the electronic signals representative of the rotational positions

of the drive shafts, and to control the drive motors to move and position the end effector in any desired location within its reach.

5           9. The method of claim 8 wherein the step of utilizing comprises the substeps of:

continuously generating the desired position, orientation, velocity, acceleration and jerks of the end effector;

10           continuously measuring the motor positions;  
solving a direct kinematic problem at position level by calculating the position and the orientation of the end effector, and an end effector tracking error;

15           calculating the current end effector path;  
generating a velocity profile of the end effector;  
calculating an end effector velocity vector;  
solving an inverse of the kinematic problem at velocity level;

20           transforming joint velocities into motor velocities; and  
communicating the motor velocities to the motors.

25           10. In an arm structure providing  $\theta$ -, R- and Z-motion which includes at least two links, each having a proximalmost end portion and a distalmost end portion, with the  $\theta$  motion being about a primary axis at the proximal end portion of a proximalmost of the links, R motion proceeding radially from the primary axis whereby the distal end portion of a distalmost of the links can be moved in arbitrary continuous paths including multisegment smooth trajectories and straight lines and an end effector having an end effector portion thereof pivotally mounted for rotation relative  
30  
35           to the distal end portion of the distalmost link about

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respective shoulder axis to a relatively static support and in spaced apart relation to one another;

5 an end effector mounted at the distal end portion of the distal links for pivotal motion about the distal axis, all of the pivotal axes being parallel to one another and extending in a first direction, the links and the end effector being  
10 spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first direction in a volume extending along the first direction without obstruction from one another;

15 a yaw motor connected to drive relative rotation of the end effector about the distal axis;

20 an elbow motor connected to drive relative rotation between the proximal end portion of a respective one of the distal links and the distal end portion of the corresponding proximal link; and

25 a shoulder motor connected to drive rotation of the proximal end portion of one of the proximal links relative to the static structure.

30 16. A robotic arm mechanism as set forth in claim 15, wherein the links and the end effector are spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first direction over the static structure without obstruction from the static structure.

35

17. A robotic arm mechanism as set forth in claim 16, wherein the elbow motor is connected to drive rotation in a respective one of the linkages and the shoulder motor is connected to drive rotation in a  
5 respective other of the linkages.

18. A robotic arm mechanism as set forth in claim 15, wherein the yaw motor is mounted to the relatively static structure generally along a respective one of  
10 the shoulder axes and the shoulder motor is mounted to the relatively static structure generally along the same shoulder axis, the mechanism further including belt and pulley means for transmitting rotational motion from the yaw motor to the distal axis, the elbow  
15 motor being mounted to a respective other of the shoulder axes, the mechanism further including belt and pulley means for transmitting rotational motion from the elbow motor to the elbow axis.

19. A robotic arm mechanism as set forth in claim 15, wherein the end effector has a plurality of hand portions each of which is capable of manipulating a  
20 workpiece.

20. A robotic arm mechanism as set forth in claim 19, wherein the links and the end effector are spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first  
25 direction over the static structure without obstruction from the static structure.  
30

21. A robotic arm mechanism as set forth in claim 20, wherein the elbow motor is connected to drive rotation in a respective one of the linkages and the  
35 shoulder motor is connected to drive rotation in a

respective other of the linkages.

22. A robotic arm mechanism as set forth in claim 19, wherein the yaw motor is mounted to the relatively static structure generally along a respective one of the shoulder axes and the shoulder motor is mounted to the relatively static structure generally along the same shoulder axis, the mechanism further including belt and pulley means for transmitting rotational motion from the yaw motor to the distal axis, the elbow motor being mounted to a respective other of the shoulder axes, the mechanism further including belt and pulley means for transmitting rotational motion from the elbow motor to the elbow axis.

23. A robotic arm mechanism as set forth in claim 15, further including:

electronic controller means for controlling the end effector motor, the elbow motor and the shoulder motor;

sensor means for sensing the rotational positions of the end effector about the distal axis, the relative rotational positions of the proximal end portion of the respective one of the distal links and the distal end portion of the corresponding proximal link and the proximal end portion of the one of the proximal links relative to the static structure and for generating one or more electrical signals representative thereof;

means for communicating the one or more

5           electrical signals to the electronic computer means; the electronic computer means controlling the end effector motor, the elbow motor and the shoulder motor so as to position the end effector at a desired location.

10           24. A robotic arm mechanism as set forth in claim 23, wherein the links and the end effector are spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first direction over the static structure without obstruction from the static structure.

15           25. A robotic arm mechanism as set forth in claim 24, wherein the elbow motor is connected to drive rotation in a respective one of the linkages and the shoulder motor is connected to drive rotation in a respective other of the linkages.

20           26. A robotic arm mechanism as set forth in claim 23, wherein the yaw motor is mounted to the relatively static structure generally along a respective one of the shoulder axes and the shoulder motor is mounted to the relatively static structure generally along the same shoulder axis, the mechanism further including belt and pulley means for transmitting rotational motion from the yaw motor to the distal axis, the elbow motor being mounted to a respective other of the shoulder axes, the mechanism further including belt and pulley means for transmitting rotational motion from the elbow motor to the elbow axis.

35           27. A robotic arm mechanism as set forth in claim 23, wherein the end effector has a plurality of hand

portions each of which is capable of manipulating a workpiece.

28. A robotic arm mechanism as set forth in claim  
5 27, wherein the links and the end effector are spaced from one another along the direction of the axes such that the links can be moved orthogonally to the first direction over the static structure without obstruction from the static structure.

10

29. A robotic arm mechanism as set forth in claim  
28, wherein the elbow motor is connected to drive rotation in a respective one of the linkages and the shoulder motor is connected to drive rotation in a  
15 respective other of the linkages.

30. A robotic arm mechanism as set forth in claim  
27, wherein the yaw motor is mounted to the relatively static structure generally along a respective one of  
20 the shoulder axes and the shoulder motor is mounted to the relatively static structure generally along the same shoulder axis, the mechanism further including belt and pulley means for transmitting rotational motion from the yaw motor to the distal axis, the elbow  
25 motor being mounted to a respective other of the shoulder axes, the mechanism further including belt and pulley means for transmitting rotational motion from the elbow motor to the elbow axis.

31. In a robotic arm structure having  $\theta$ -, R- and Z-motors for providing  $\theta$ -, R- and Z-motions about a primary axis, the arm structure having an end effector portion thereof pivotally mounted for rotation about an end effector axis which is parallel to the primary  
35 axis, the arm structure being controlled by electronic

computer means controlling the  $\theta$ -, R- and Z- motors and thereby the corresponding motions, sensor means for sensing the R,  $\theta$ - and Z- motions and for creating electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement comprising:

means for providing yaw (Y) and roll (E) motion of the end effector;

workpiece orientation determining means for determining the orientation of a workpiece which is to be picked up by the end effector and for creating an error electronic signal representative thereof;

means for transmitting the error signal to the computer means;

wherein the computer means includes means for computing corrections to be applied to the R,  $\theta$ , Z, Y and E motions such that the workpiece can be picked up and transported by the end effector in a desired orientation.

32. A workpiece processing system which includes a conveyor belt which transports cassettes loaded with workpieces along a path such that the cassettes are not all radially accessible to the end effector in combination with the arm structure of claim 31.

33. A plurality of arm structures in accordance with claim 31, each having one or more work stations within its reach, the arm structures being position

sufficiently close together such that after a workpiece has been transported to one of the work stations within reach of a first of the arms and processed, it is then transported by the first of the arms to a transfer station which is also within the reach of the second of the arms for processing at work stations within the reach of the second arm.

34. A sensor array located in a position such that a workpiece being transported by an arm as set forth in claim 31 passes over the sensor array whereat any deviation in alignment of the workpiece, if present, is determined and an electronic error signal is generated and communicated to the electronic computer means which controls the arm to make the appropriate R-,  $\theta$ -, Z- Y- and E- corrections to properly align the workpiece.

35. In a robotic arm structure having  $\theta$ -, R- and Z-motors for providing  $\theta$ -, R- and Z-motions about a primary axis, the arm structure having an end effector portion thereof pivotally mounted for rotation about an end effector axis which is parallel to the primary axis, the arm structure being controlled by electronic computer means controlling the  $\theta$ -, R- and Z- motors and thereby the corresponding motions, sensor means for sensing the R,  $\theta$ - and Z- motions and for creating electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement comprising:

an elevator moveable along the Z axis, the robotic arm structure being supported by the elevator;

means for controllably tilting the elevator about any axis lying in a plane orthogonal to the Z axis;

5 means for providing yaw (Y), roll (E) and/or pitch (J) motion of the end effector;

workpiece orientation determining means for determining the orientation of a workpiece which is to be picked up by the end effector and for  
10 creating an error electronic signal representative thereof;

means for transmitting the error signal to the  
15 computer means;

wherein the computer means includes means for computing corrections to be applied to control the tilting of the elevator, to the R,  $\theta$  and Z motions and to one or more of the Y, E and/or J motions  
20 such that the workpiece can be picked up and transported by the end effector in a desired orientation.

25 36. A workpiece processing system which includes a conveyor belt which transports cassettes loaded with workpieces along a path such that the cassettes are not all radially accessible to the end effector in combination with the arm structure of claim 35.

30 37. A plurality of arm structures in accordance with claim 35, each having one or more work stations within its reach, the arm structures being position sufficiently close together such that after a workpiece  
35 has been transported to one of the work stations within

reach of a first of the arms and processed, it is then transported by the first of the arms to a transfer station which is also within the reach of the second of the arms for processing at work stations within the reach of the second arm.

38. A sensor array located in a position such that a workpiece being transported by an arm as set forth in claim 35 passes over the sensor array whereat any deviation in alignment of the workpiece, if present, is determined and an electronic error signal is generated and communicated to the electronic computer means which controls the arm to make the appropriate R-,  $\theta$ -, Z-, Y- elevator tilting corrections to properly align the workpiece.

39. In a robotic arm structure having  $\theta$ -, R- and Z-motors for providing  $\theta$ -, R- and Z-motions about a primary axis, the arm structure having an end effector portion thereof pivotally mounted for rotation about an end effector axis which is parallel to the primary axis, the arm structure being controlled by electronic computer means controlling the  $\theta$ -, R- and Z- motors and thereby the corresponding motions, sensor means for sensing the R,  $\theta$ - and Z- motions and for creating electronic signals representative thereof and signal transmission means for delivering the electronic signals to the computer means, the improvement enabling picking up and transporting workpieces in cassettes located in a planar region generally parallel to the Z axis, comprising:

means for providing yaw (Y) and pitch (J) motion of the end effector;

workpiece orientation determining means for  
determining the orientation of a workpiece which  
is to be picked up by the end effector and for  
creating an electronic signal representative  
5 thereof;

means for transmitting the signal to the computer  
means;

10 wherein the computer means includes means for  
computing corrections to be applied to control the  
R,  $\theta$ , Z, Y and J motions such that the workpiece  
can be picked up and transported by the end  
effector in a desired orientation.

15 40. A workpiece processing system which includes  
a conveyor belt which transports cassettes loaded with  
workpieces along a path in a planar region parallel to  
the Z axis such that the cassettes are not all radially  
20 accessible to the end effector, in combination with the  
arm structure of claim 39.

25 41. A plurality of arm structures in accordance  
with claim 39, each having one or more work stations  
within its reach, the arm structures being position  
sufficiently close together such that after a workpiece  
has been transported to one of the work stations within  
reach of a first of the arms and processed, it is then  
transported by the first of the arms to a transfer  
30 station which is also within the reach of the second of  
the arms for processing at work stations within the  
reach of the second arm.

35 42. A sensor array located in a position such  
that a workpiece being transported by an arm as set

forth in claim 39 passes over the sensor array whereat any deviation in alignment of the workpiece, if present, is determined and an electronic error signal is generated and communicated to the electronic  
5 computer means which controls the arm to make the appropriate R-,  $\theta$ -, Z-, Y- and J- corrections to properly align the workpiece.

43. A method of processing workpieces to produce  
10 a product, comprising:

positioning a plurality of generally parallel workpieces in one or more cassettes, the cassettes being located within the scope of action of a  
15 robotic arm capable of R,  $\theta$ , Z and Y and/or E and/or J motions, the cassettes having axes which are not coplanar with a primary axis of the robotic arm;

20 selectively independently rotating the end effector about a Y axis and/or a roll axis and/or a pitch axis;

25 monitoring the R,  $\theta$  and Z positions of the arm and the Y and/or E and/or J positions of a wrist portion of the arm;

30 controlling the R,  $\theta$  and Z positions of the arm and one or more of the Y and/or E and/or J positions of the wrist portion of the arm;

measuring the alignments of each workpiece as it is to be picked up by the arm;

35 controlling the arm so that each workpiece is

picked up and delivered in proper alignment for processing;

5

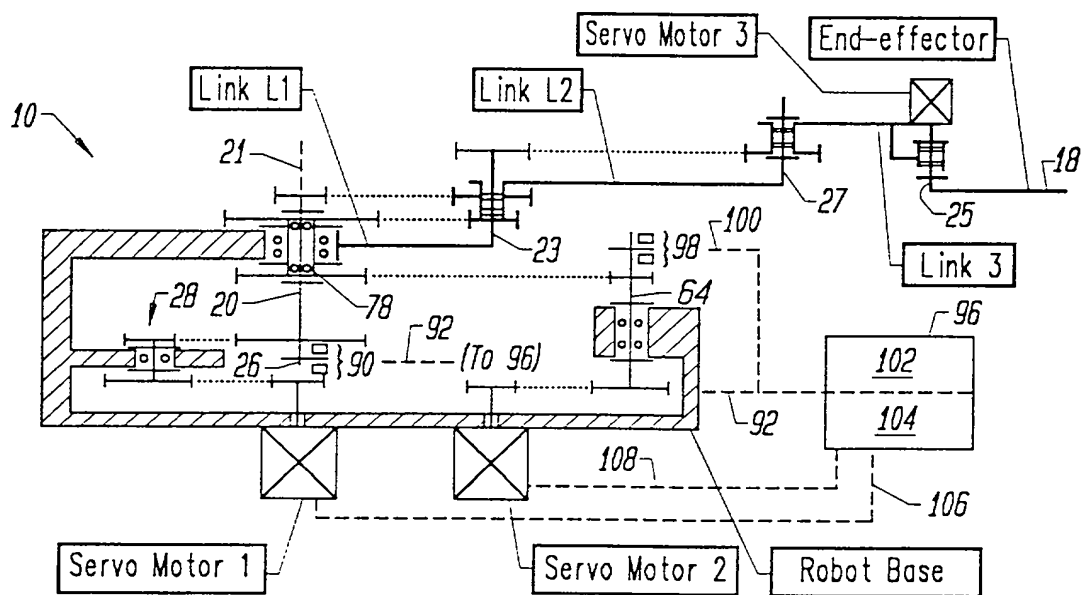
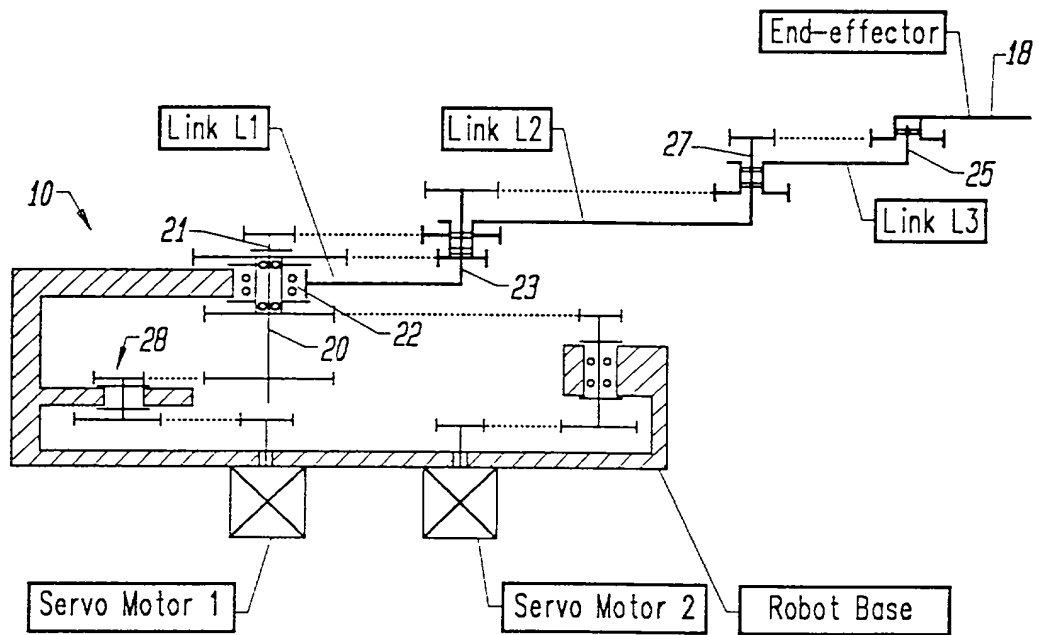
processing the workpieces to produce the product;  
and

recovering the product.

ROBOT HAVING MULTIPLE DEGREES OF FREEDOM

Abstract of the Invention

5           An improvement is set forth in a robotic arm  
structure which includes at least two links.  $\theta$  motion  
is provided about a primary axis at the proximal end  
portion of the proximalmost of the links. R motion  
10 proceeds radially from the primary axis whereby the  
distal end portion of the distalmost of the links can  
be moved in a radially extending straight line. An end  
effector is pivotally mounted for rotation relative to  
the distal end portion of the distalmost link about an  
15 end effector axis which is parallel to the primary  
axis. The structure is improved by adding one or more  
of a yaw motor, a roll motor and a pitch motor for  
rotating the wrist of the arm about the respective  
axes. A sensor array senses the R,  $\theta$ , Z and yaw, roll  
20 and/or pitch motions and creates and transmits  
electronic signals representative thereof to a computer  
controller which monitors and controls the R,  $\theta$ , Z and  
yaw, roll and/or pitch motions. Non-radial straight  
line motion and indeed, in certain embodiments any  
25 desired three-dimensional motion, is thereby enabled as  
is picking up of workpieces such as semiconductor  
wafers, flat panel displays and data storage disks,  
which are misaligned in cassettes or at workstations  
and/or are in cassettes which are misaligned and/or  
30 aligned and set up at an angle relative to the usual  
plane of operation of the arm.



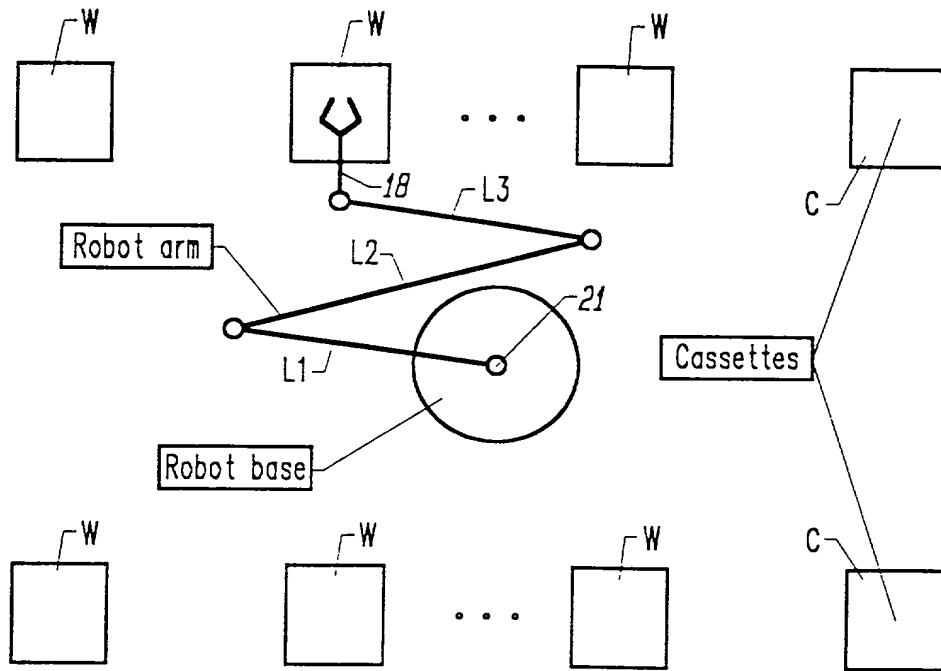


FIG. 2

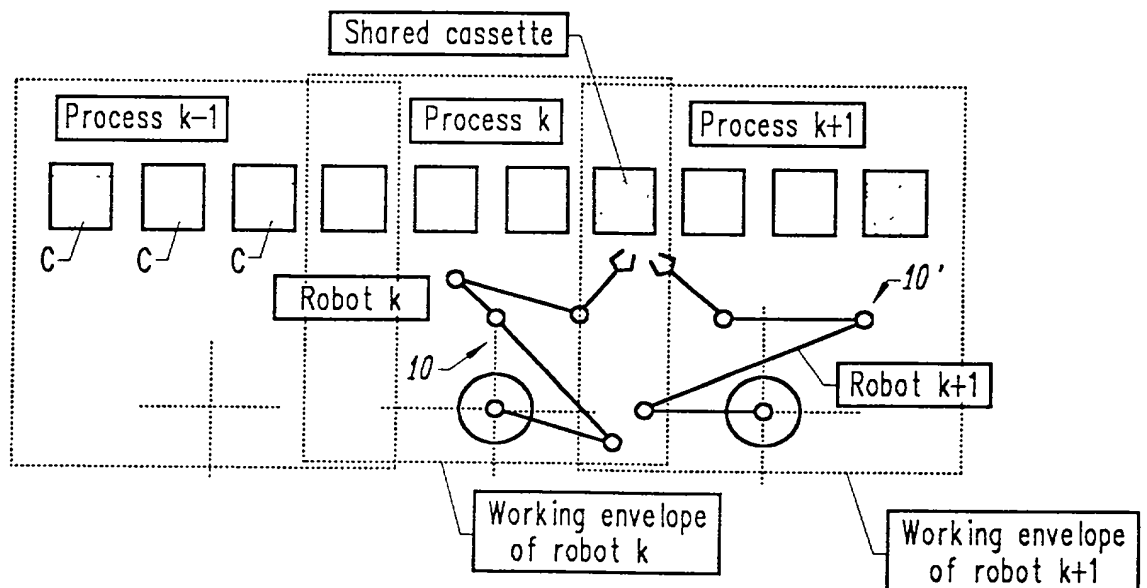


FIG. 3A

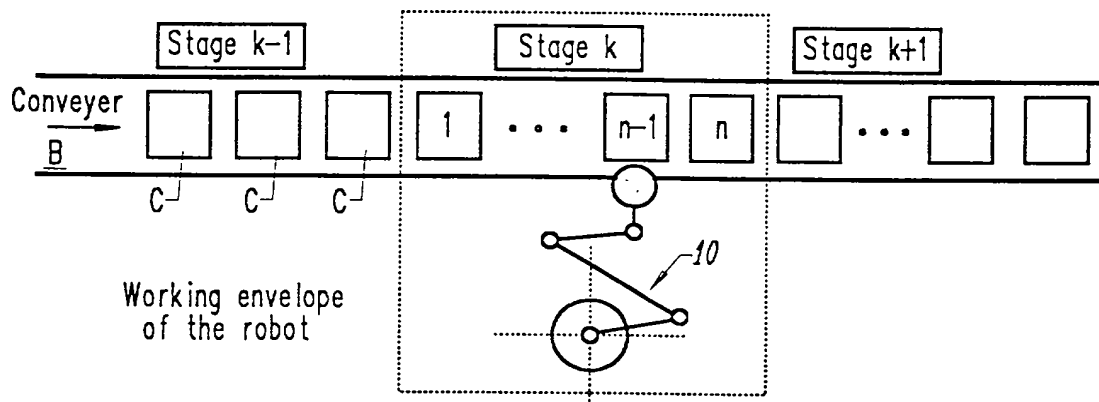


FIG. 3B

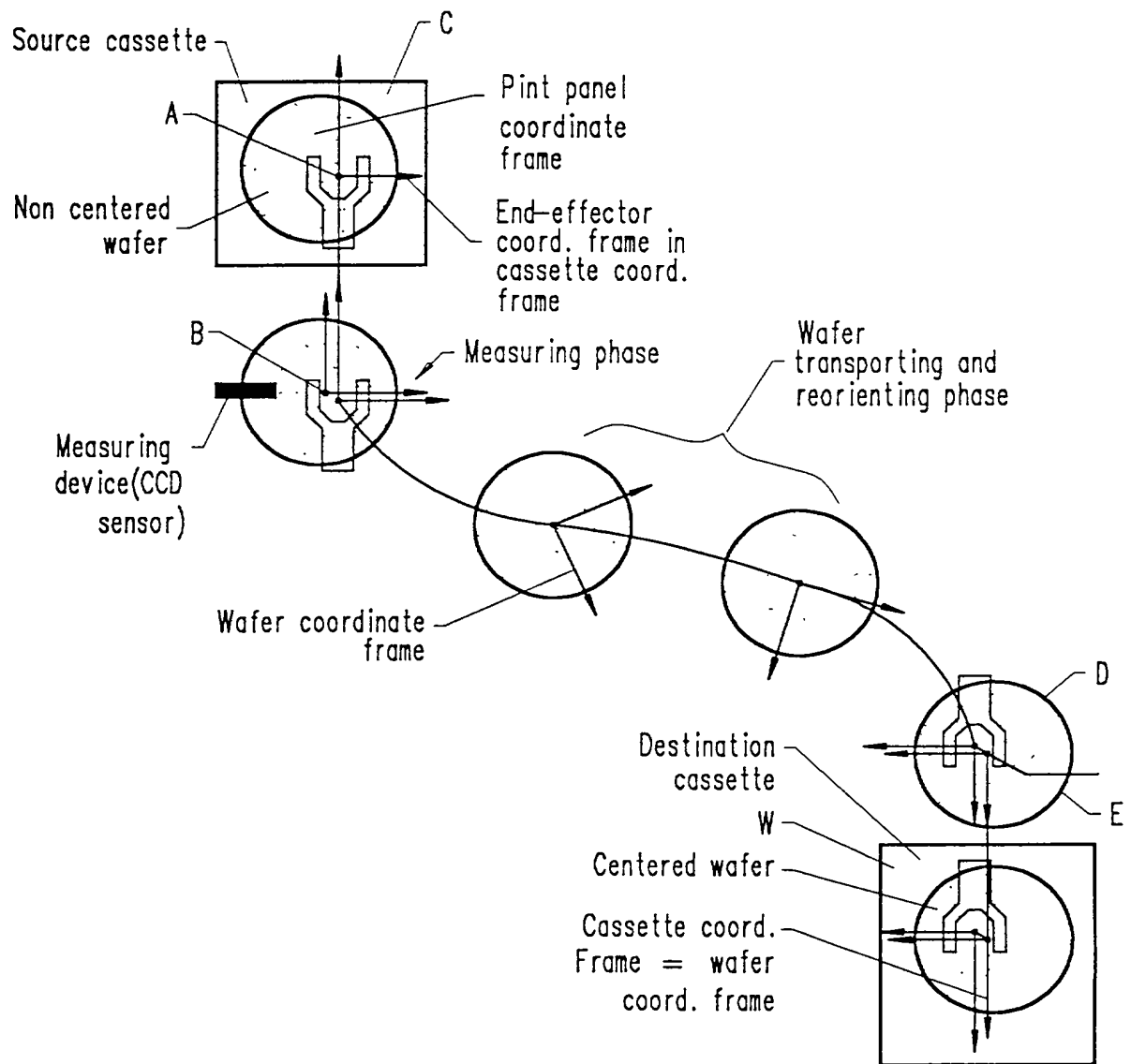


FIG. 4

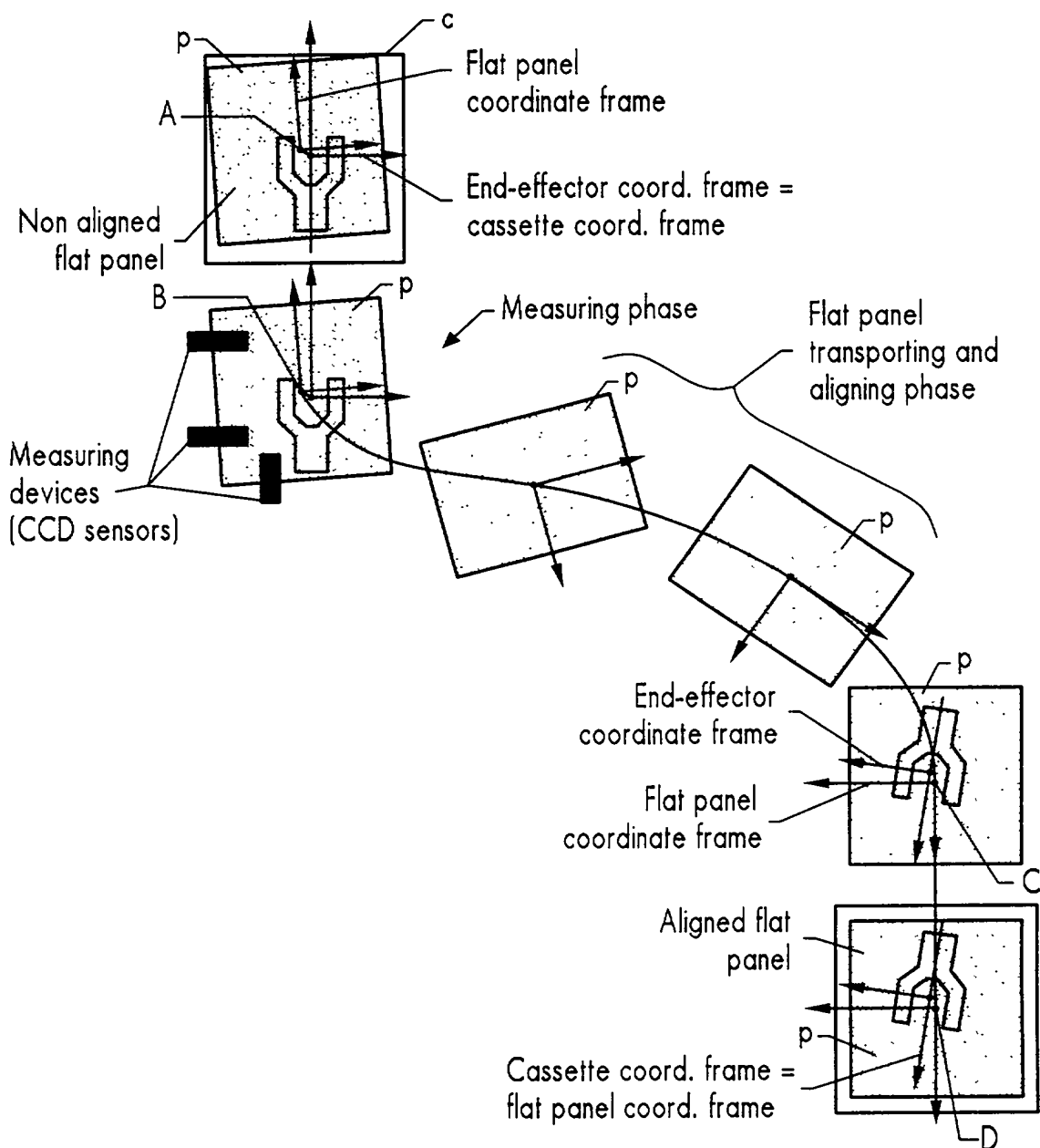


FIG. 5

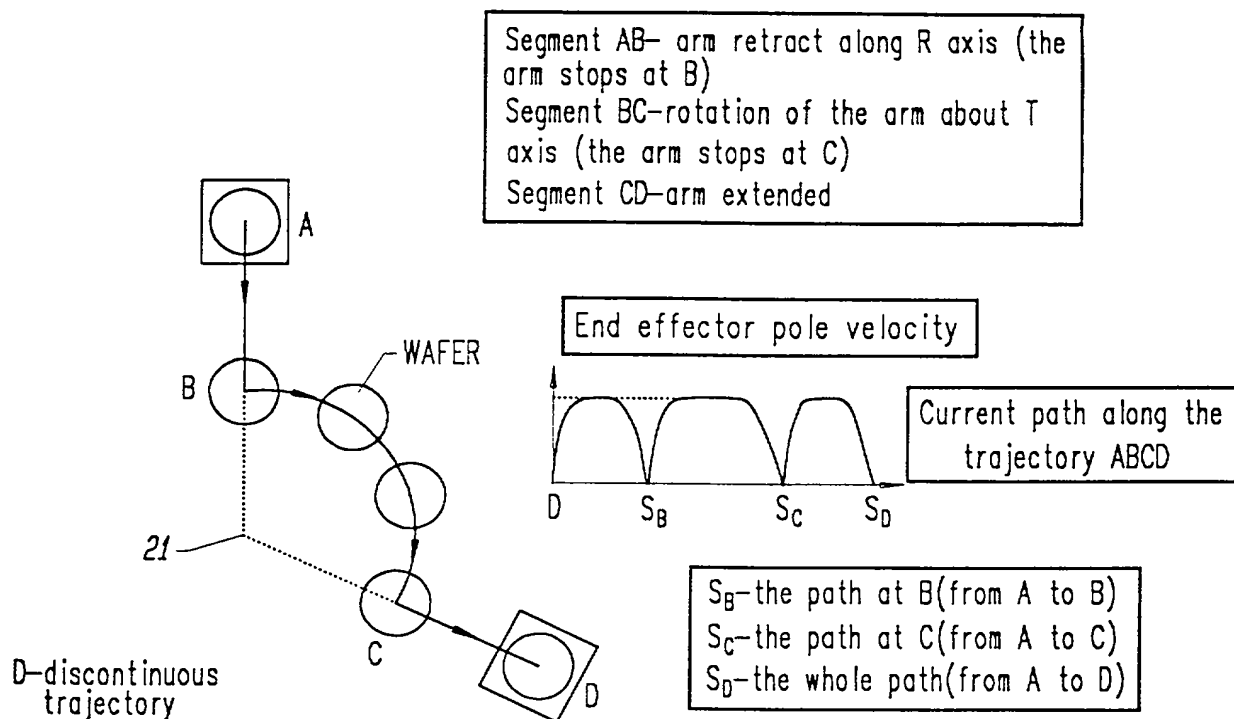


FIG. 6A  
 (PRIOR ART)

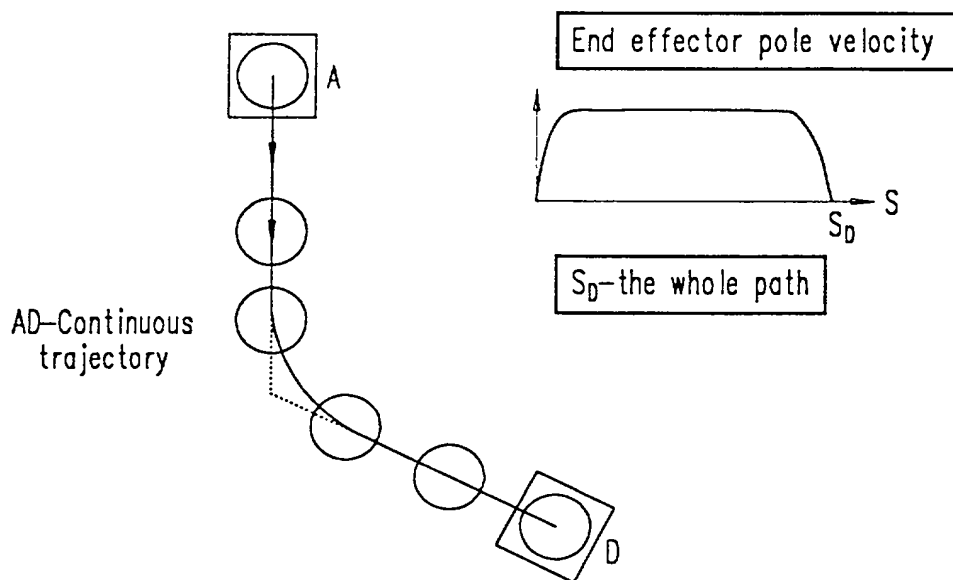


FIG. 6B

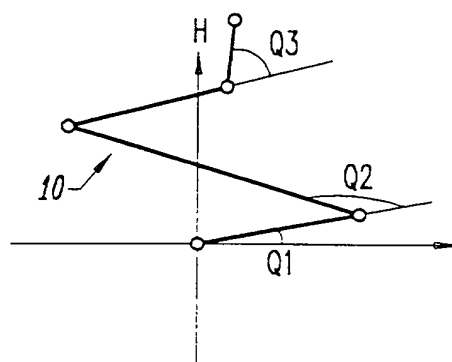


FIG. 7

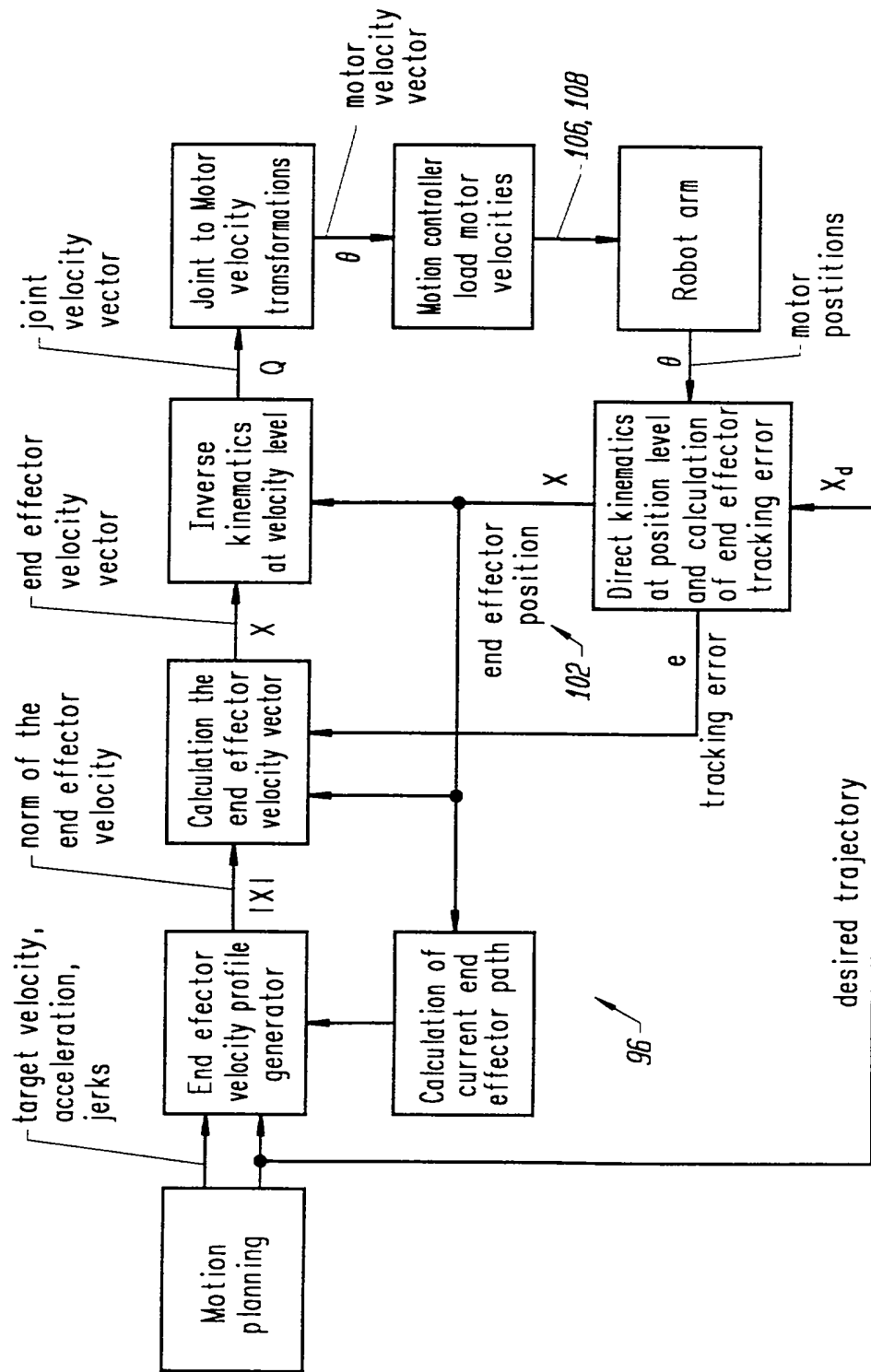
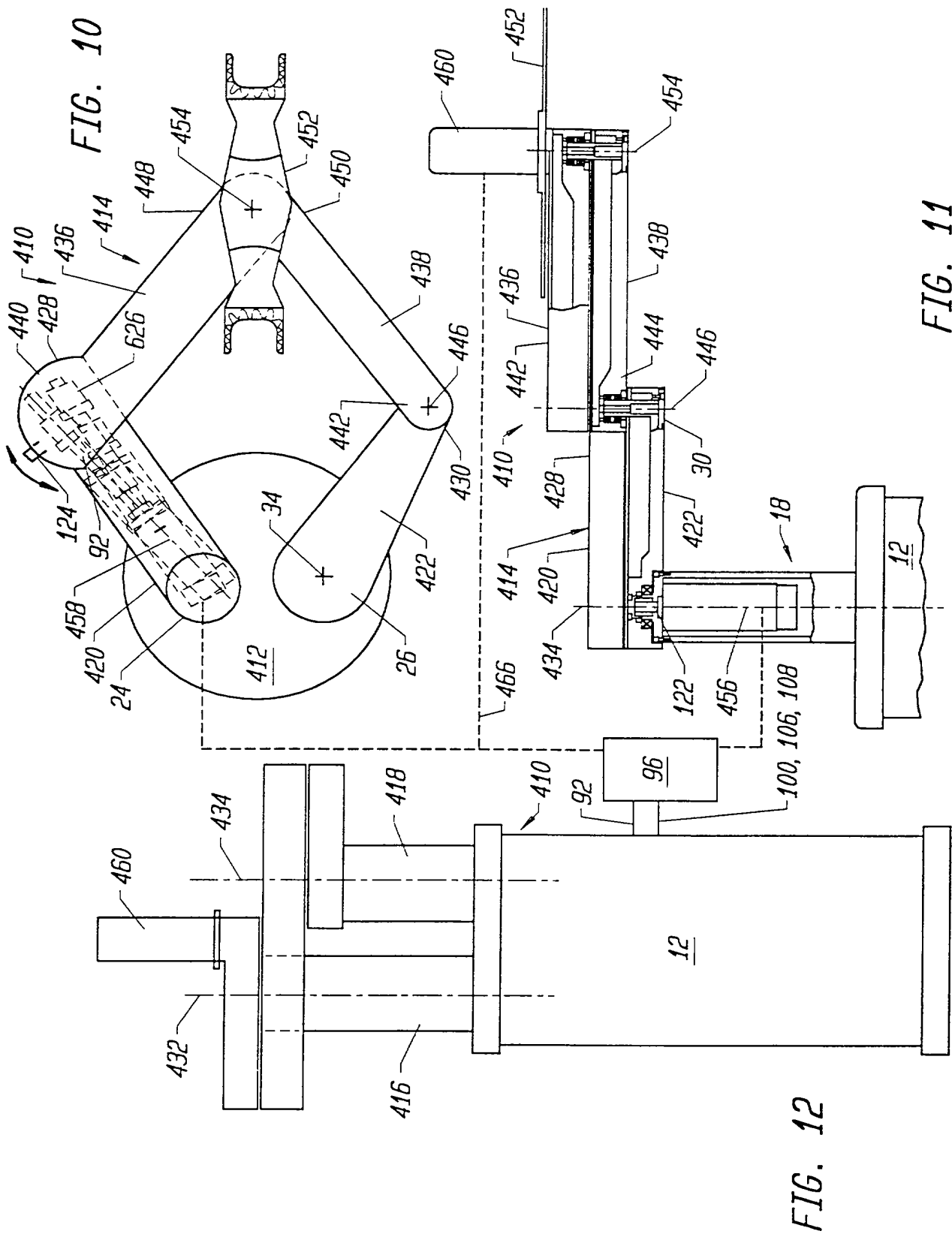
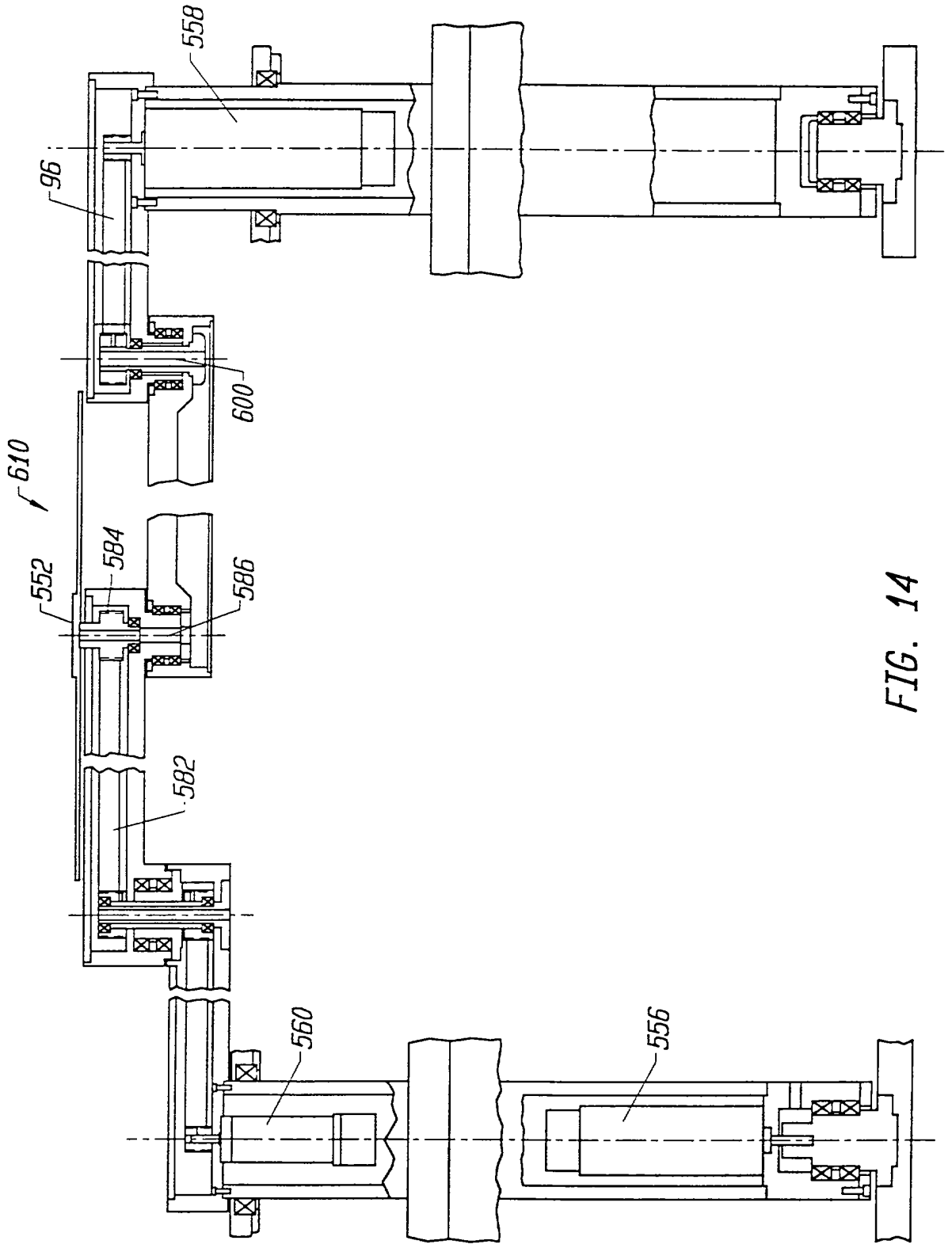


FIG. 8









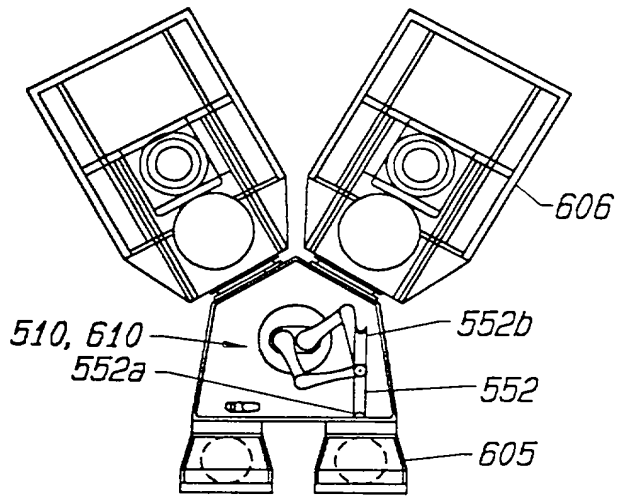


FIG. 15A

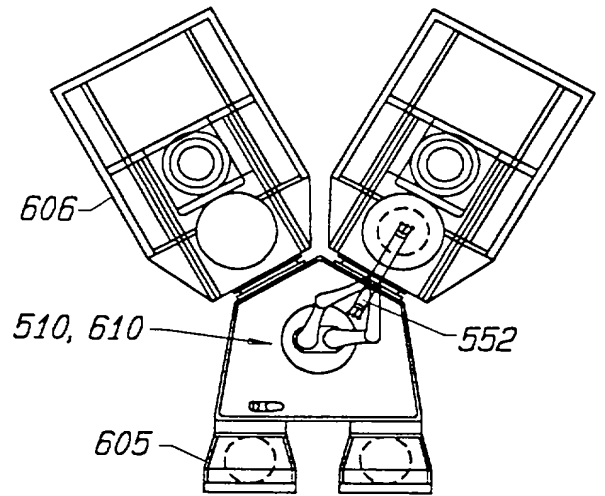


FIG. 15B

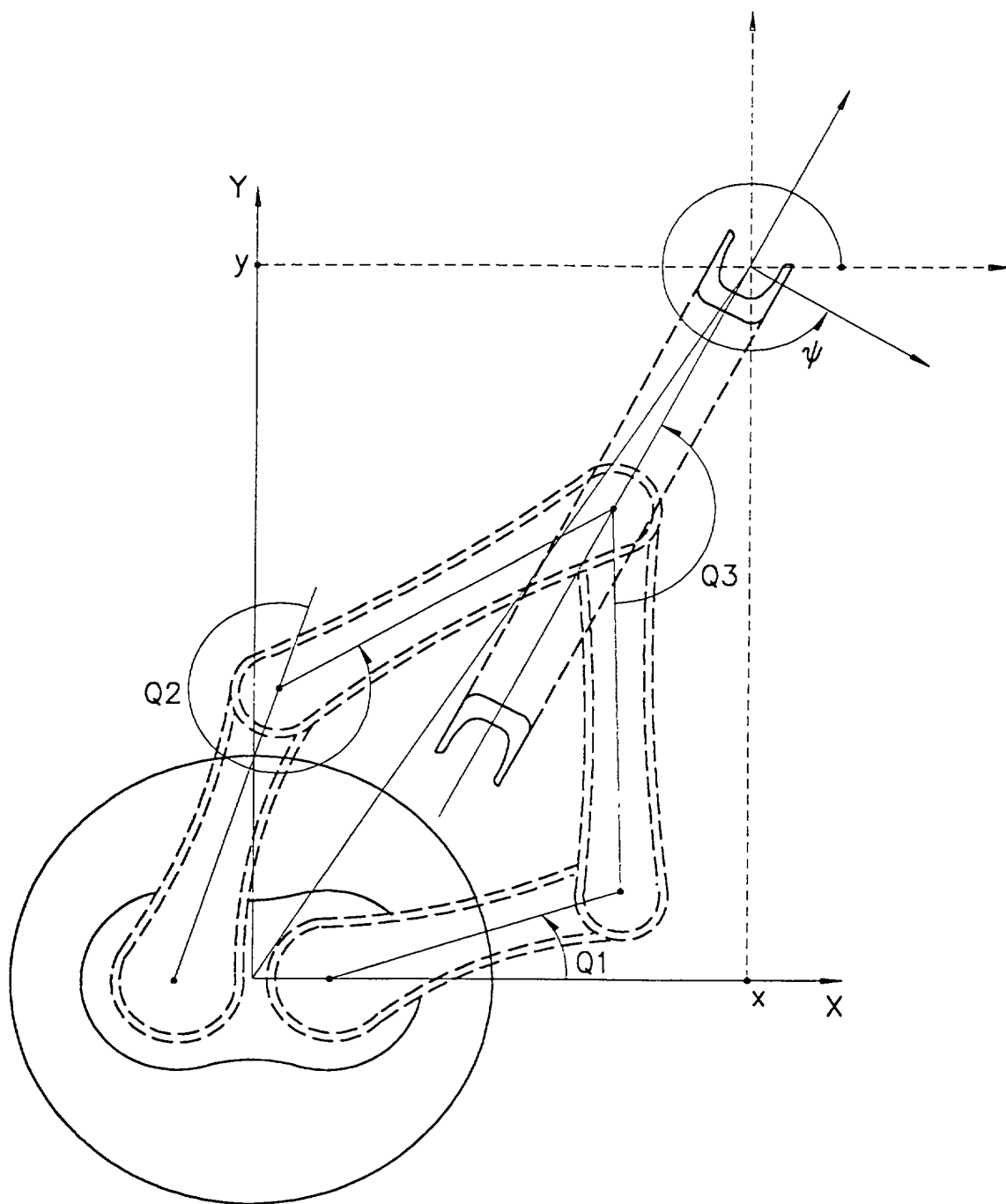


FIG. 16

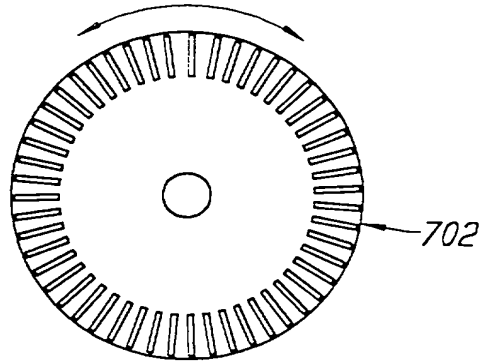


FIG. 17B

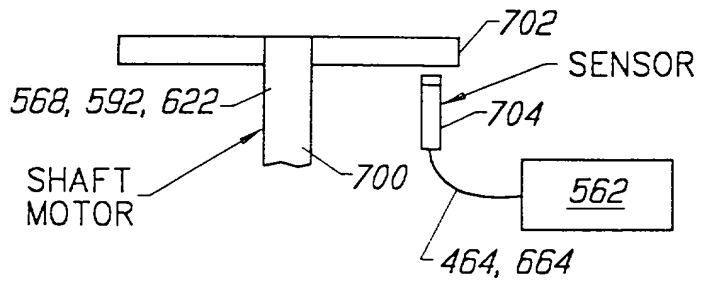


FIG. 17A

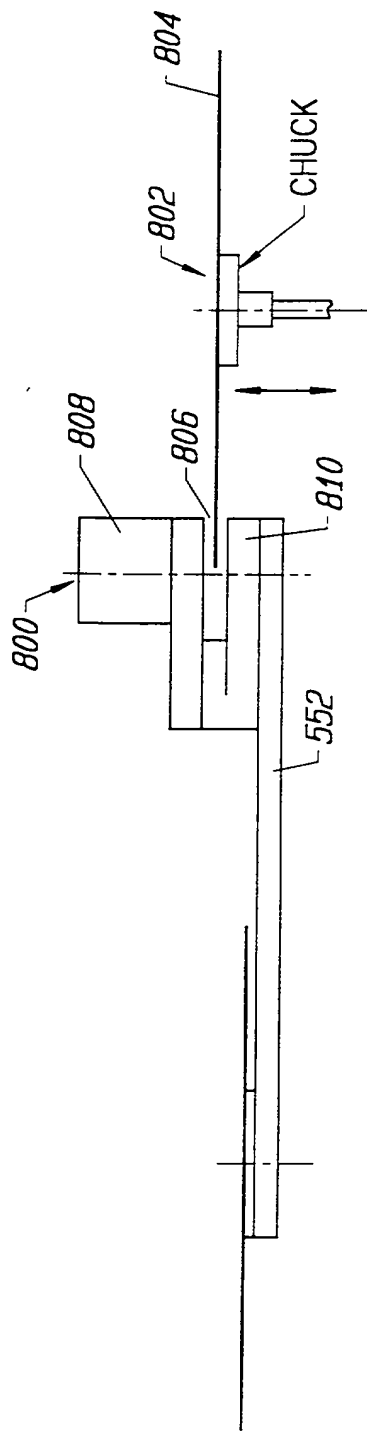


FIG. 18A

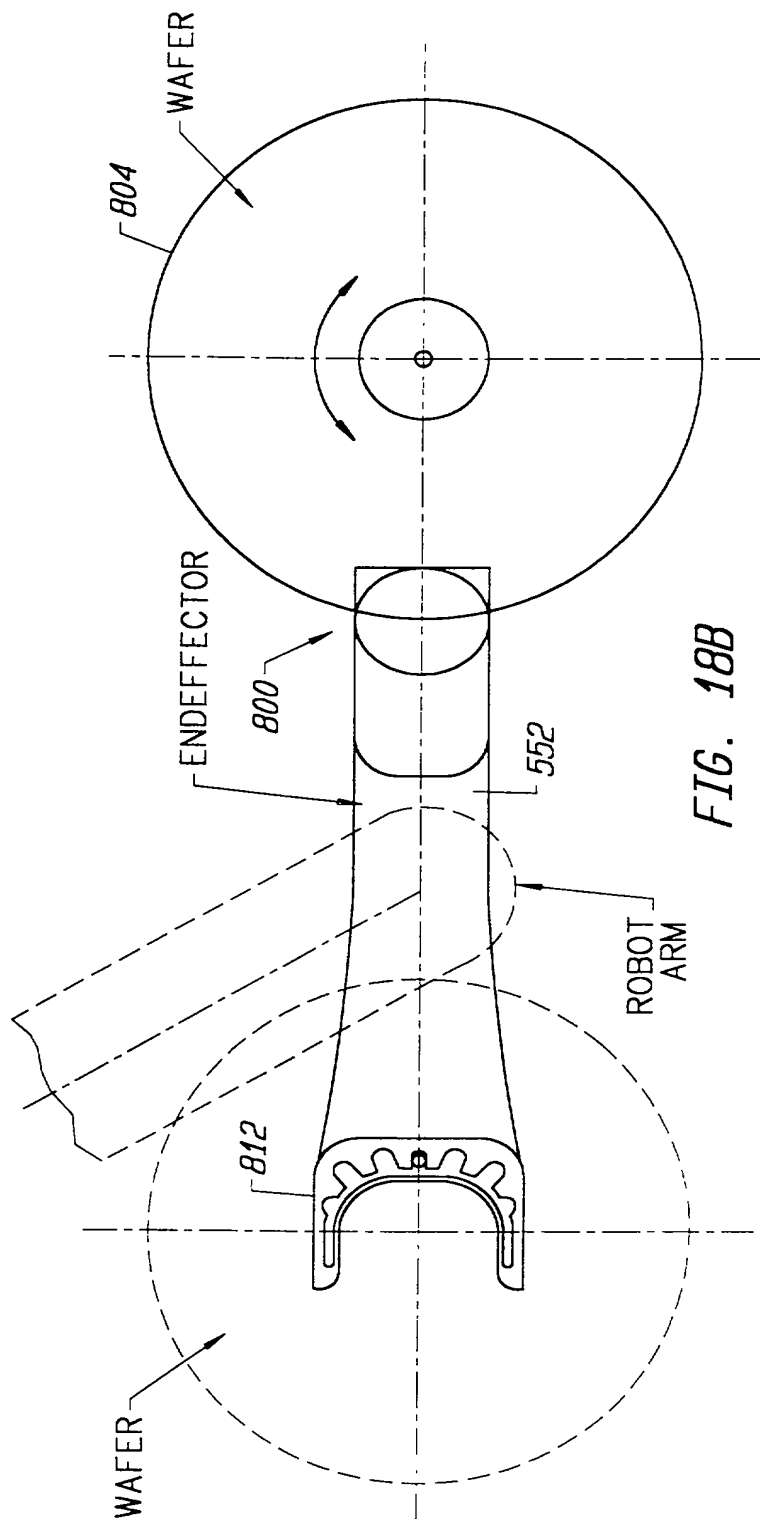


FIG. 18B

FIG. 20

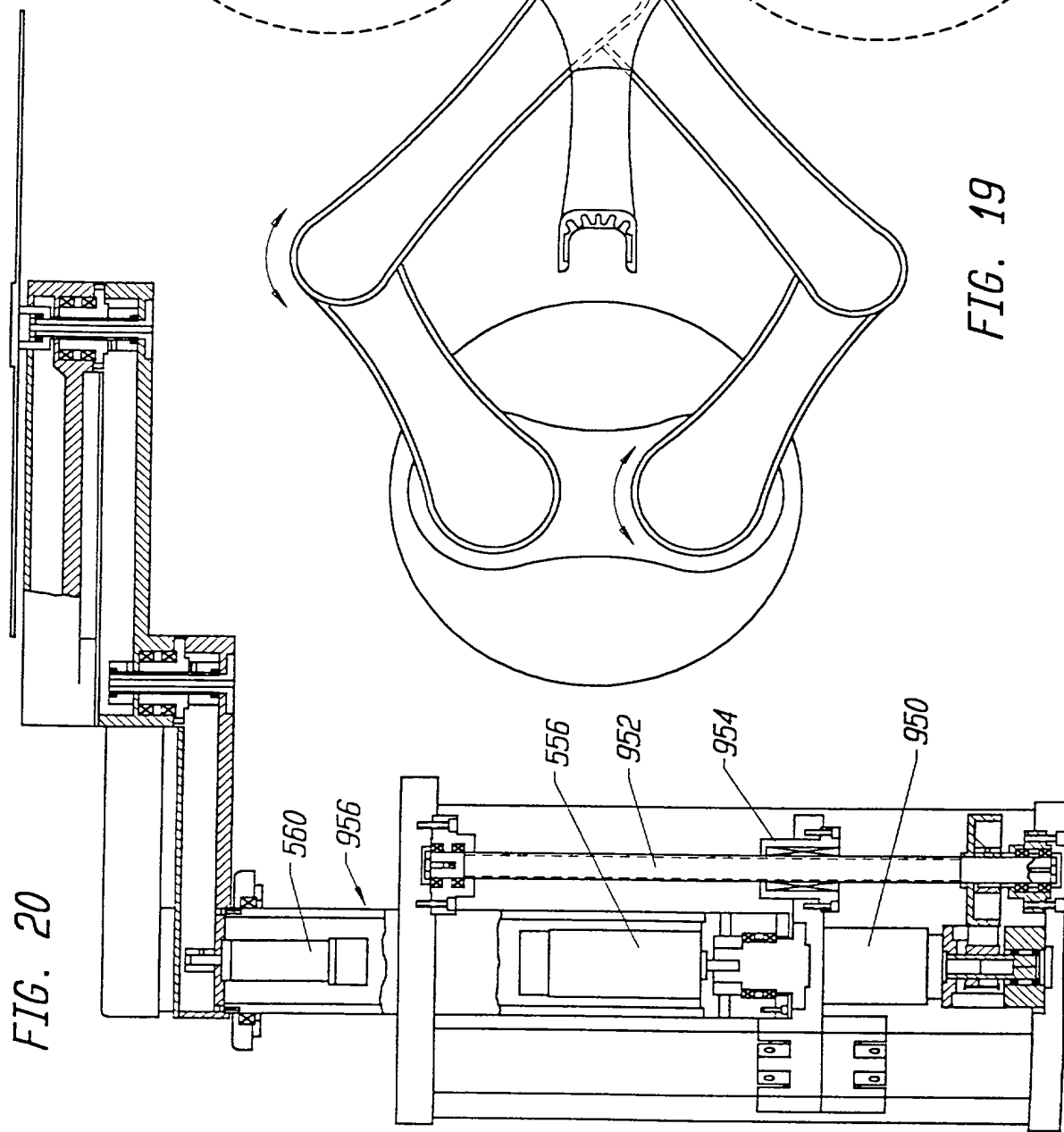
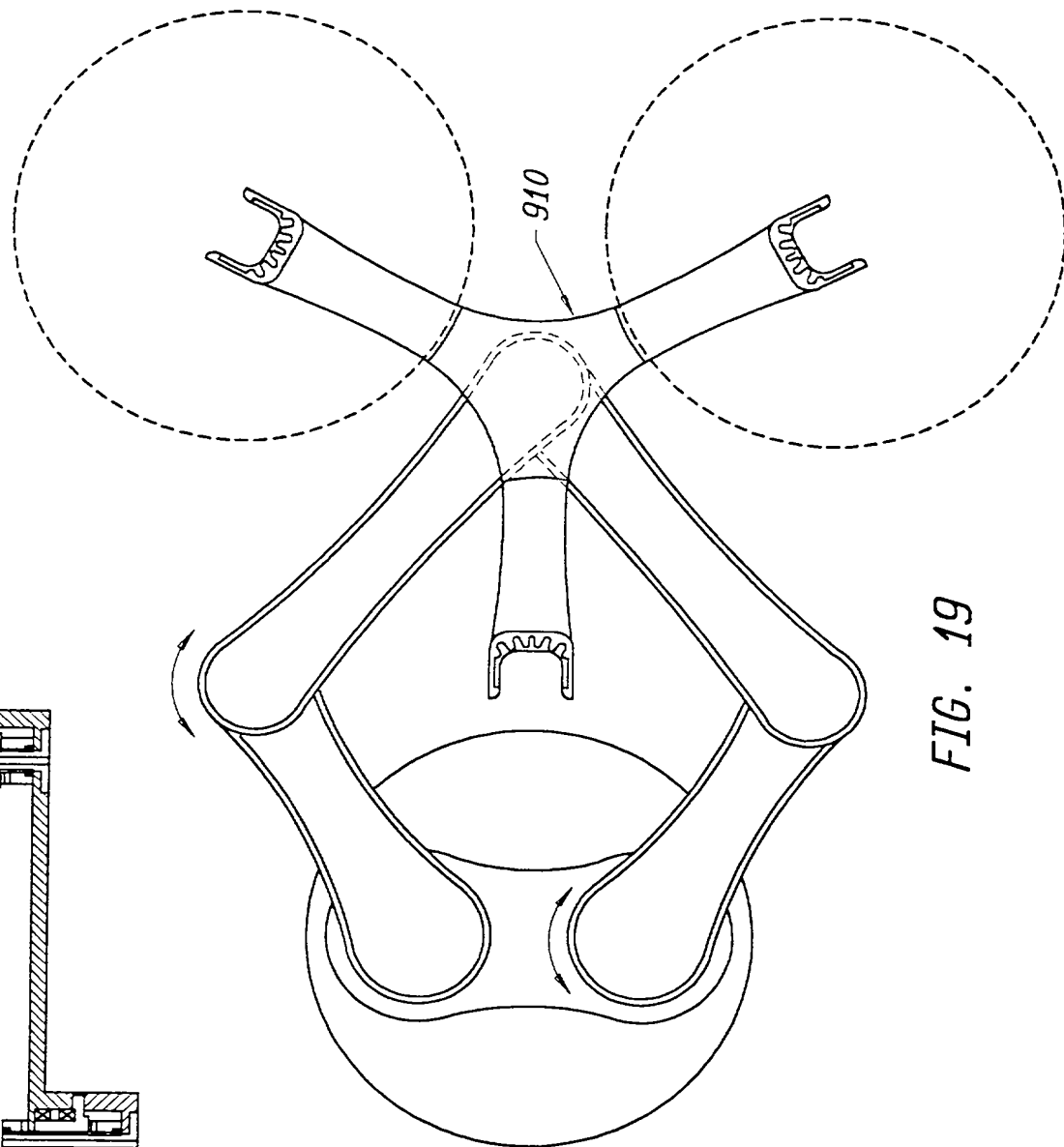


FIG. 19



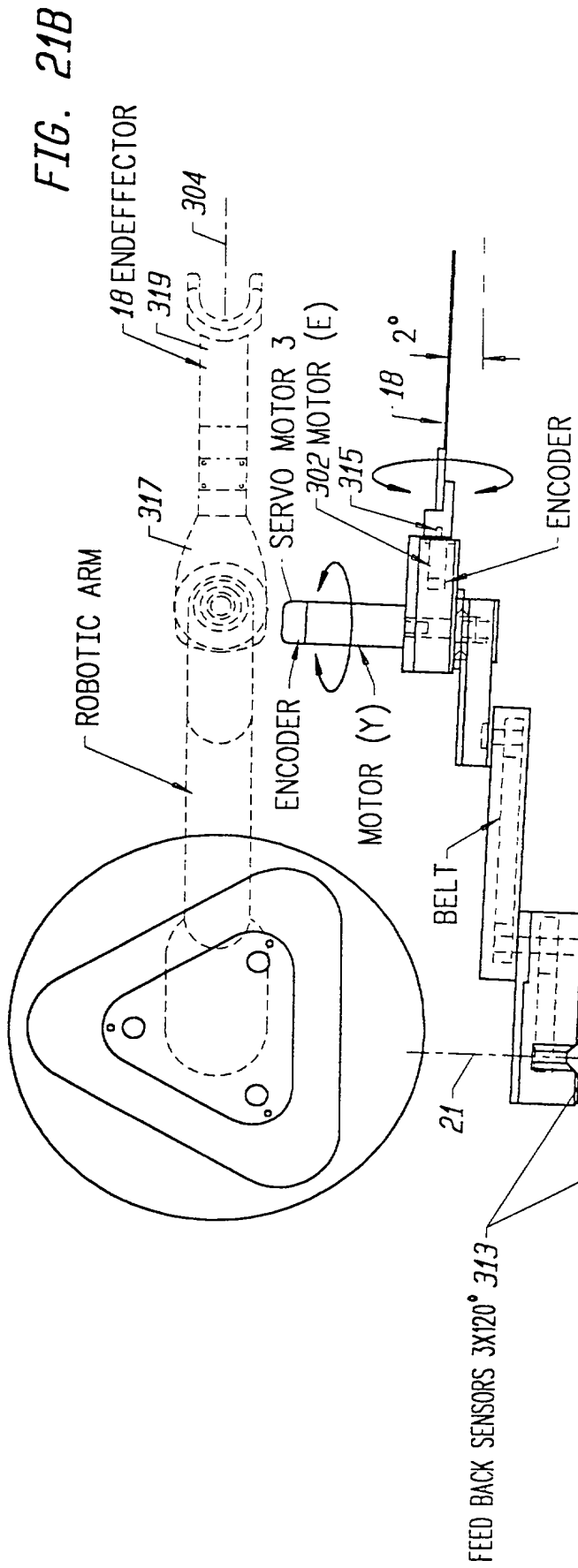


FIG. 21B

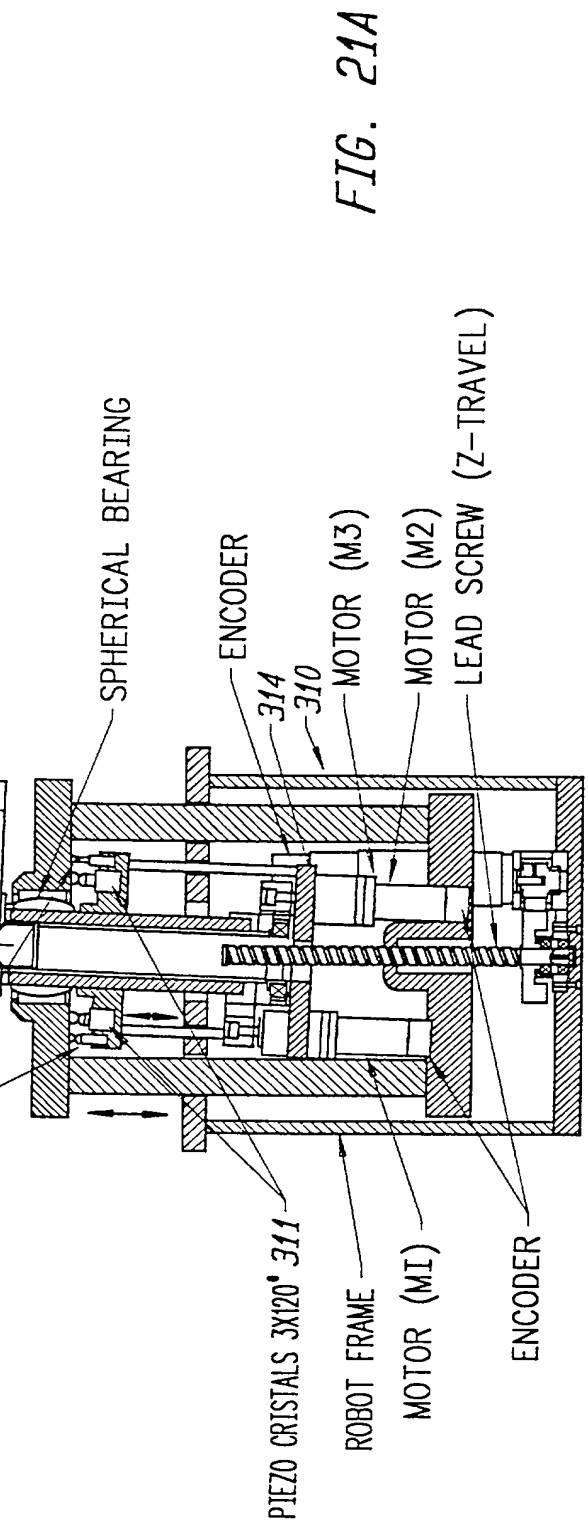


FIG. 21A

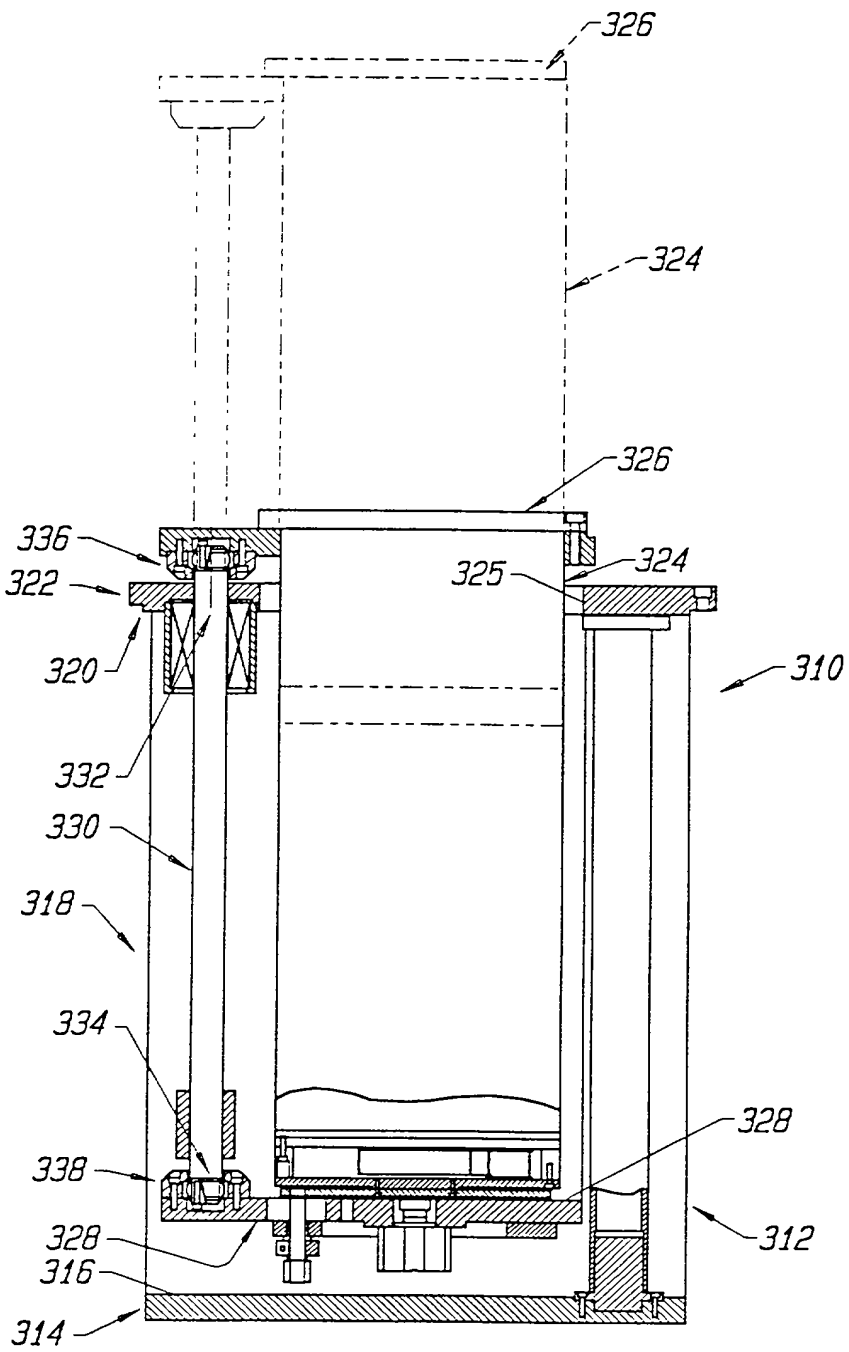


FIG. 22

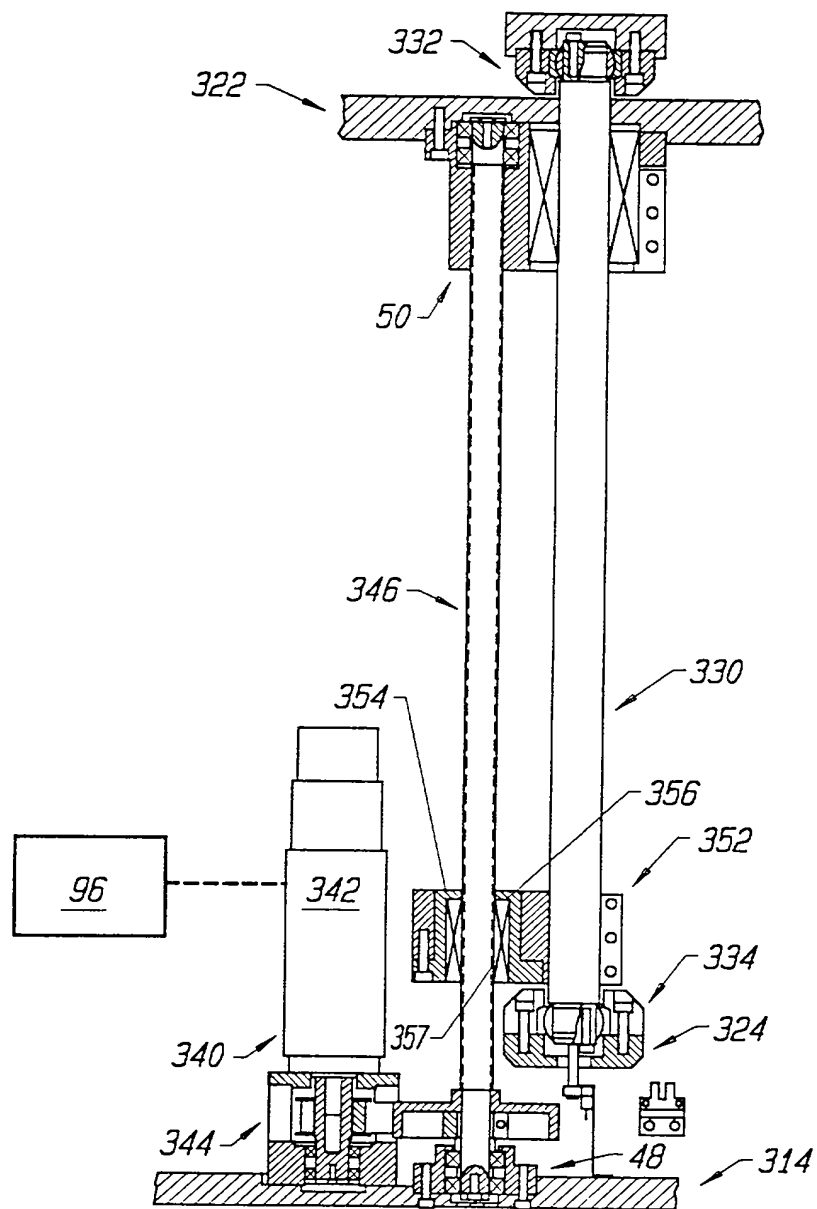


FIG. 23

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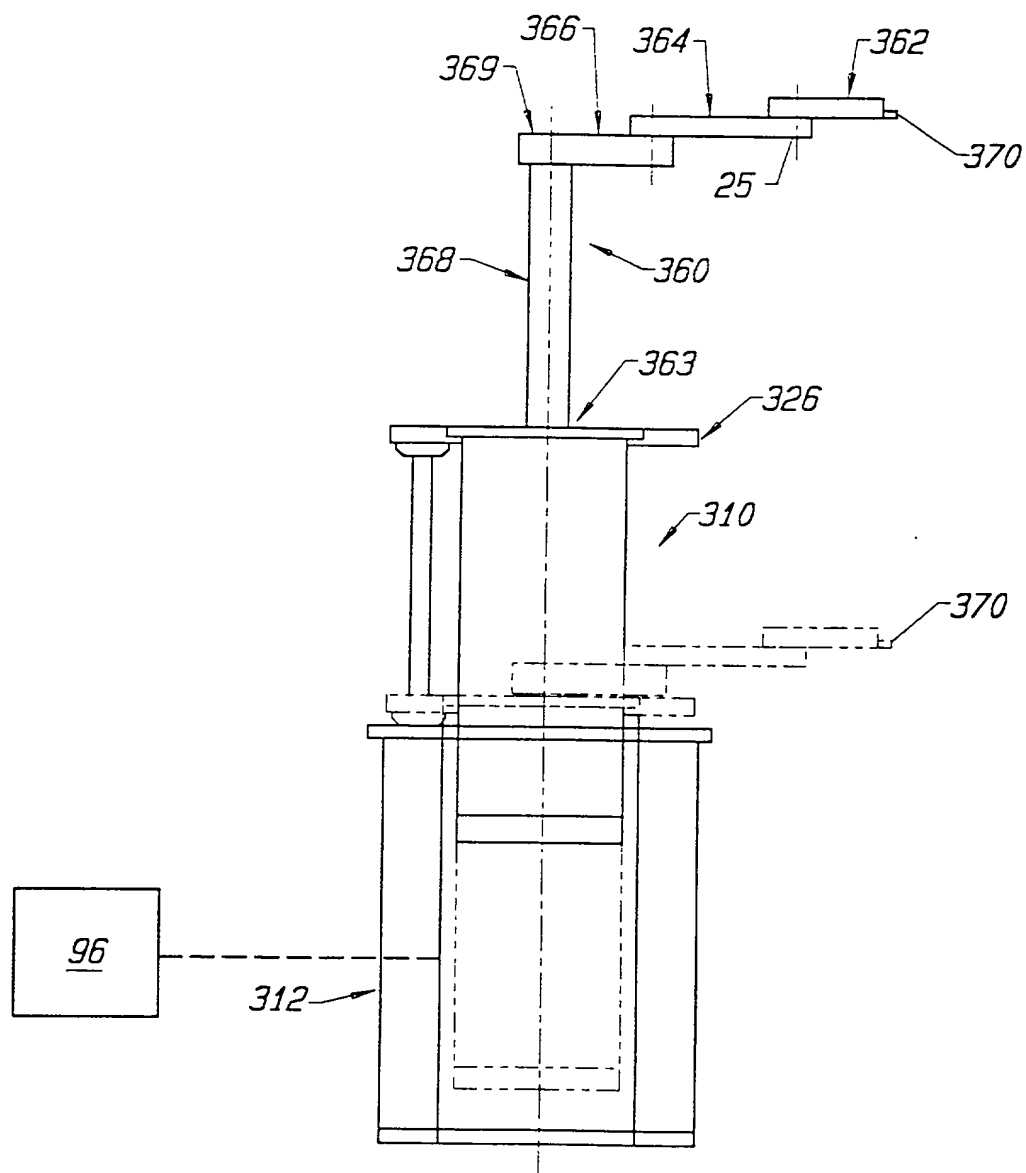


FIG. 24

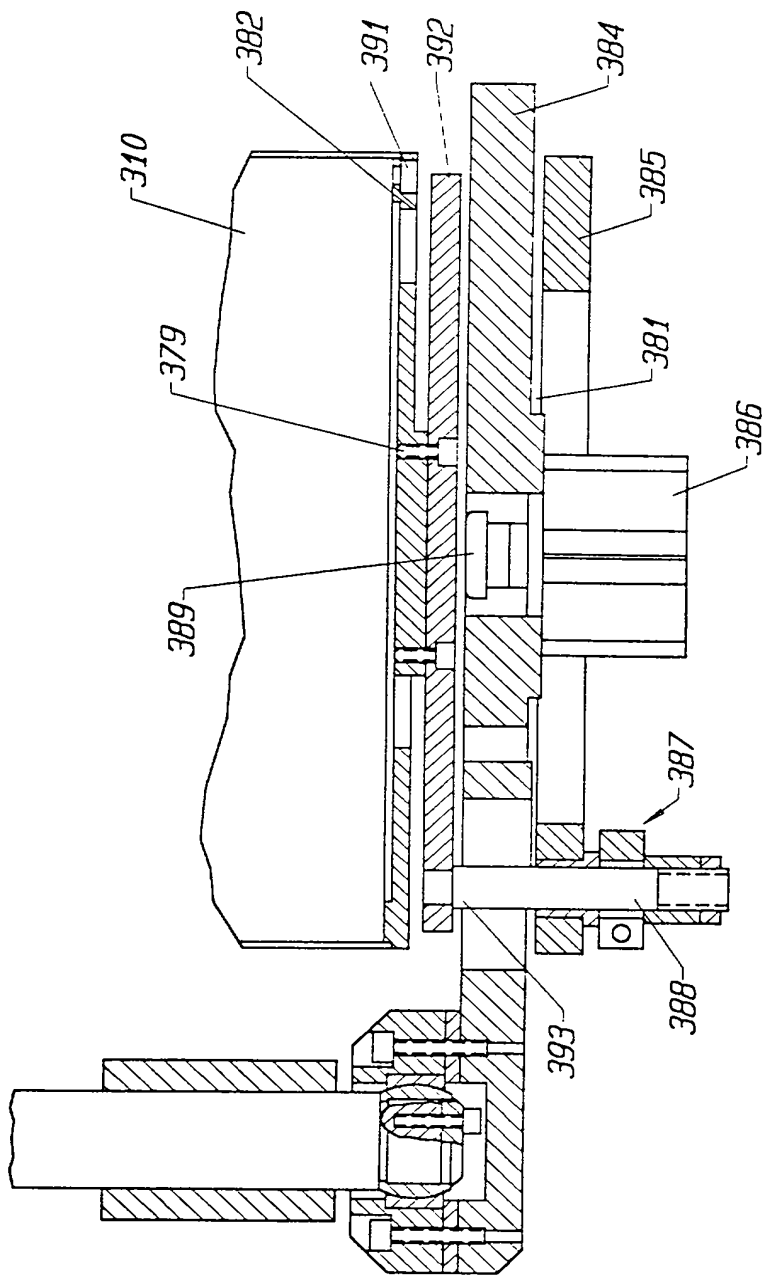


FIG. 25

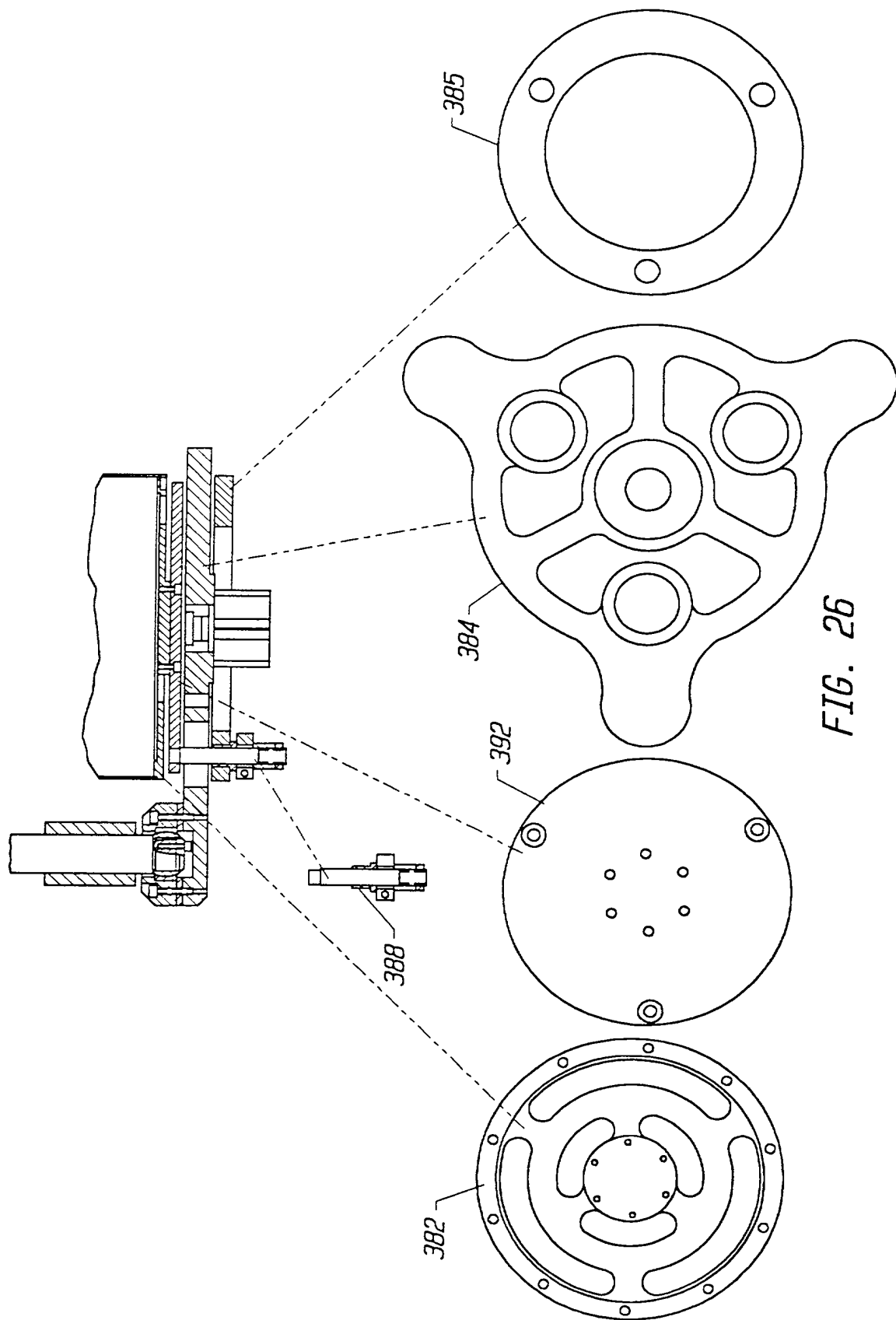


FIG. 26

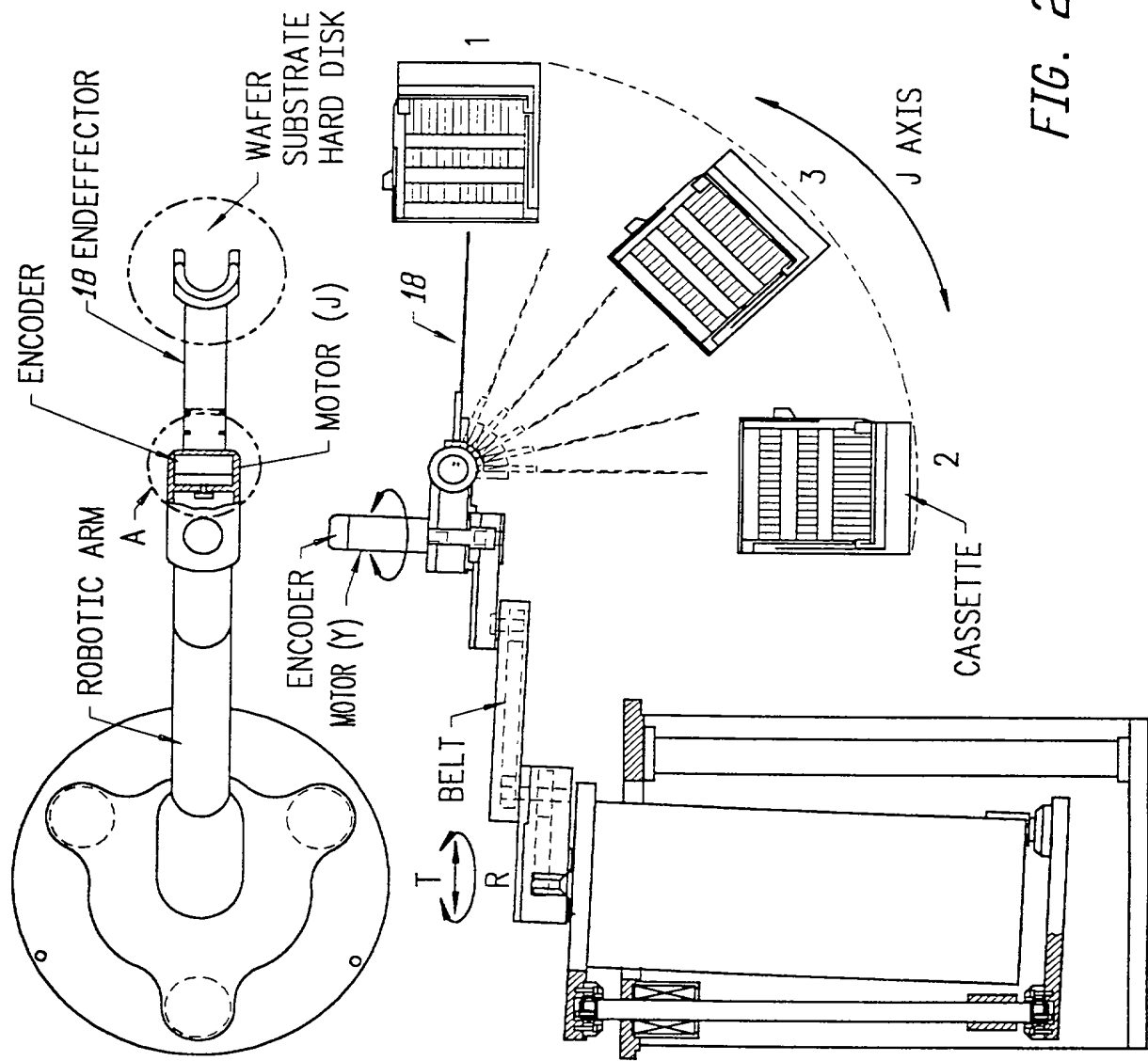


FIG. 28

FIG. 27

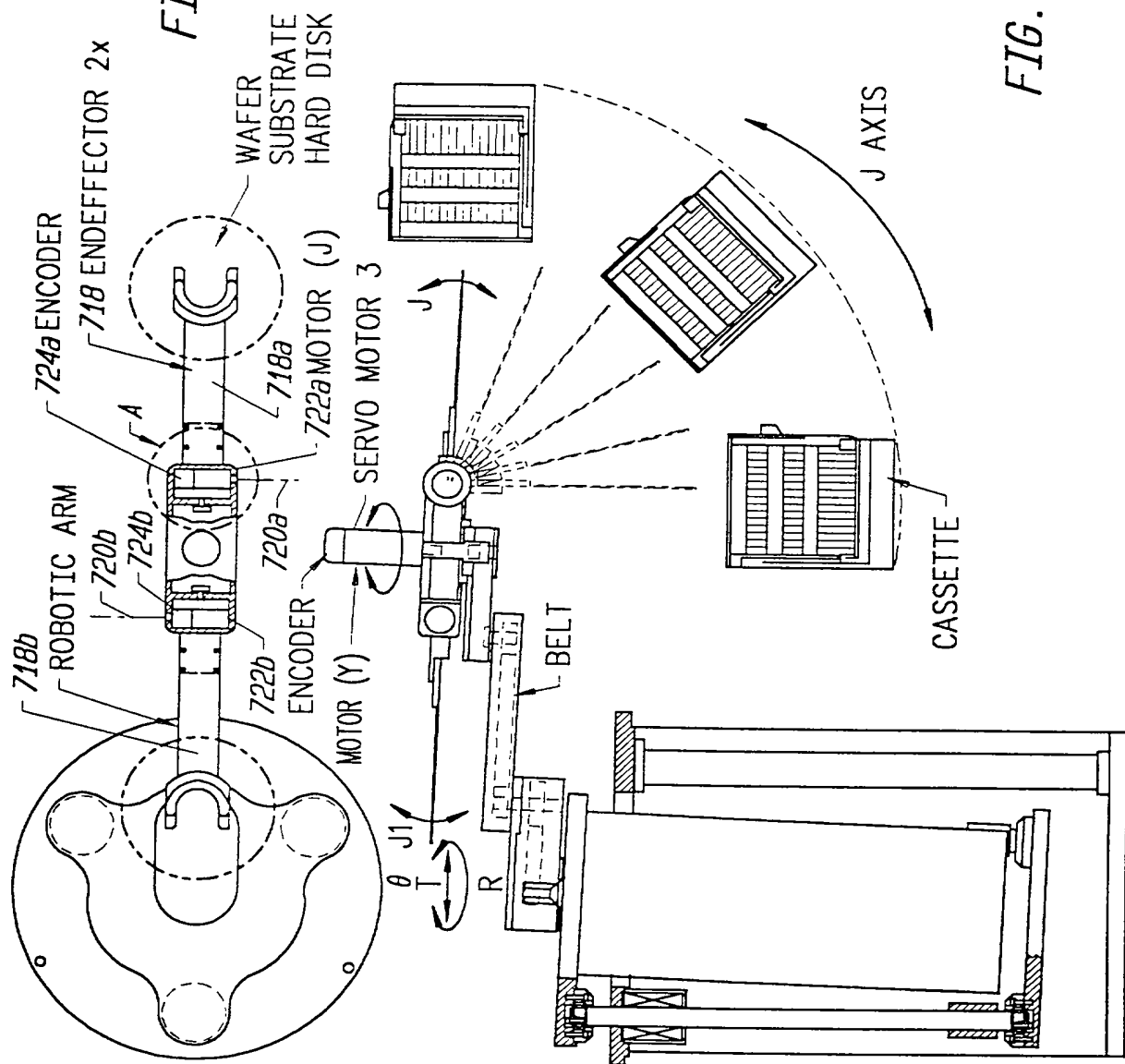
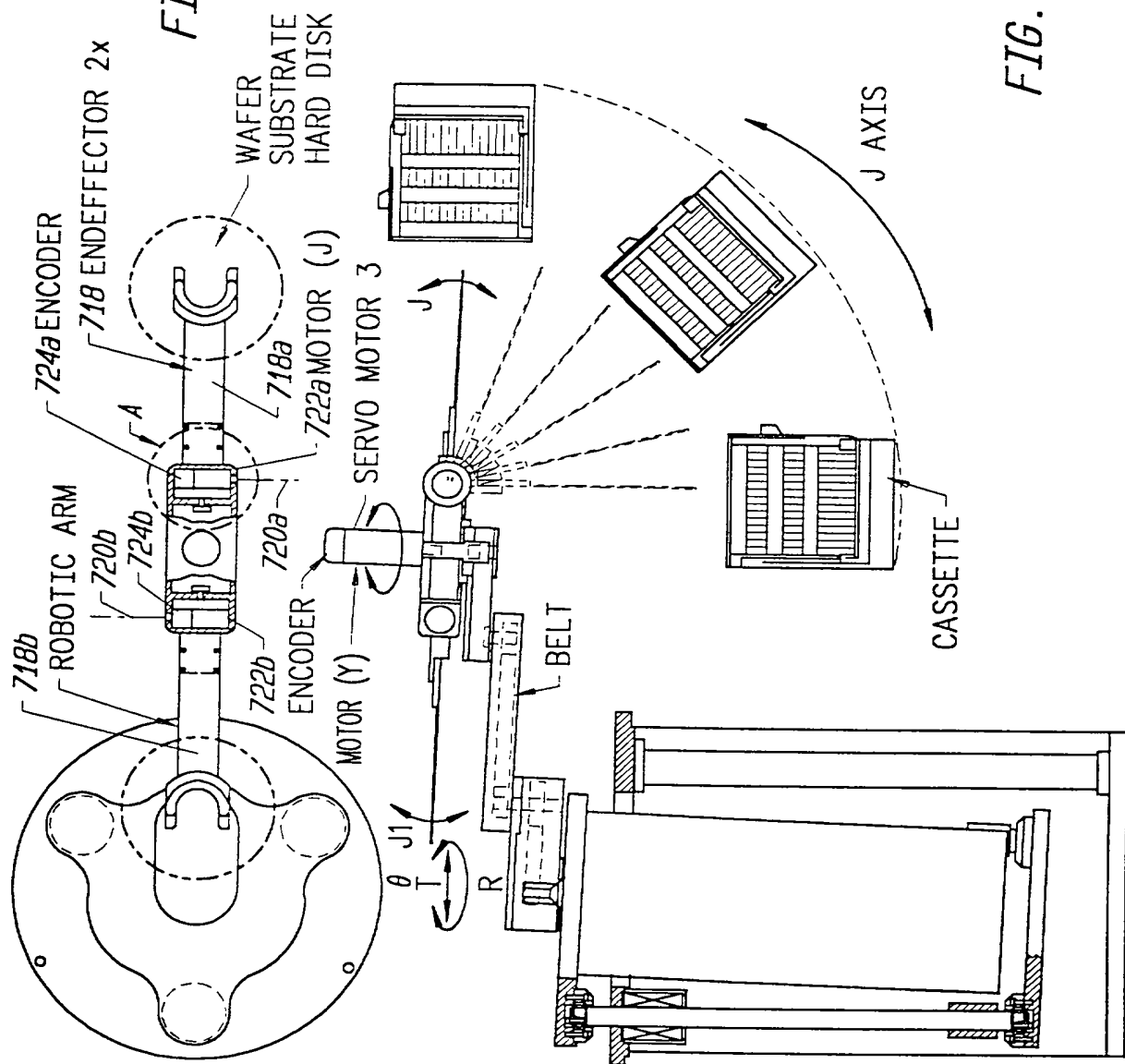


FIG. 29A

FIG. 29B





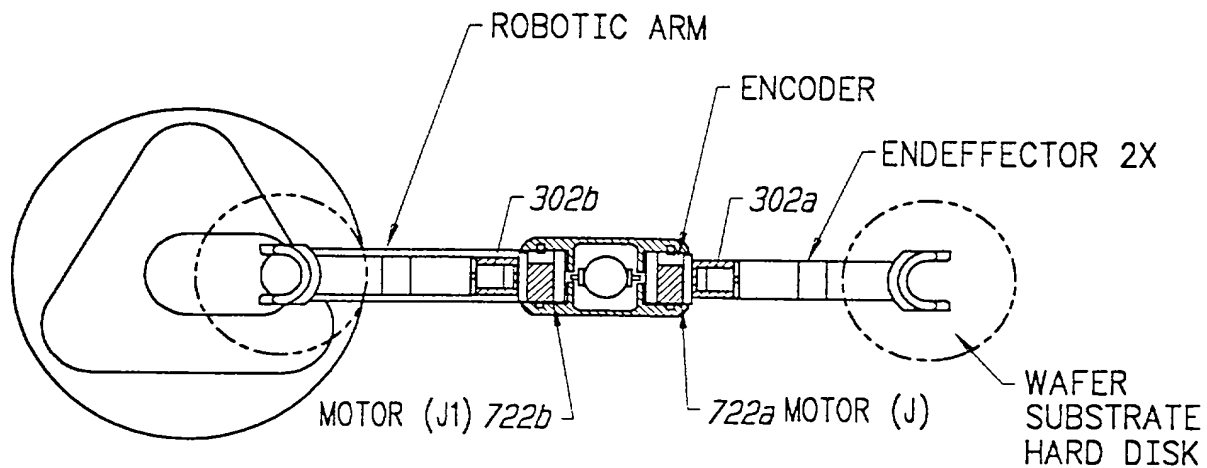


FIG. 31B

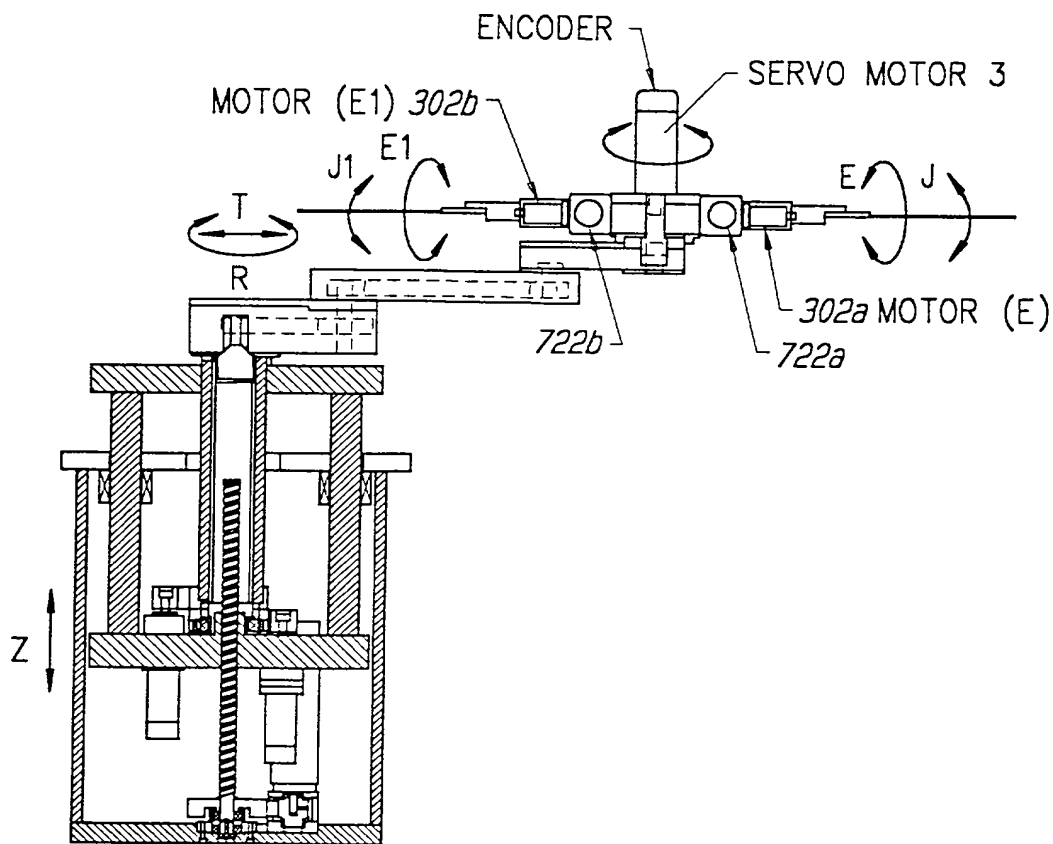
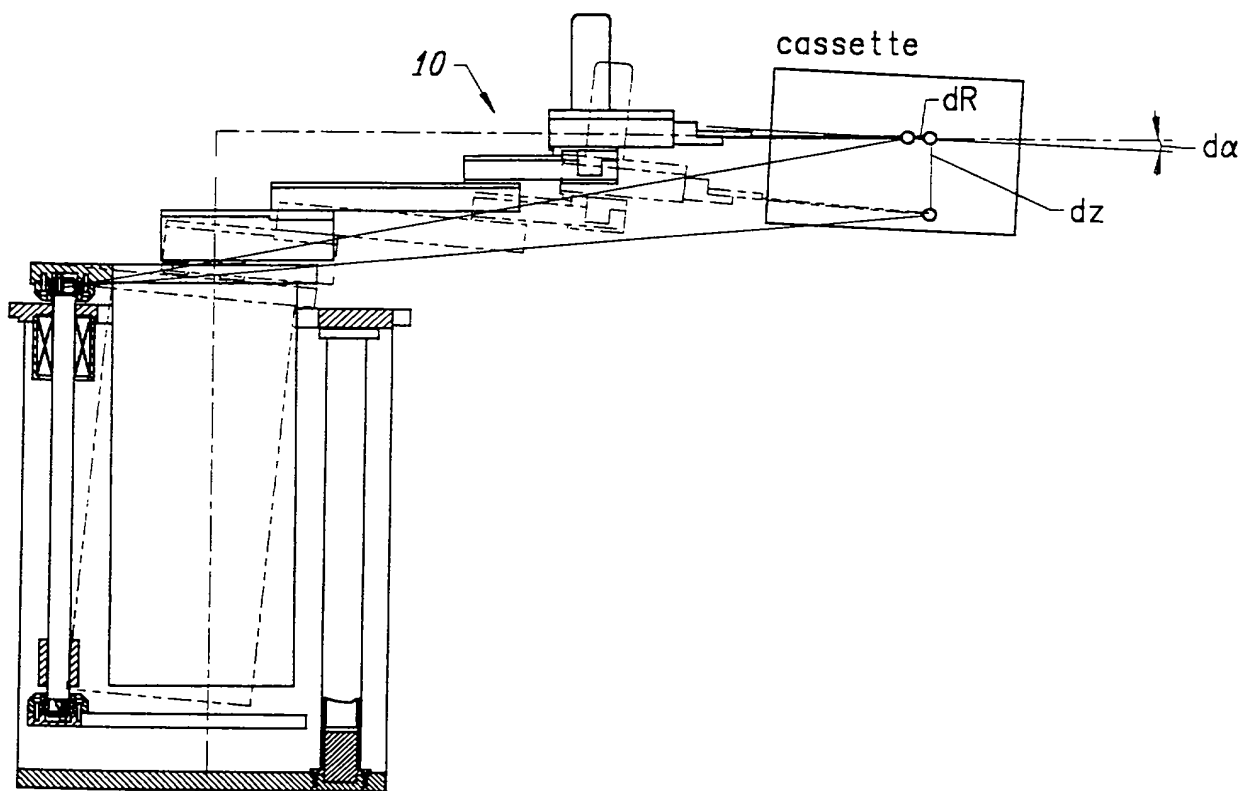


FIG. 31A



*FIG. 32*

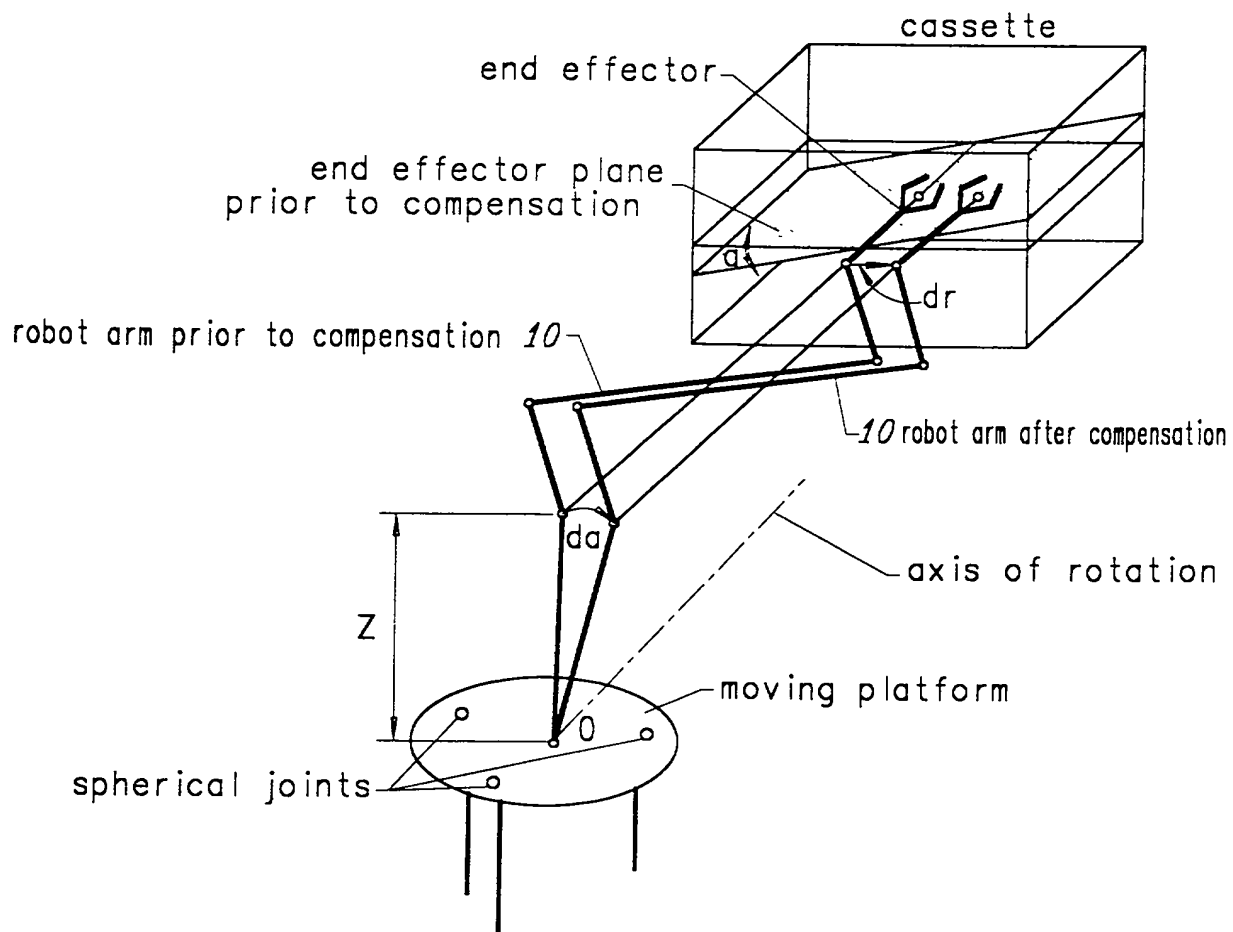


FIG. 33

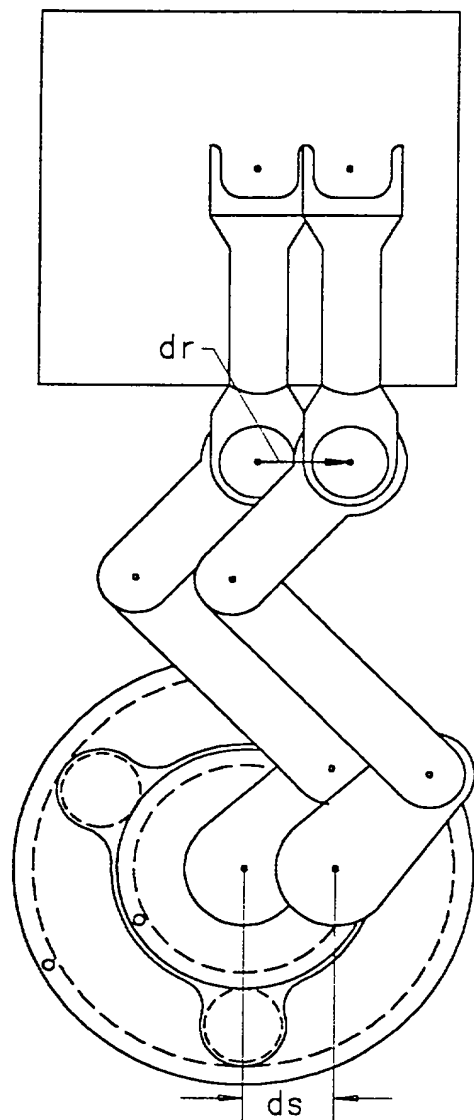


FIG. 34A

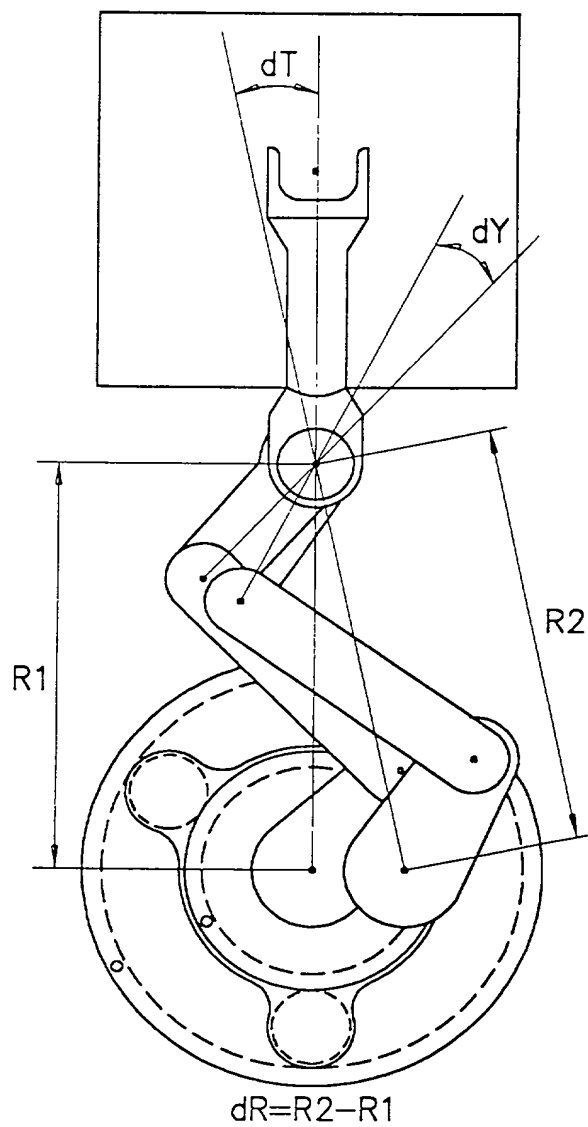


FIG. 34B

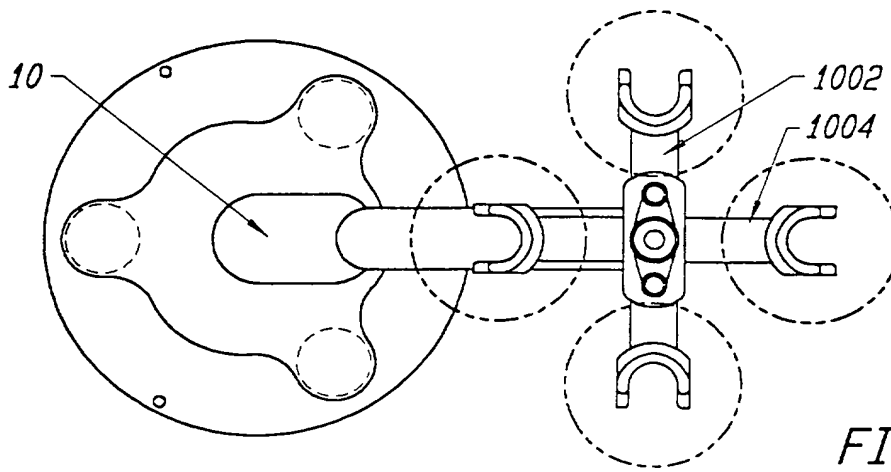


FIG. 35B

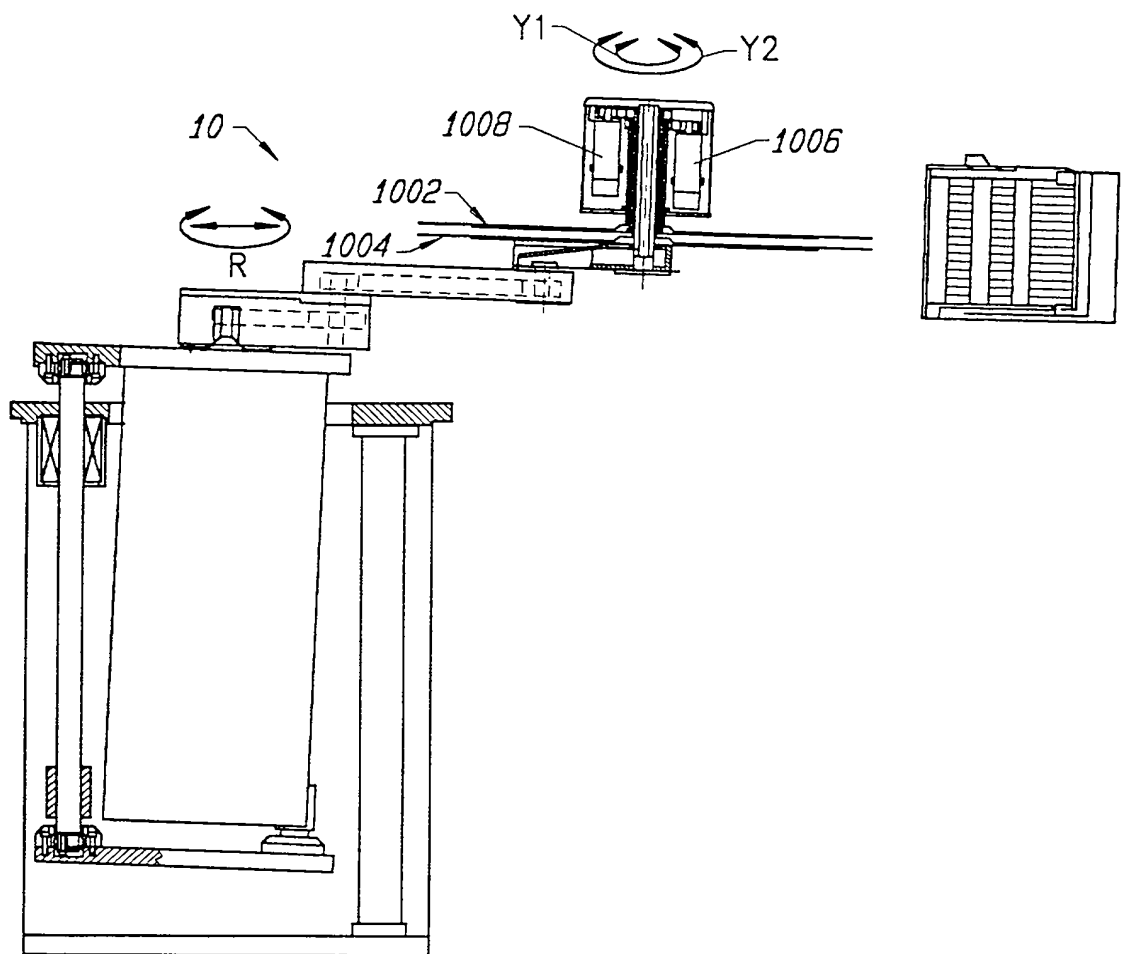
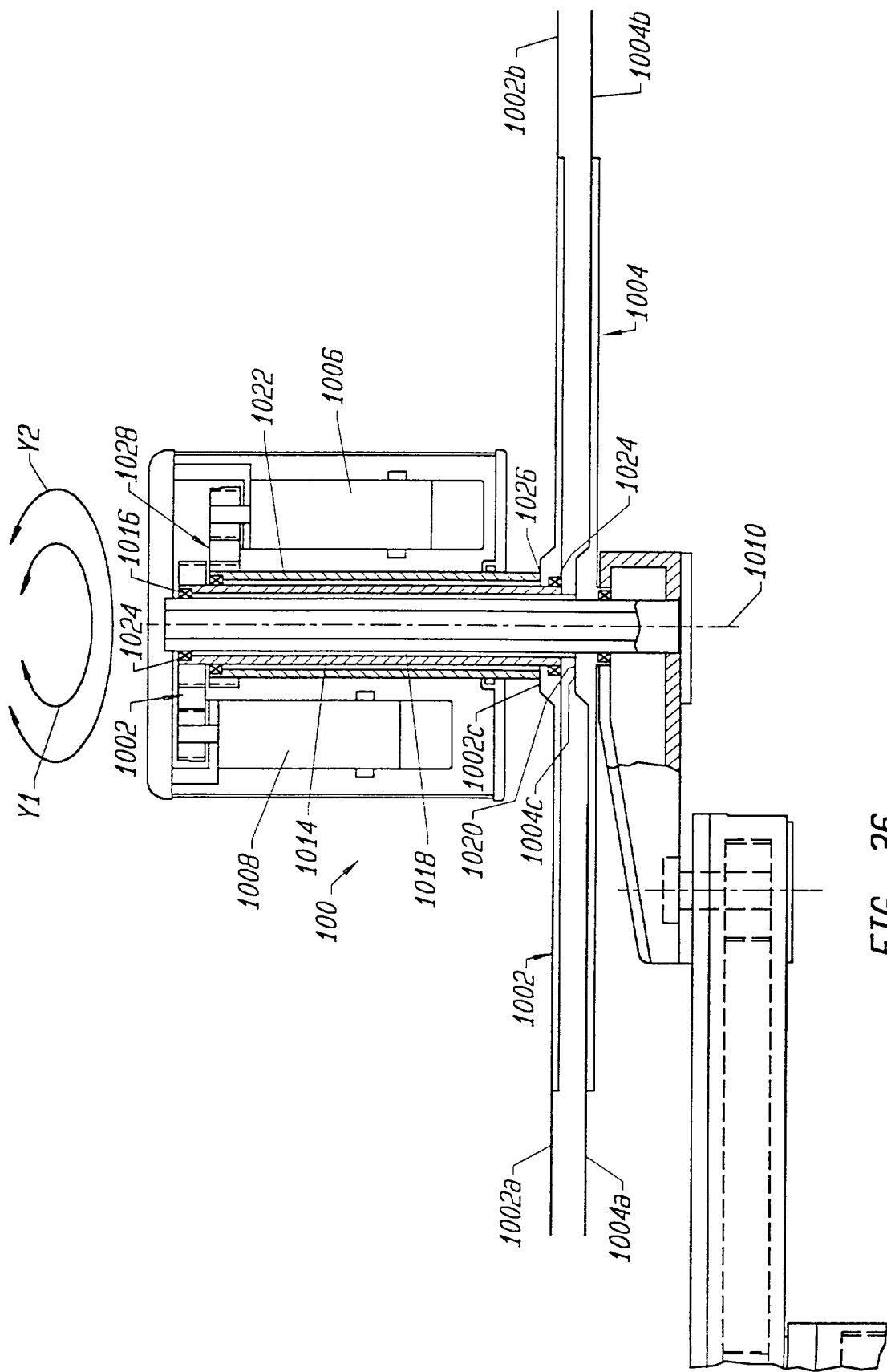


FIG. 35A



4 4

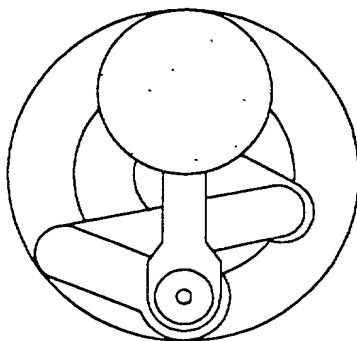


FIG. 38

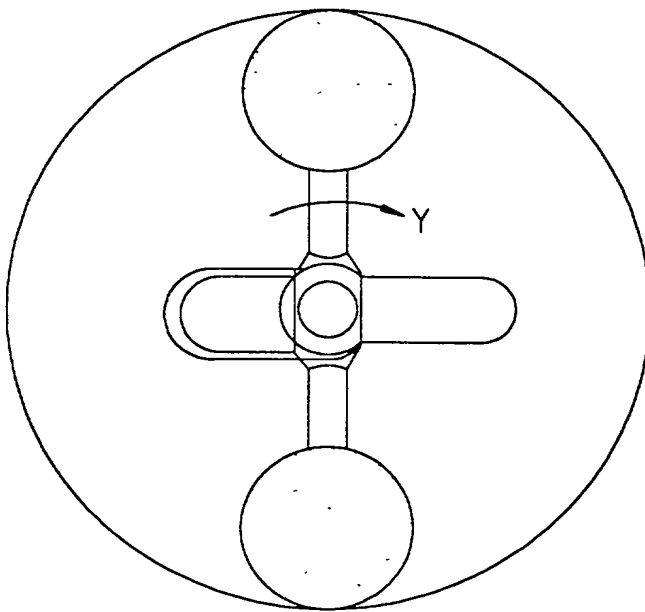


FIG. 37

Process Station

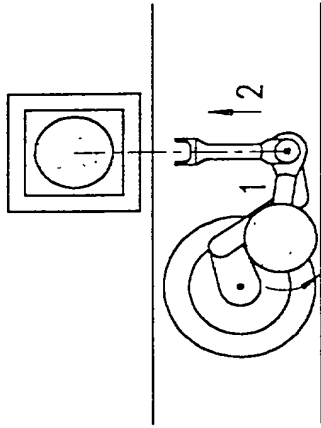


FIG. 39A

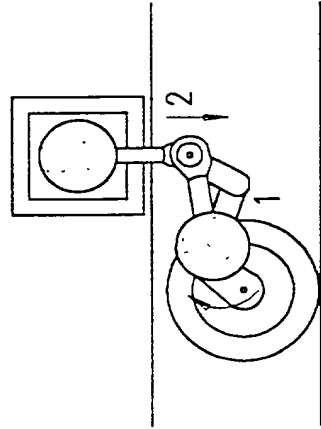


FIG. 39B

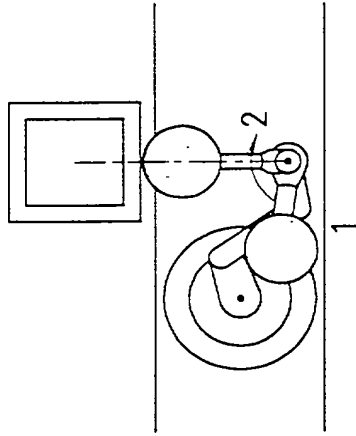


FIG. 39C

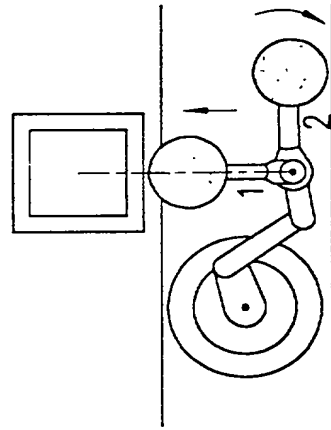


FIG. 39D

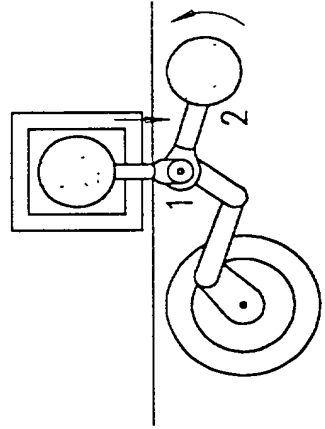


FIG. 39E

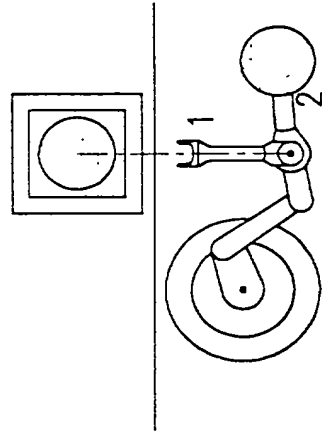


FIG. 39F

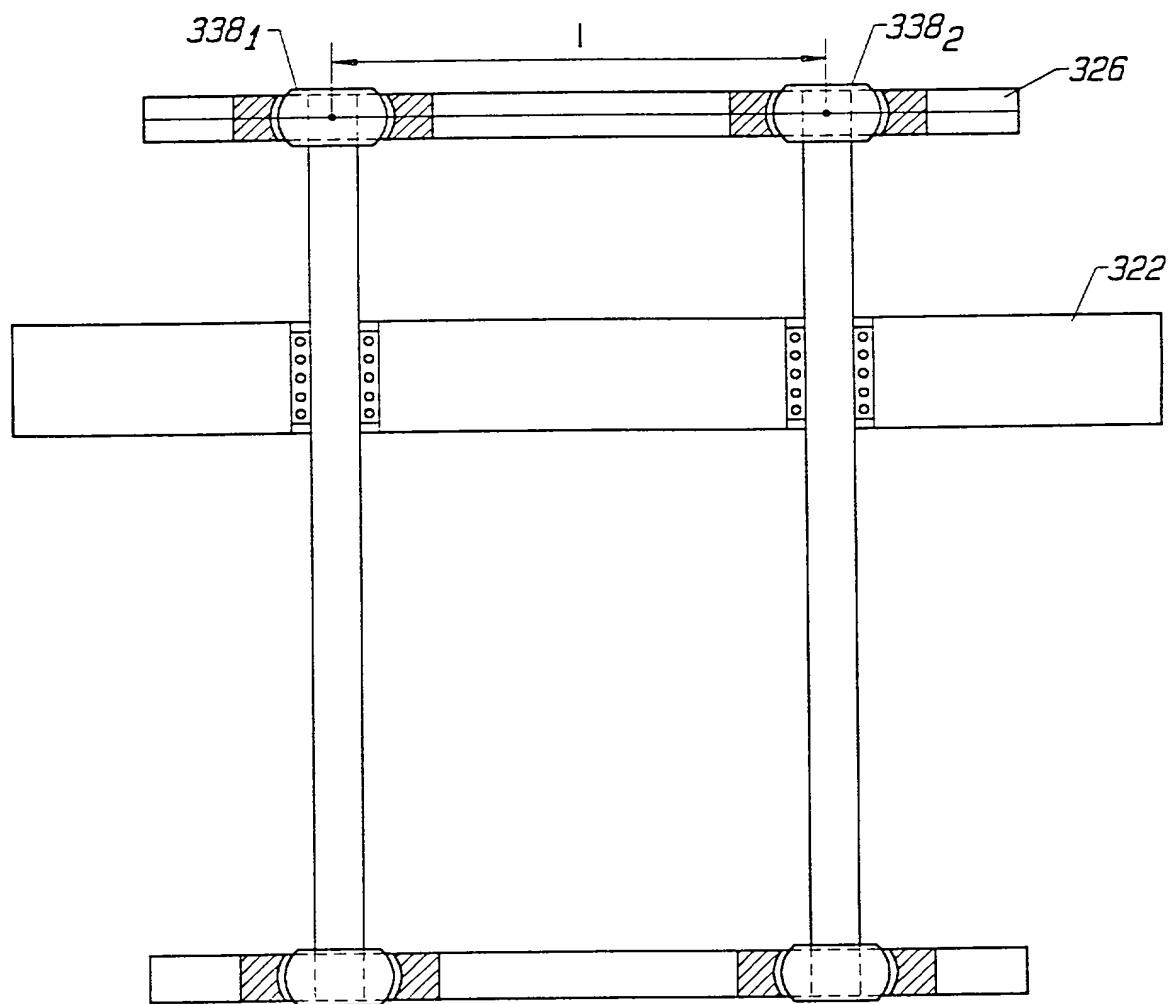


FIG. 40

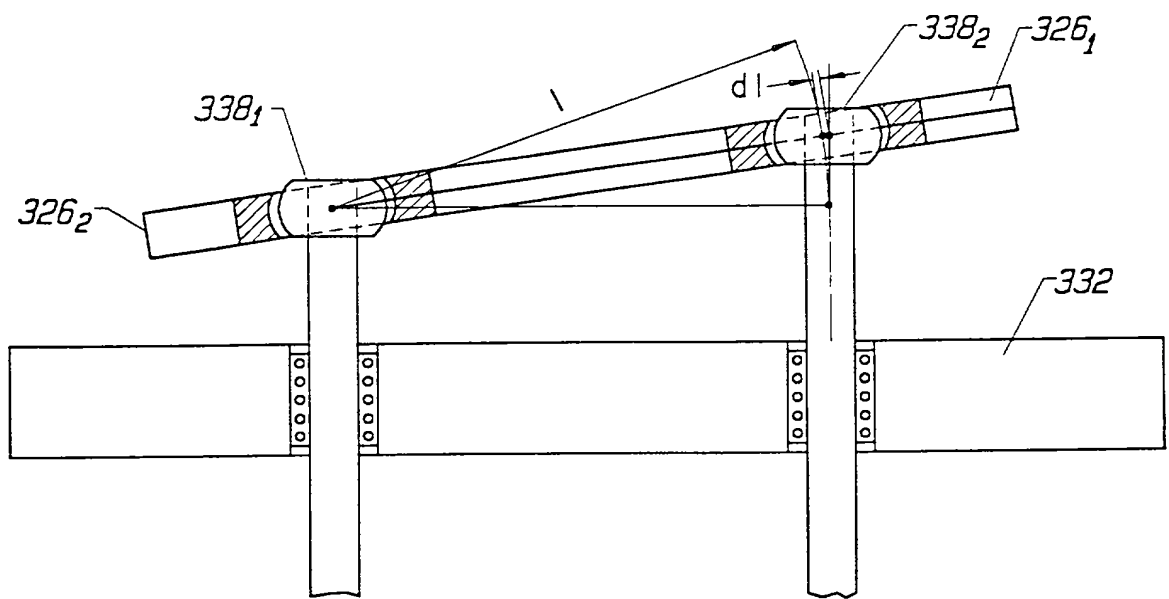


FIG. 41

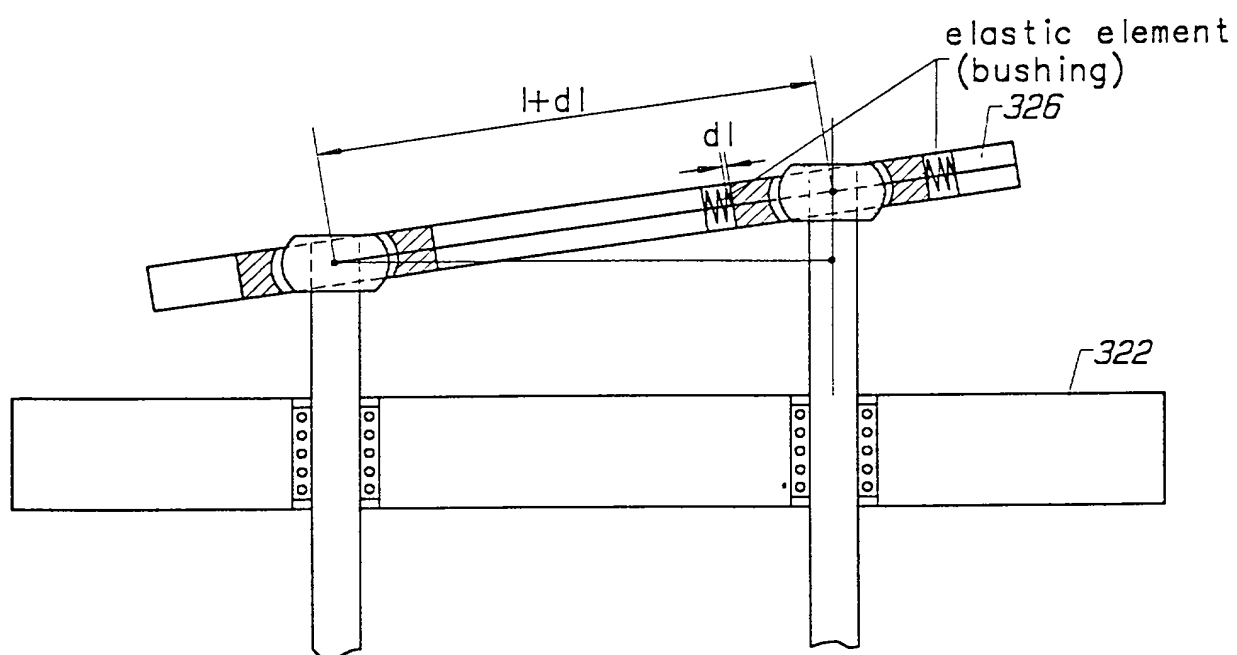


FIG. 42

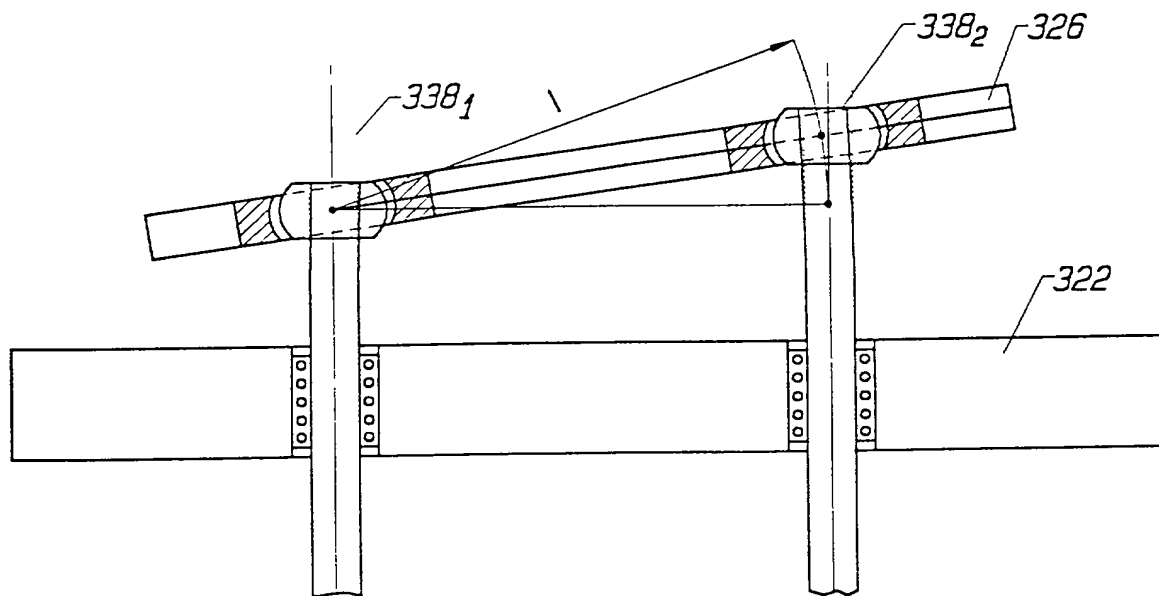


FIG. 43

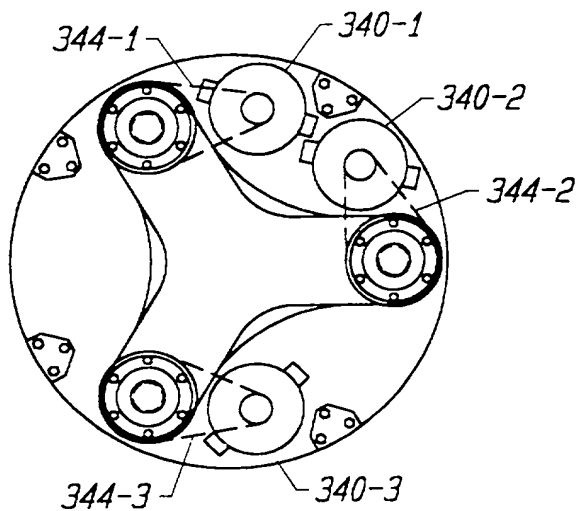


FIG. 46

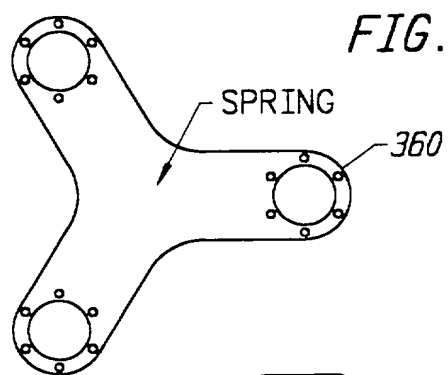


FIG. 47

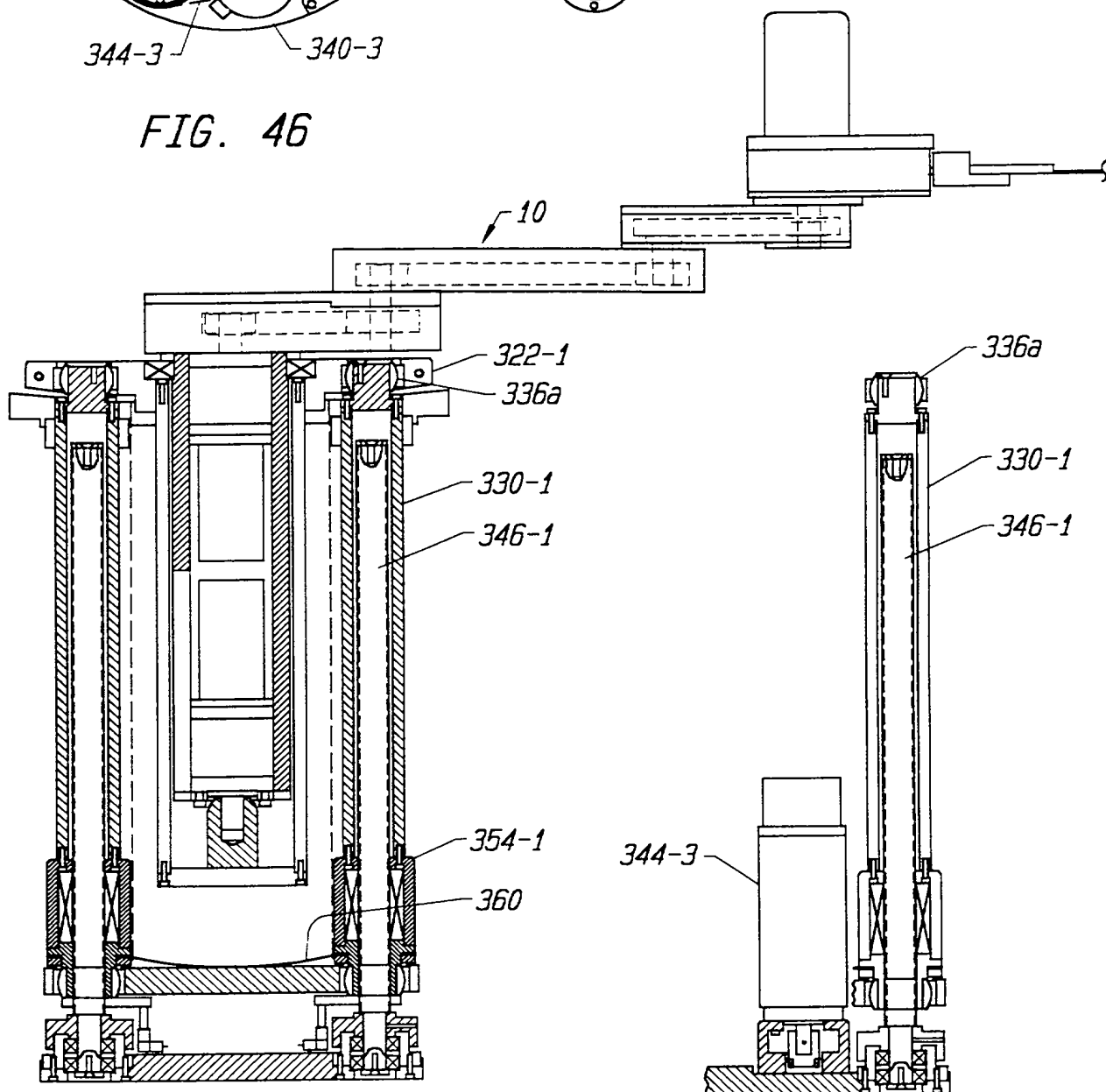


FIG. 44

FIG. 45

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	)	<u>PATENT APPLICATION</u>
	)	
Inventor(s):	)	GENCO GENOV, et al.
	)	
SC/Serial No.:	)	Unassigned
	)	
Filed:	)	Herewith
	)	
Title:	)	ROBOT HAVING MULTIPLE
	)	DEGREES OF FREEDOM
	)	

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ROBOT HAVING MULTIPLE DEGREES OF FREEDOM**

the specification of which (check applicable ones):

  X  

is filed herewith;

was filed with the above-identified "Filed" date and "SC/Serial No."

was amended on (or amended through) \_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

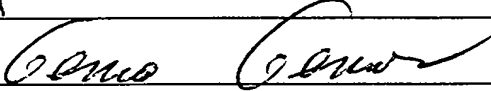
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole  
or first inventor: GENCO GENOV

(1) Residence: 19173 Grayston Lane  
San Jose, CA 95120

(1) Post Office Address: Same as above

(1) Citizenship: U.S.A

(1) Inventor's signature: 

(1) Date: 01/23/97

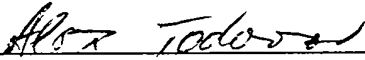
\*\*\*\*\*

(2) Full name of second  
joint inventor: ALEXANDER TODOROV

(2) Residence: 165 Bernardo Avenue, #14  
Sunnyvale, CA 94086

(2) Post Office Address: Same as above

(2) Citizenship: Bulgaria

(2) Inventor's signature: 

(2) Date: 01/23/97

\*\*\*\*\*

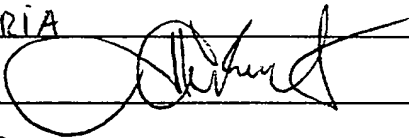
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(3) Full name of third  
joint inventor: LUBO KOSTOV

(3) Residence: 1220 Fair Oaks Avenue, #1304  
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(3) Citizenship: BULGARIA

(3) Inventor's signature: 

(3) Date: 01/23/97

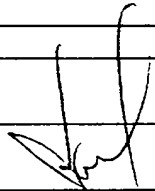
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(4) Full name of fourth  
joint inventor: PETER PETKOV

(4) Residence: 398 Waverly Street  
Sunnyvale, CA 94086

(4) Post Office Address: Same as above

(4) Citizenship: BULGARIA

(4) Inventor's signature: 

(4) Date: 01/23/97

\*\*\*\*\*



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(7) Full name of seventh

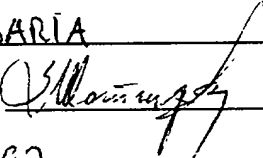
joint inventor: ZLATKO SOTIROV

(7) Residence: 815 East Fremont Avenue, #65

Sunnyvale, CA 94087

(7) Post Office Address: Same as above

(7) Citizenship: BULGARIA

(7) Inventor's signature: 

(7) Date: 01/23/97

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